

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

**AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Monday, September 17, 2012
10:30 a.m.**

2329 S. MacArthur Blvd.
Springfield, Illinois
and via videoconference
James R. Thompson Center – Suite 14-100
Chicago, Illinois

Call State Board of Elections to order.

1. Approval of the minutes from the August 24 meeting. (sent under separate cover)
2. Presentation of resolution to James Lewis, former Executive Director of the East St. Louis Board of Election Commissioners. (pg.1)
3. Report of the General Counsel
 - a. Campaign Disclosure;
Motion for settlement offer
 - 1) *SBE v. District 23 Teachers Assn. IPACE*, 6597, 12MQ036; (pgs.2-3)
Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted
 - 2) *SBE v. Coalition for Better Government*, 64, 12AM001; (pgs.4-7)
 - 3) *SBE v. Monroe County Democratic Central Committee*, 1162, 12AM007; (pgs.8-14)
 - 4) *SBE v. Friends of Patrick Nichting*, 12729, 12MQ067; (pgs.15-17)
 - 5) *SBE v. Committee to Elect Michael D. Burke State's Attorney*, 17849, 12MQ118; (pgs.18-20)
 - 6) *SBE v. Friends of Jennifer Gomric Minton*, 17850, 12MQ119; (pgs.21-23)
 - 7) *SBE v. Citizens for Aaron Del Mar*, 21544, 12DQ134; (pgs.24-26)
 - 8) *SBE v. Friends of Elgie Sims*, 22210, 12AM025; (pgs.27-32)
 - 9) *SBE v. Committee to Elect Mel Thillens*, 23224, 12MA057; (pgs.33-35)
 - 10) *SBE v. Joy Cunningham for Justice*, 23691, 12AM038; (pgs.36-40)
 - 11) *SBE v. Citizens to Elect Karen Lynn O'Malley*, 23846, 12AM050; (pgs.41-45)
 - 12) *SBE v. Friends of Paul Pavlus*, 23874, 12MQ247; (pgs.46-49)
 - 13) *SBE v. Northwest Suburban Teachers Union Local 1211*, 23915, 12AM056; (pgs.50-52)
 - 14) *SBE v. Daniel Biss for State Senate*, 23971, 12MA065; (pgs.53-82)
 - 15) *SBE v. Illinois for Sidmore*, 23972, 12MQ255; (pgs.83-85)
 - 16) *SBE v. Neil Anderson for IL 72nd District*, 23973, 12AM058; (pgs.86-88)
 - 17) *SBE v. Citizens for Beatriz Santiago*, 23990, 12AD069; (pgs.89-95)
 - 18) *SBE v. Wallace for Illinois*, 23991, 12AM061; (pgs.96-100)
 - 19) *SBE v. Citizens to Elect Deborah Riley*, 24332, 12MA073; (pgs.101-106)

Appeals of campaign disclosure fines – new appeals – hearing officer
recommendation appeals be denied

- 20) *SBE v. Brown County Democrat Central Committee*, 385, 12MQ009; (pgs.107-112)
- 21) *SBE v. SWIC Faculty Union Political Action Committee*, 5500, 12MA041; (pgs.113-116)
- 22) *SBE v. Illinois Assn. of Mortgage Brokers PAC*, 7305, 12DQ208; (pgs.117-126)
- 23) *SBE v. Chicago Cosmetologists Assn.*, 7365, 12MQ041; (pgs.127-129)
- 24) *SBE v. Conservation Police Lodge #146 FOP*, 7370, 12MQ042; (pgs.130-132)
- 25) *SBE v. Kankakee Township Republicans*, 11296, 12MQ054; (pgs.133-138)
- 26) *SBE v. Geneva Township Democrats*, 13328, 12MQ071; (pgs.139-142)
- 27) *SBE v. Citizens for Dan Patlak*, 13999, 12AM014; (pgs.143-145)
- 28) *SBE v. Mechanical Industry PAC*, 14080, 12MQ078; (pgs.146-149)
- 29) *SBE v. Growing Communities Helping Students*, 14150, 12MQ079; (pgs.150-153)
- 30) *SBE v. Education First Committee*, 14648, 12MQ085; (pgs.154-157)
- 31) *SBE v. Advance 300*, 15501, 12MQ092; (pgs.158-160)
- 32) *SBE v. Roger Mulch for Sheriff*, 16189, 12AD069; (pgs.161-165)
- 33) *SBE v. Friends to Elect Sam LaBarbera*, 16842, 12MQ106; (pgs.166-169)
- 34) *SBE v. Citizens for Patricia Gira*, 17447, 12MQ115; (pgs.170-172)
- 35) *SBE v. Geneva Democratic Club*, 18020, 12MQ121; (pgs.173-176)
- 36) *SBE v. Citizens for Donald E. Puchalski*, 19240, 12MQ131; (pgs.177-180)
- 37) *SBE v. Friends of Trevor Clatfelter*, 20425, 12MQ148; (pgs.181-183)
- 38) *SBE v. Friends of Brendan Appel*, 20737, 12MQ158; (pgs.184-186)
- 39) *SBE v. Citizens for Roads*, 21020, 12AM023; (pgs.187-189)
- 40) *SBE v. Citizens for Mayor Gaulrapp*, 21340, 12MQ173; (pgs.190-193)
- 41) *SBE v. Friends of Weeks*, 21652, 12MQ184; (pgs.194-196)
- 42) *SBE v. Citizens for Sarah Gallagher Chami*, 22320, 12MQ201; (pgs.197-199)
- 43) *SBE v. Friends for Beverly Ann Mull*, 22326, 12MQ202; (pgs.200-203)
- 44) *SBE v. Citizens for Carole Cheney*, 22683, 12AM030; (pgs.204-206)
- 45) *SBE v. Citizens for Foster*, 22935, 12MA054; (pgs.207-209)
- 46) *SBE v. Friends of Wendell Mosby*, 22944, 12DQ219; (pgs.210-212)
- 47) *SBE v. New Progress Party*, 23190, 11SQ183; (pgs.213-216)
- 48) *SBE v. Donna Leoni Peluso and Jay Reyes for Triton College*, 23477, 11AJ132; (pgs.217-221)
- 49) *SBE v. Friends of Billy Earl*, 23527, 11SQ264; (pgs.222-224)
- 50) *SBE v. Friends to Elect James A. Wright*, 23843, 12AM049; (pgs.225-228)
- 51) *SBE v. Citizens to Elect Terry Gallagher, also known as John T. Gallagher*, 23880, 12AM053; (pgs.229-238)
- 52) *SBE v. Friends of Naomi Davis*, 23892, 12DQ223; (pgs.239-241)
- 53) *SBE v. Friends of Chris Benson*, 23896, 12AM054; (pgs.242-245)
- 54) *SBE v. African Political Action Committee*, 24083, 12MQ255; (pgs.246-248)
- 55) *SBE v. Friends of Tim Whelan*, 24102, 12AM070; (pgs.249-251)
- 56) *SBE v. Monroe County Young Democrats*, 24157, 12MQ267; (pgs.252-257)

Appeals of campaign disclosure fines – new appeals – hearing officer
recommendation appeals be granted and denied

- 57) *SBE v. United for Rudy Lozano, Jr.*, 21656, 12MA048; (pgs.258-263)
- 58) *SBE v. Citizens in Support of West Northfield School Dist. 31 Tax Referendum*, 24113, 12AM072; (pgs.264-266)
- 59) *SBE v. Committee to Elect Cathy Cawiezel*, 24131, 12MA069; (pgs.267-270)

Appeals of contribution limit fines – new appeals – hearing officer
recommendation appeals be granted

- 60) *SBE v. Friends for Eugene Williams*, 19769, 12MQCL005; (pgs.271-273)
- 61) *SBE v. Friends for Proco Joe Moreno*, 20809, 12MQCL007; (pgs.274-279)
- 62) *SBE v. Jessica O'Brien for Judge*, 22487, 12MQCL010; (pgs.280-285)
- 63) *SBE v. Joy Cunningham for Justice*, 23691, 12MQCL014; (pgs.286-289)
- 64) *SBE v. Citizens for Chester Slaughter*, 24279, 12MQCL018; (pgs.290-295)

Other campaign disclosure items

- 65) Civil Penalty Assessments/Final Orders; (pg.296)
 - 66) Payment of civil penalties – informational; (pg.297)
 - b. Proposed rule – amendment to Campaign Disclosure Rules & Regulations. (pgs.298-334)
4. Report of the Executive Director
- a. Preparations for the November 6, 2012 General Election;
 - 1) Federal Consent Decree/UOCAVA update; (pgs.335-338)
 - 2) Voting system pre-testing; (pg.339)
 - 3) Election judge training schools – informational; (pgs.340-342)
 - b. Consideration of approval of Dominion's ImageCast In-Precinct and the ImageCast Central Count voting system; (pgs.343-345)
 - c. Report on Advisory Committee Meeting; (pgs.346-347)
 - d. Legislative update; (pg.348)
 - e. Campaign Finance Reform Task Force meeting update; (sent under separate cover)
 - f. IVRS update – informational; (pgs.349-352)
 - g. Census data versus registered voters; (pg.353)
 - h. Proposed Board meeting date for December; (pg.354)
 - i. Fiscal status reports – informational;
 - 1) FY12 & 13 – month ending August 31; (pgs.355-370)
 - 2) Help Illinois Vote Fund; (pgs.371-382)
 - j. Two year plan of staff activity for the months of September & October – informational. (pgs.383-387)
5. Follow up. (pg.388)
6. Comments from the general public. (pg.388)
7. Next Board meeting at 10:30 a.m. on Tuesday, October 16, 2012 in Chicago. (pg.388)
8. Executive session. (pgs.389-394)

ILLINOIS STATE BOARD OF ELECTIONS

RESOLUTION IN HONOR OF JAMES LEWIS

WHEREAS, the State Board of Elections is an agency created and mandated by the Illinois Constitution to have general supervision over the administration of the registration and election laws in the State of Illinois; and

WHEREAS, it has come to our attention that James Lewis, Executive Director of the East St. Louis Board of Election Commissioners, announced his retirement July 13, 2012 after more than 35 years with the Board; and

WHEREAS, James Lewis has consistently provided the citizens of East St. Louis with the highest quality of election services, originating and implementing numerous programs to benefit the voters of the city; and

WHEREAS, James Lewis established the annual Unsung Heroes Awards to recognize the achievement of young East St. Louis college graduates and entrepreneurs; and

WHEREAS, James Lewis was an advocate of high school students serving as election judges and was instrumental in the development of a certificate of recognition to the students; and

WHEREAS, James Lewis, was a member of the Illinois Association of Clerks, Recorders, Election Officials and Treasurers of which he served two separate terms as president; and

WHEREAS, James Lewis was a member of the Association of Election Commissioners of Illinois of which he also served several terms as President of the Association;

WHEREAS, James Lewis served three terms on the State Board of Elections Advisory Committee from 1987 to 1993; and

THEREFORE, BE IT RESOLVED, that we, the members of the State Board of Elections offer our sincere admiration and appreciation to James Lewis for his 35 years of dedicated and distinguished public service in the administration of elections and for his tireless and professional efforts to improve the efficiency and integrity of the electoral process in the State of Illinois.

Dated: September 17, 2012

Members -- State Board of Elections

William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Rupert T. Borgsmiller
Executive Director



THE LAW OFFICE OF JAMES P. NALLY, P.C.

Eight South Michigan Avenue
Suite 3500
Chicago, Illinois 60603
tel 312-422-5560
fax 312-346-7999

August 29, 2012

Ms. Sharon Steward, Director
Illinois State Board of Elections Campaign Disclosure Division
2329 S. MacArthur Boulevard
Springfield, IL 62704

Re: District 230 Teachers Association IPACE (ID #6597)

Dear Ms. Steward:

Thank you for your recent correspondence. I am writing on behalf of District 230 Teachers Association IPACE to propose a settlement on the current \$2050 civil penalty outstanding. This penalty became due on the entry of an order July 25, 2012 for the delinquent filing of the March, 2012 Quarterly Report. In mitigation, there had been a change of officers and the prior Treasurer David Podkul had been in office at the time this report became due. The new officers were unaware of the delinquent filing. By the time they became aware the time to remedy this error without penalty had passed. Because of this and the limited resources of the committee, I would offer a settlement on behalf of the committee of one half of the current civil penalty due in the amount of \$1025. Please consult with the Board and notify me if this is acceptable. Thank you for your assistance in this matter.

Very truly yours,


James P Nally

Cc: Steven Sandvoss, General Counsel
Illinois State Board of Elections
2329 S. MacArthur Boulevard
Springfield, IL 62704

District 230 Teachers Association IPACE

STATE BOARD OF ELECTIONS
12 SEP -4 AM 8:12

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 12 MQ 036
)
District 230 Teachers Assn IPACE)
 Respondent(s).)

FINAL ORDER

TO: District 230 Teachers Assn IPACE ID# 6597
 24212 S Burr Rd
 Channahon, IL 60410

This matter coming to be heard this 16th day of July, 2012 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 12 MQ 036, a \$50.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2012 Quarterly Report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$2000.00, which was stayed as a first violation, for the delinquent filing of the December 2010 Semi-annual report (11 DS 337). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$50.00 is imposed and the stay is lifted on the previously assessed penalty of \$2000.00. The total amount of \$2050.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is July 25, 2012, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 7/25/2012


William M. McGuffage, Chairman

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

12 AM 001

Coalition for Better Government (ID 64)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received a \$1,000 contribution on February 24, 2012 and reported it on a Schedule A-1 Report received by the Board on March 3, 2012, 1 day late, resulting in a \$500 civil penalty assessment. As a second Schedule A-1 violation, the fine is reduced to \$250 or 50% of the above-referenced amount. Additionally, the Committee was assessed a \$3,500 civil penalty (not appealed, reduced, paid) for failing to file seven Schedule A-1 Reports during the 3rd Quarter of 2011. The total assessment is \$250.

Ron Calicchio, Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on August 1, 2012.

Mr. Calicchio testified that he received a \$1,000 contribution from Northwestern Pharmaceutical & Supply Corp. and deposited the check in the overnight deposit box on Saturday, March 3, 2012. He inadvertently entered the date of the check, February 24, 2012, rather than the date of deposit on the Schedule A-1 filed on March 3, 2012. Calicchio indicated the bank processed the check on Monday, March 5, 2012. In support of his claim, Calicchio submitted an Appeal Affidavit and a copy of the electronic image of the check post dated March 5, 2012. On August 5, 2012, the Committee amended the 2012 March Quarterly Report reflecting the actual receipt date of the contribution.

I recommend the appeal be granted. The Respondent supported his claim that the contribution was received and reported timely and has amended the report accordingly. (As of 6/30/12, this Committee reported a funds available balance of \$13,037.77.



Tara Molnar – Hearing Officer

August 6, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
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100 West Randolph, Suite 14-100
Chicago Illinois 60601
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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Coalition for Better Government

ID# 64

Dear Coalition for Better Government:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Northwestern Pharmaceutical & Supply Corp	2/24/2012	\$500	3/3/2012	1	\$250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

CHICAGO

2012 JUN 28 PM 1:52
STATE BOARD OF ELECTIONS

Affidavit for Appeal

June 28, 2012

To Whom It May Concern:

Please accept this Affidavit for Appeal for the Coalition for Better Government. On June 4, 2012, the Coalition for Better received notice that we did not file an A1 Form in a timely manner as required by law. The letter indicates that we received a \$500 contribution from Northwestern Pharmaceutical & Supply Corp. on 2/24/12 and did not report it until 3/3/12. Please be advised at no time did we receive a \$500 donation from that business. However, we did receive a \$1,000 contribution and reported it on 3/3/12. We called to attempt to amend the A1 to reflect the correct date from 2/24/12 to 3/3/12. Although the check was dated 2/24/12, we did not receive the donation until 3/3/12 and deposited it on the same date. Since 3/3/12 was a Saturday and we deposited the check in the drop off deposit, and it was not processed until Monday morning on 3/5/12. Please refer to the enclosed front and back of the check reflecting that it was processed on 3/5/12. The entering of the 2/24/12 date on our A1 Report was clearly a clerical error as we did not receive the donation until 3/3/12. This Committee has been in place over 20 years and has an exemplary record. We recently accepted a fine due to a recent change in rules that we were not aware of but paid the fine since it was our mistake. We have made every effort to comply with the letter of the law. We would appreciate your consideration to accept this appeal as a reasonable explanation for the clerical error.

Your consideration is greatly appreciated.

Sincerely,

Ron Calicchio

Ron Calicchio

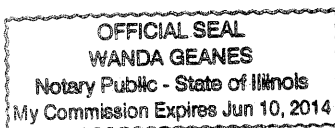
Chairman



Subscribed and sworn to before me


this 28 day of 06 2012
at Chicago, County of Cook, State of Illinois.


Notary Public

Wanda Geanes



NORTHWESTERN PHARMACEUTICAL & SUPPLY CORPORATION 7301 N. LINCOLN AVE., #196 LINCOLNWOOD, IL 60712		USBANK FIVE STAR SERVICE GUARANTEED USBANK.COM	5701 70-477-719 2/24/2012
PAY TO THE ORDER OF	Coalition for Better Government		\$**1,000.00
	One Thousand and 00/100.....		DOLLARS
	Coalition for Better Government		
MEMO	 		

Account		Routing	071904779
Amount	1000.00	OF6	0
Post Date	20120305 ←	Check	0000005701
Sequence	010181650	Tran	000000

 ↑	BELMONT BK&TRST CHICAGO PAY TO THE ORDER OF BELMONT BANK & TRUST CHICAGO, IL 60634 P 071026579 FOR DEPOSIT ONLY COALITION FOR BETTER GOVERNMENT 300001528
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**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 007

Monroe County Democratic Central Committee

ID# 1162

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
Schedule A-1 Reports

The Committee received two \$1,000 contributions on 2/15/12 and reported both on a Schedule A-1 received by the Board on 2/26/12, 2 days late, resulting in a civil penalty assessment of \$1,000.

Alan Pirtle, the Chairman of the Committee, requested a Hearing via teleconference, and submitted on the Appeal Affidavit the following:

On the Affidavit, the former Treasurer, Catherine Mikolay states she entered the receipt of the checks prior to deposit. The IDIS program did not prompt a Schedule A-1 being due. She thought that having entered the information into the program even if she was unable to file the report would be sufficient reporting and disclosing receipt of these contributions.

In order to be consistent with previous Board rulings where an electronic filing defense is raised and since this Committee has not previously raised such a defense, I recommend the appeal be granted. In order to prevent further late Schedule A-1s, I would also recommend the Committee contact us whenever the A-1 report is not generated when entering a receipt of \$1,000 or more. (As of 6/30/12, this Committee reported a funds available balance of \$8,403.60.)



Kim Patrick – Hearing Officer

August 23, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Monroe County Democratic Central Committee ID# 1162

Dear Monroe County Democratic Central Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Int'l Brotherhood of Boilermakers PAC Fund	2/15/2012	\$1000	2/26/2012	2	\$500
Operating Engineers Local 520 PAC	2/15/2012	\$1000	2/26/2012	2	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1000 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of: MONROE)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

12 JUL -1 AM 11:04

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
MONROE COUNTY)
DEMOCRATIC CENTRAL COMMITTEE)
Respondent(s).)

Case No. 12 AM 007

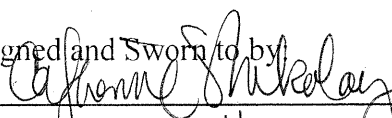
APPEAL AFFIDAVIT


I, ALAN DIRTLE, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
MONROE COUNTY DEMOCRATIC CENTRAL COMMITTEE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE THE EXPLANATION ATTACHED. We will have the flashdrive
with backup file to present at the informal and/or formal
hearings.


Signature of Chairman/Treasurer

Signed and Sworn to by 
before me this 27th Day of JUNE, 2012

Notary Public
(seal)


Catherine Mikolay
4045 Baum Road, Fuels, Illinois 62244
catherine.mikolay@gmail.com

Dec 2011

Backed up on
1/1/12 most recent

Send current
back up

June 26, 2012

Illinois State Board of Elections 2329 S MacArthur Blvd
Springfield, Illinois 62704

To whom it may concern;

This letter is the written explanation and justification for both the request for a hearing and the appeal affidavit for the Monroe County Democratic Central Committee for failure to timely file an A-1 in February.

At the time of this filing, I was the Treasurer of the Monroe County Democratic Central Committee. I was responsible for filing all reports and all of the duties relating to funds coming into and out of the Central Committee. I was diligent and very conscientious in this position.

It was my standard practice to always report the receipt of checks into the IDIS program prior to depositing the checks into the Central Committee bank account in order to fully disclose receipt of contributions and to never be late in timely reporting this information to the Illinois State Board of Elections. I never, at any time, did anything that could even remotely indicate that I was not fully disclosing the receipt of money to the Central Committee. My intention was always to comply with the law.

As I indicated, I always entered the receipt of checks (regardless of the amount of the check) into the IDIS program prior to deposit. The IDIS program is set up in such a way that when receipt of a check is reported, the program prompts the Treasurer to file the report. I always filed the report when prompted. In fact, a report can't be filed unless it appears as being due. On February 15, 2012, I entered data into IDIS, which included the receipt of two checks, each \$1,000.00. The IDIS program did not prompt me to file the report. The following day I deposited the checks (February 16, 2012). I thought that having entered the information into the program, even if I was unable to file the report, was sufficient reporting that I was fully disclosing receipt of those contributions.

The receipt of those checks and others corresponded to our biggest annual fundraiser, our Annual Dinner and Silent Auction. It wasn't until the next time that I received a group of checks and reported them into IDIS that the program prompted me to file the report, which I then did on February 26, 2012.

I have attached copies of the deposit slips containing receipt of checks of greater than \$1,000.00 that substantiate the method by which I reported, which I feel demonstrates my forthright reporting of the receipt of contributions. When comparing these to the entries of the receipts in IDIS and the subsequent filing of all other A-1's, it is my hope that you will agree that had I been able to file the A-1, I certainly would have the night I reported the receipts into IDIS. And further I respectfully ask for the abatement of the assessment of any fines and/or penalties to the Central Committee.

Please contact me if you have any further questions of me. I am very grateful for your consideration.

Respectfully yours,

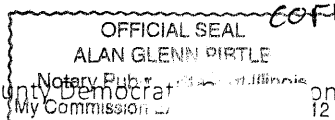
Catherine Mikolay

I, Catherine Mikolay, being sworn
upon oath state that the factual
statements herein are true and
correct.

Catherine Mikolay

Catherine Mikolay

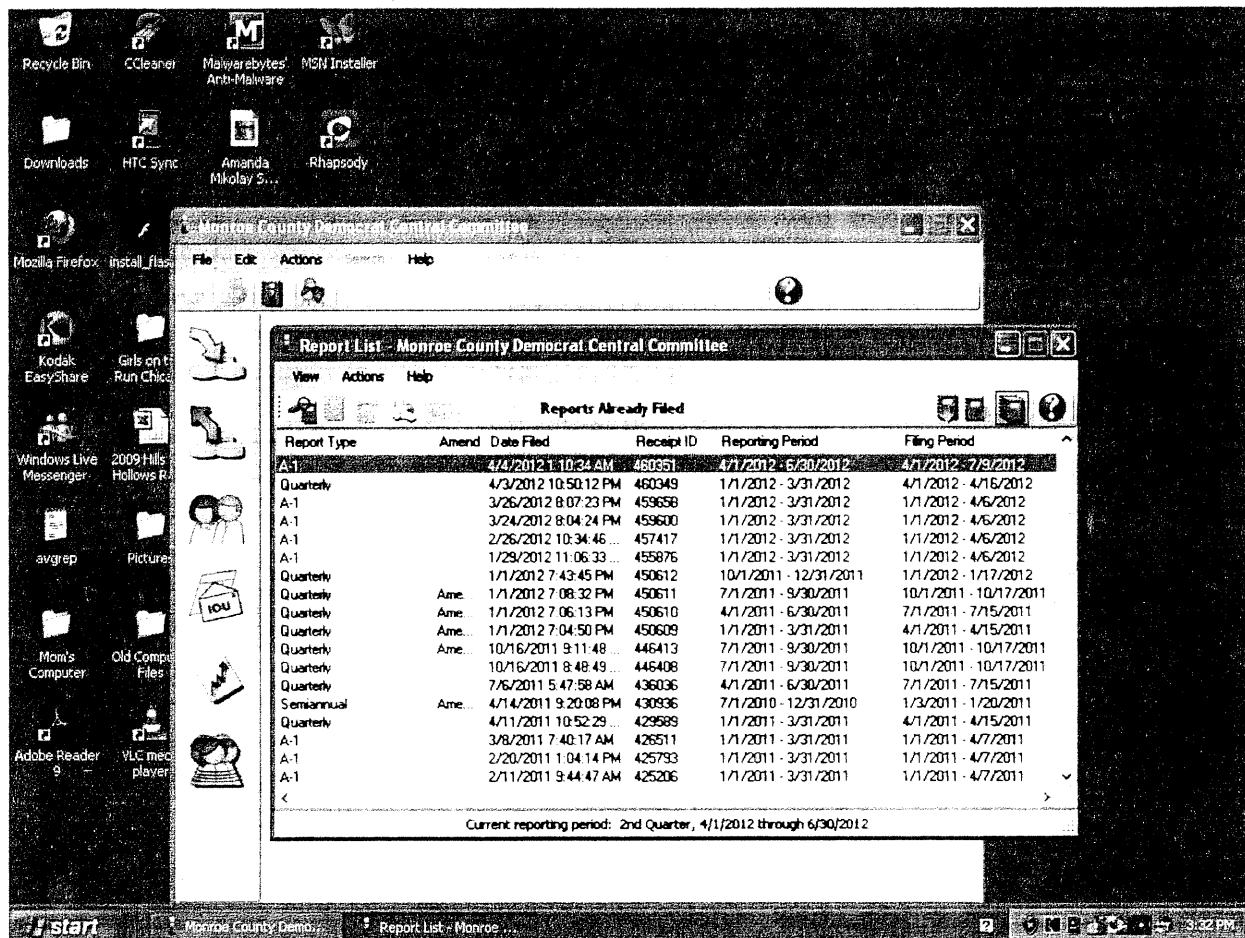
(former) Treasurer, Monroe County Democratic Central Committee



11

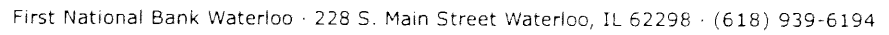
sworn to before me this 29
of June, 2012.

[Signature]



A-1's Filed Before and After Those At Issue

- 04.04.2012 - COSTELLO II, STATE REPRESENTATIVE \$1000.00
- 03.26.2012 - SOUTHWESTERN IL LABORERS POLITICAL LEAGUE \$1,100.00
- 03.24.2012 - WARMA-WITTER \$1000.00
- 02.15.2012 - OPERATING ENGINEERS LOCAL 520 \$1000.00
- 02.15.2012 - INTERNATIONAL BROTHERHOOD OF BOILERMAKERS \$1,000.00
- 01.29.2012 - IRON WORKERS LOCAL 392 - \$1350.00
- 01.29.2012 - STEAM FITTERS LOCAL 439 - \$1000.00
- 03.08.2011 - COSTELLO FOR CONGRESS - \$1000.00
- 03.11.2011 - IRON WORKERS LOCAL 392 - \$1,100.00
- 02.19.2011 - UNITED ASSOCIATION OF STEAM FITTERS - \$1000.00
- 02.19.2011 - INTERNATIONAL BROTHERHOOD OF BOILERMAKERS - \$1000.00
- 02.11.2011 - OPERATING ENGINEERS - \$1000.00





[View Deposit Image](#)

1	1000		
2	1000		
3	1000		
4	1000		
5	1000		
6	1000		
7	1000		
8	1000		
9	1000		
10	1000		
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90	1000		
91	1000		
92	1000		
93	1000		
94	1000		
95	1000		
96	1000		
97	1000		
98	1000		
99	1000		
100	1000		
TOTAL	1000		

CHECKS
DEPOSIT
1000

DOCUMENT Drawers:
1000

DDA Dep. 1000

1450.00

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 067

Friends of Patrick Nichting

ID# 12729

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the March 2012 Quarterly Report

The March 2012 Quarterly Report was received by the Board on April 17, 2012, 1 day late, resulting in a civil penalty assessment of \$50.

Norma L Goodale, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Goodale states that on the evening of the filing deadline, she encountered a problem with the computer where the Committee's data was stored. As a result, she says she was unable to file the Report until the next day, after the issue was corrected. She includes a copy of the receipt for the repair of the affected computer.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 6/30/12, this Committee reported a funds available balance of \$5,709.92.)



Tom Newman – Hearing Officer
July 31, 2012

State of Illinois
County of: Peoria

STATE BOARD OF ELECTIONS

12 JUN 12 PM 1:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS,
Complainant

Vs. Case No. _____

12MQ067

Friends of Patrick Nichting
Respondent(s).

APPEAL AFFIDAVIT

I, Norma L. Goodale, the Treasurer of the Friends of Patrick Nichting
(Name) (Chairman/Treasurer) (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

On the night of April 16, 2012, I encountered a computer problem with the computer (HP) where the data file, Friends of Patrick Nichting, was stored. The backup file was on a removable storage unit proprietary to that computer I was unable to access IDIS for filing purposes. So I was unable to file until the next day. I received advice from Ms. Gordon (SBE) and was able to access an older data file and bring it up to date.

I am treasurer to 8 committees, of which, only 2 (committees) were stored on an older HP computer. These 2 committees were ones I had expected to be temporary. One from Mr. Nichting's 1st treasurer, Mr. Lou Carr, who had become ill (this committee had 2 transactions for the reporting period). The other, (Peoples Party of Peoria Heights) could no longer afford to pay for help. This Committee had no transactions for the first reporting period I just hit the button and filed earlier before the computer went down. Enclosed is a receipt for repair of the failing (HP computer)

I have taken the following steps in order to assure that all future reports for which I am responsible will be filed in a timely manner:
Repaired the old computer, purchased a new computer on which I will migrate the data files. After 2nd qtr. reports are filed.

I will back up to a flash/thumb drive. I am not comfortable with online B/U on a commercial site. And finally, file sooner in case of computer error.
Thank you for your consideration. It is important to me to have a good record with the SBE and my candidates.

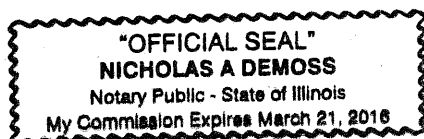
Signature of Chairman/Treasurer

Norma L. Goodale

Signed and Sworn to by:

Nicholas A. Demoss

before me this 11th Day of June, 2012
Notary Public
(seal)



RCC TECHNOLOGIES, INC.
 4450 N PROSPECT ROAD, SUITE B2
 PEORIA HEIGHTS, IL 61616 (309) 693-1985

SOLD TO: GOODALE PROPERTIES
 ----- 2914 N EASTON PLACE
 PEORIA, IL 61604
 (309) 688-5542

SHIP TO: GOODALE PROPERTIES
 ----- 2914 N EASTON PLACE
 PEORIA, IL 61604
 (309) 688-5542

Page 1

Acct #	Sls	Po #	Ship Via	Col/Pd Date	Ship Terms	Inv Date
GOODALE				04/27/12	NET 15	04/27/12

Ord	Ship	B/O	Item	Description	Unit Price	-%	Ext Price
1	1			SERVICE 4-17-2012	25.00		25.00
				SYSTEM WOULD NOT BOOT			
				COMPLETELY.			
				DETERMINED THAT TV RECEIVER			
				CARD WAS SHORTING OUT.			

=====

All accounts are due 15 days after
 date of invoice..Accounts more than 30
 days past due will be charged a 1.5%
 per month service charge. (18% annual)

Sale Amount: 25.00

Total: 25.00

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 118

Committee to Elect Michael D Burke State's Attorney

ID# 17849

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2012 Quarterly Report

The Report was received by the Board on April 27, 2012, 9 days late, resulting in a civil penalty assessment of \$450. Additionally, the Committee had previously been assessed a \$250 civil penalty (not appealed, paid) for delinquently filing the September 2011 Quarterly Report, a \$50 civil penalty (not appealed, paid) for delinquently filing the June 2010 Semi-Annual Report, and a \$325 civil penalty (not appealed, paid) for delinquently filing the June 2008 Semi-Annual Report. The total assessment is \$450.

Michael D Burke, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Burke admits that his previous violations were the result of inadvertently losing track of the filing deadlines. But he says the current violation resulted from problems with his computer, which he was unable to have fixed prior to leaving on a vacation. He says he filed the Report as soon as he returned, and adds that he intends to close the Committee in the near future.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 6/30/12, this Committee reported a funds available balance of \$32.25.)



Tom Newman – Hearing Officer
August 2, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 5, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

CORRECTED LETTER

Committee to Elect Michael D Burke State's Attorney ID# 17849

Dear Committee to Elect Michael D Burke State's Attorney:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 27, 2012, 9 days late. As such, this committee has been assessed a fine of \$450.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through September 30 2011	Quarterly	\$250
TOTAL AMOUNT NOW DUE		\$700

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

State of Illinois)
County of JACKSON)

STATE BOARD OF ELECTIONS

12 JUL -9 AM 8:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs. COMMITTEE TO ELECT)

MICHAEL D. BURKE, STATE'S ATTORNEY)

Respondent(s).)

Case No. 12 MQ 118

APPEAL AFFIDAVIT

I, MICHAEL D. BURKE, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

COMMITTEE TO ELECT MICHAEL D. BURKE, STATE'S ATTORNEY
(Name of the Committee)

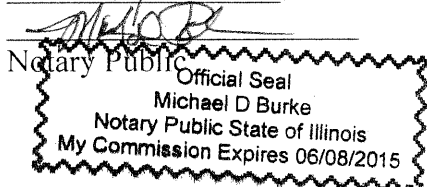
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

ALL OF MY OTHER VIOLATIONS, WERE THE RESULT OF MY INADVERTENTLY LOSING TRACK
OF THE TIME TO FILE, NINE OF WHICH I APPEALED. THIS TIME THOUGH, I HAD PROBLEMS
WITH MY COMPUTER, AND BEFORE I COULD GET IT FIXED, I LEFT ON A VACATION
AND WAS UNABLE TO FILE UNTIL I GOT BACK. I PLAN ON CLOSING THIS COMMITTEE IN
THE NEAR FUTURE AND AM JUST ASKING THAT THE BOARD GIVE ME A BREAK AND
EITHER COMPLETELY DISCHARGE THE FINE OR REDUCE IT TO A SMALLER AMOUNT.

Signed and Sworn to by:

MICHAEL D. BURKE
before me this 4th Day of
JULY, 2012

MICHAEL D. BURKE
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MQ 119

Friends of Jennifer Gomric Minton
Respondent

ID# 17850

REPORT OF HEARING OFFICER

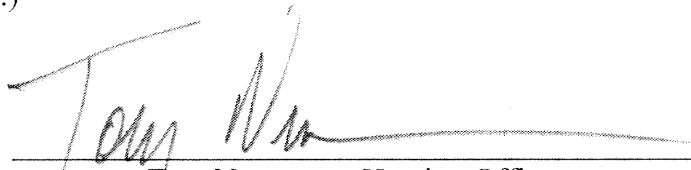
Appeal of Civil Penalty Assessment for Delinquent Filing of the March 2012 Quarterly Report

The March 2012 Quarterly Report was received by the Board on April 17, 2012, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee had previously been assessed a \$25 civil penalty (not appealed, stayed), for delinquently filing the December 2010 Semi-Annual Report. The total assessment is \$75.

Garrett P Hoerner, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Hoerner states that the late filing was unintentional, and resulted from technical difficulties with electronic filing including server problems on the night of the due date. He adds that even with those problems, the Report was filed only a few hours late.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$25 civil penalty. (As of 6/30/12, this Committee reported a funds available balance of \$3,323.24.)



Tom Newman – Hearing Officer
August 27, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Jennifer Gomric Minton

ID# 17850

Dear Friends of Jennifer Gomric Minton:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 17, 2012, 1 day late. As such, this committee has been assessed a fine of \$50.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.***


If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31 2010	Semi-Annual	\$25
TOTAL AMOUNT NOW DUE		\$75

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of St. Clair)

STATE BOARD OF ELECTIONS

12 JUL -9 PM 3:12

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Friends of Jennifer Gomric Minton)
Respondent(s).)

Case No. 12mq119

APPEAL AFFIDAVIT

I, Garrett P. Hoerner, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Jennifer Gomric Minton
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

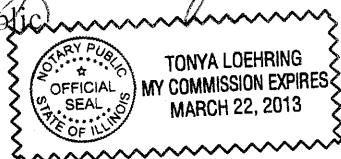
As Treasurer of the Friends of Jennifer Gomric Minton Committee, I hereby respectfully
request that the civil assessment levied in the June 4, 2012 correspondence be
reversed and waived in that the late filings for the 7/1/11 - 9/30/11 reporting period
(just minutes late) and the 1/1/12 - 3/31/12 reporting period (just hours late)
were inadvertent and unintentional based upon technical difficulties.

Signed and Sworn to by:

Garrett P. Hoerner

before me this 5th Day of
July, 2012

Tonya Loehring
Notary Public



Garrett P. Hoerner
(Signature of Chairman/Treasurer)

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 DQ 134

Citizens for Aaron Del Mar ID# 21544
Respondent

REPORT OF HEARING OFFICER

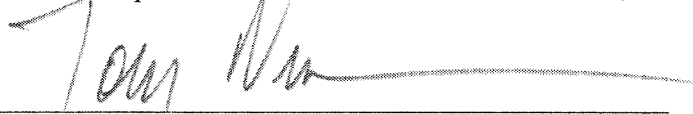
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2011 Quarterly Report

The Report was received by the Board on January 18, 2012, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee had previously been assessed a \$375 civil penalty (not appealed, stayed) for delinquent filing of the June 2011 Quarterly Report. The total assessment is \$425.

Collin Corbett, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Corbett states that he experienced multiple issues while attempting to file the Report using the IDIS electronic filing software, and as a result he was unable to upload the Report on time. He points out that the filing was completed just 29 minutes after the midnight deadline and that the Committee had no financial activity to report for the quarter in question.

The fact that the Report was filed less than a half hour after the deadline is immaterial – it was received after the deadline and was therefore late. However, in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the prior assessment. (As of 6/30/12, this Committee reported a funds available balance of \$7,800.10.)

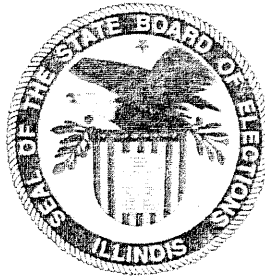


Tom Newman – Hearing Officer
July 24, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 19, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Aaron Del Mar
Aaron Del Mar, Collin Corbett
853 N Quentin Rd, # 248
Palatine, IL 60067-2031

ID# 21544

Dear Citizens for Aaron Del Mar:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2011 through December 31, 2011
Filing Period:	January 2, 2012 through January 17, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 18, 2012, 1 day late. As such, this committee has been assessed a fine of \$50.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 18 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30, 2011	Quarterly	\$375
TOTAL AMOUNT NOW DUE		\$425

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

12 JUL 16 PM 12:37

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens For Aaron Del Mar)
Respondent(s).)

Case No. 12 DQ 134

APPEAL AFFIDAVIT

I, Collin Corbett, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Aaron Del Mar
(Name of the Committee)

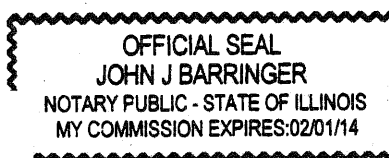
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The 2011 Q4 Report was completed (blank report due to no
committee activity in the quarter) on the 17th. However, multiple
issues with attempting to file the report via the IDIS software
caused a delay. I was finally able to connect and file at 12:29 a,
29 minutes late. I called the next day and was told if we were
fined to appeal and it would be ok
due to the circumstances.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]
before me this 11 Day of July, 2012

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 AM 025

Friends of Elgie Sims ID# 22210
Respondent

REPORT OF HEARING OFFICER

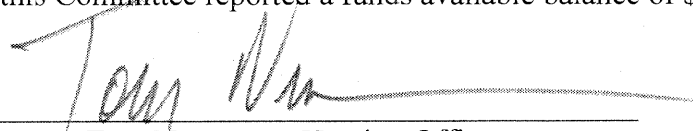
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$2,500 contribution on 3/15/12 and reported it on a Schedule A-1 received by the Board on 3/27/12, 6 days late, resulting in a civil penalty assessment of \$1,250. Additionally, the Committee had previously been assessed a \$300 civil penalty (not appealed, stayed) for delinquently filing the December 2010 Semi-Annual Report. The total assessment is \$1,550.

Elgie Sims, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Sims states the contribution in question was actually received by the Committee on 3/26/12, but was reported using the date on the check, 3/15/12. He includes copies of the cancelled check and the deposit slip, showing the amount deposited into the Committee's bank account on 3/26/12.

Section 9-10(d) of the Act states that a contribution is considered received on the date it is deposited into a committee's repository of funds. Since the evidence provided shows the receipt date was 3/26/12, the A-1 filed by the Committee was timely. I recommend the appeal be granted. However, I also recommend the Committee be required to amend its Quarterly Report for the period 1/1/12 – 3/31/12 to reflect the correct receipt date for the contribution, with the amendment to be filed within 30 days of the Final Board order in this matter. If these recommendations are accepted by the Board, the stay would be returned to the earlier civil penalty. (As of 6/30/12, this Committee reported a funds available balance of \$10,936.)



Tom Newman – Hearing Officer

July 27, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Elgie Sims

ID# 22210

Dear Friends of Elgie Sims:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Jay Keller	3/15/2012	\$2500	3/27/2012	6	\$1250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1250 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$125, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1 through December 31, 2010	Semi-annual	\$300
TOTAL AMOUNT NOW DUE		\$425

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

12 JUL -1 AM 11:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS OF ELGIE SIMS)
Respondent)

Case No.

12 AM 025

APPEAL AFFIDAVIT

I, Elgie R. Sims, Jr., the Chairman of the Friends of Elgie Sims Committee, first being duly sworn, deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are: The penalty was imposed based on an incorrect application of statute. 10 ILCS 5/9-10(c) states that "a political committee shall file a report of any contribution of \$1,000 or more electronically with the Board within 5 business days after receipt of the contribution."

The contribution in question was received and deposited on March 26, 2012, see Attachment # 1. In compliance with the aforementioned statute, the appropriate A-1 was filed electronically on March 27, 2012 at 11:15:40 AM, see Attachment # 2.

The resulting fine was assessed based on the date the check was written, March 15, 2012, not on the date of receipt by the Committee, March 26, 2012. This application of the statute is incorrect based on the facts and timeline presented. Based on the erroneous application of the statute in this case, all fines levied as a result of this alleged violation and any previous fines levied must therefore be repealed.

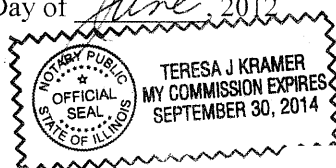
Elgie R. Sims, Jr.
Signature of Chairman

Signed and Sworn to by:

Teresa J. Kramer

before me the 28th Day of June, 2012

Notary Public
(seal)



CHASE

CHASE

Special benefits for Chase checking customers! Take advantage of exclusive offers on many Chase products. To learn more visit chase.com/exclusives or talk to a banker today!

My Transaction Summary

CHASE

Transaction #111	
Account Number Ending In:	5816
Checking Deposit	\$2,750.00

CHASE

Further review may result in delayed availability of this deposit

CHASE

JPMorgan Chase Bank, N.A.
Washington and Wabash, Branch 746791
1-800-333-3333

Member FDIC, Equal Housing Lender

Please keep your receipt

03/26/2012 12:02

Business Date 03/26/2012
Session #52

Thank you - Leticia
Cashbox #02

WARNING - THIS CHECK IS PROTECTED BY SPECIAL SECURITY GUARD PROGRAM™ FEATURES

Royal Royal Management Corporation BANK OF AMERICA
 OPERATING ACCOUNT CHICAGO, IL 60603
 665 W. North Avenue 2-50/710
 Suite 500
 Lombard, IL 60148

044531

Pay Two Hundred Fifty Dollars and 00 Cents

to the Order of:
 WOMEN FOR ELGIE SIMS

DATE Mar 15, 2012 AMOUNT \$250.00

John Samuels

HEAT SENSITIVE
 RUB AREA TO VERIFY

THIS CHECK CONTAINS MULTIPLE SECURITY FEATURES - SEE BACK FOR DETAILS

Bank of America Advantage

JAY A KELLER 10-08
 2724 WENDY DR
 NAPERVILLE IL 60566-5320

3/15/12 Date 1385
 70-480/819 IL 91914

Pay CITIZENS FOR ELGIE SIMMS
 to the order of \$ 2500.00
TWO THOUSAND FIVE HUNDRED & 00/100 Dollars

Bank of America

ACH R/T 081904808

Memo DEBIT

Attachment # 2

Committee Details

Page 1 of 6

FRIENDS OF ELGIE SIMS

Purpose: To support Elgie Sims and other candidates for
state and local elective office.

8142A S Prairie Park Place
Chicago, IL 60619-4800

Active
State 9995
Local 15554
Committee ID 22210

Type of Committee:

Candidate

Creation Date:

8/12/2009

Officers

Candidates

Report Type	Reporting Period	Filed	Pages	Clarification
A-1 (\$1000+ Year Round)	4/1/2012 to 6/30/2012	6/28/2012 12:38:49 PM Filed electronically	0	
A-1 (\$1000+ Year Round)	4/1/2012 to 6/30/2012	5/1/2012 4:06:19 PM Filed electronically	2	
A-1 (\$1000+ Year Round)	4/1/2012 to 6/30/2012	4/23/2012 1:10:01 PM Filed electronically	1	
Quarterly	1/1/2012 to 3/31/2012	4/16/2012 3:24:48 PM Filed electronically	22	
A-1 (\$1000+ Year Round)	4/1/2012 to 6/30/2012	4/16/2012 2:57:11 PM Filed electronically	1	
A-1 (\$1000+ Year Round)	1/1/2012 to 3/31/2012	3/27/2012 11:15:40 AM Filed electronically	1	
A-1 (\$1000+ Year Round)	1/1/2012 to 3/31/2012	3/21/2012 4:07:02 PM Filed electronically	1	
A-1 (\$1000+ Year Round)	1/1/2012 to 3/31/2012	3/15/2012 5:08:04 PM Filed electronically	1	
A-1 (\$1000+ Year Round)	1/1/2012 to 3/31/2012	3/15/2012 4:42:54 PM Filed electronically	1	
A-1 (\$1000+ Year Round)	1/1/2012 to 3/31/2012	3/13/2012 2:58:59 PM Filed electronically	1	
A-1 (\$1000+ Year Round)	1/1/2012 to 3/31/2012	3/11/2012 2:30:52 PM Filed electronically	1	
A-1 (\$1000+ Year Round)	1/1/2012 to 3/31/2012	3/6/2012 5:35:58 PM Filed electronically	1	
A-1 (\$1000+ Year Round)	1/1/2012 to 3/31/2012	3/5/2012 5:10:12 PM Filed electronically	1	
A-1 (\$1000+ Year Round)	1/1/2012 to 3/31/2012	3/2/2012 10:40:59 AM Filed electronically	1	
A-1 (\$1000+ Year Round)	1/1/2012 to 3/31/2012	2/28/2012 11:15:57 AM Filed electronically	1	

[First Previous Next Last]

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

12 MA 057

Committee to Elect Mel Thillens 23224
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
The June 2011, September 2011, and December 2011 Quarterly Reports

The June 2011 Quarterly Report was received by the Board on 3/19/12, 168 days late, resulting in a civil penalty of \$4,200, the September 2011 Quarterly Report was received by the Board on 3/19/12, 105 days late, resulting in a civil penalty assessment of \$5,000, and the December 2011 Quarterly Report was received by the Board on 3/19/12, 42 days late, resulting in a civil penalty assessment of \$3,150. The total assessment is \$12,350.

Mel Thillens the candidate of the Committee appeared at the August 1st appeal hearing.

Mel Thillens indicated that he only filed the D-1, Statement of Organization, to get the voter registration list. He never reached the \$3,000 threshold and since he never reached the threshold he did not realize that he was required to file reports even though he received notices. Mr. Thillens apologized for this misunderstanding. Mr. Thillens has amended the March 2011 Quarterly Report on 8/2/12 and this report was filed as a Final Report.

I understand that Mr. Thillens only filed the D-1, Statement of Organization, to obtain the voter registration list. However, he filed the D-1 on 1/7/11 and listed a creation date of 1/6/11. Although the Committee had not met the \$3,000 threshold, it became a political committee and agreed to abide by the Campaign Financing Act when it filed the Statement of Organization. It is unfortunate that Mr. Thillens did not understand the filing requirements but as the candidate and an officer of his committee he has a responsibility to know and understand the statute. That being said Mr. Thillens amended the report covering its creation date through 3/31/11 to a Final Report. The amending of this report would then negate the filing requirements for the June 2011, September 2011, and December 2011 Quarterly Reports for which they have been assessed and are appealing. The reports that were filed for those reporting periods reflected that no activity took place during those periods of time. I recommend the appeal be granted. (The Committee filed an amended Final Report on 8/2/12 indicating a \$0 balance as of 3/31/11.)



Andy Nauman – Hearing Officer

August 7, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Committee to Elect Mel Thillens

ID# 23224

Dear Committee to Elect Mel Thillens:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	2011 June Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on March 19, 2012, 168 day(s) late. As such, this committee has been assessed a fine of \$4200.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	2011 September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2011 through September 30, 2011
Filing Period:	October 1, 2011 through October 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on March 19, 2012, 105 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type:	2011 December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2011 through December 31, 2011
Filing Period:	January 1, 2012 through January 17, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on March 19, 2012, 42 day(s) late. As such, this committee has been assessed a fine of \$3150.

The total for all new assessments is \$12,350.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
12 JUN 15 PM 1:49

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS,
Complainant

Vs.
Comte to Elect Mel Thillens
Respondent(s).

Case No. 12MA057

APPEAL AFFIDAVIT

I, Mel Thillens, the Chairman of the
(Name) (Chairman/Treasurer)
Committee to Elect Mel Thillens
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- The Committee never crossed the \$3000 threshold in either contributions or expenditures, and therefore is not covered by the Campaign Disclosure Act.
- In the event the above is not relevant, the committee believed it to be true, therefore the acts were inadvertent violations.
- The Committee had zero \$0 in contributions for the quarters in question.
- The March 2011 Report should have been the final report for the committee. An amended filing may make subsequent reports moot.

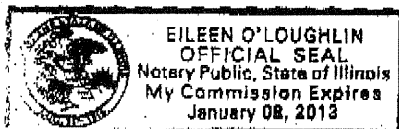
Mel P. Thillens
Signature of Chairman/Treasurer

Signed and Sworn to by:

Eileen O'Loughlin

before me this 13th Day of June, 2012

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs. -

12 AM 038

Joy Cunningham for Justice 23691

Respondent

REPORT OF HEARING OFFICER

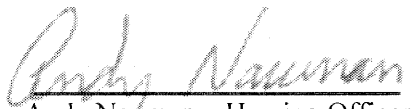
Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 1st Quarter of 2012

This committee received a \$2,500 contribution on 2/22/12, and reported this contribution to the Board 1 business day late, resulting in a civil penalty assessment of \$1,250. In addition, the Committee has previously been assessed a \$250 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 3rd Quarter of 2011; two \$625 civil penalties (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 4th Quarter of 2011; six \$250 civil penalties (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 4th Quarter of 2011. The total assessment is \$1,250.

The Respondent was represented by attorney Richard Means at the August 15th appeal hearing. Also attending was Vance Du Rivage the treasurer of the Committee.

Richard Means indicated that the contribution was deposited on February 24, 2012 and the Committee would be amending the March 2012 Quarterly Report to reflect the correct receipt date. The contribution was therefore reported on a Schedule A-1 within 2 business days of deposit. The Respondent provided a print out from the bank of the actual check that shows the check was processed on February 24th as part of the appeal.

The bank print out of the check shows that the Larry Goldberg \$2,500 contribution was posted to the account on 2/24/12 and the Committee filed a Schedule A-1 for this contribution on 2/27/12 which is within 2 business days of deposit. The Committee amended the March 2012 Quarterly Report on 8/15/12 which now reflects the date change. I recommend the appeal be granted. (As of 6/30/12, this Committee reported a funds available balance of \$ 0.)


Andy Nauman – Hearing Officer
August 15, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Joy Cunningham for Justice

ID# 23691

Dear Joy Cunningham for Justice:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Larry Goldberg	2/22/2012	42500	2/27/2012	1	\$1250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1250 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$625, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
TOTAL AMOUNT NOW DUE		\$

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
) SS.
County of Cook)

STATE BOARD OF ELECTIONS

12 JUL -1 AM 10:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:

Illinois State Board of Elections,)
Complainant)

Vs.)

Case No. 12 AM038

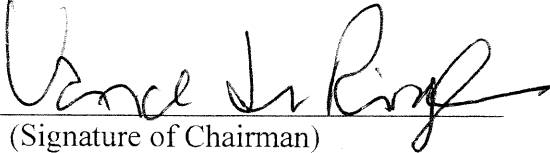
Joy Cunningham For Justice (ID# 23691),)
Respondent)

APPEAL AFFIDAVIT, REQUEST FOR HEARING

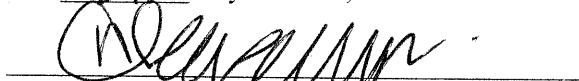
I, Vance du Rivage, the Chairman of Joy Cunningham for Justice committee, after first being duly sworn deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty issued on June 4, 2012 and that such reasons and defenses are:

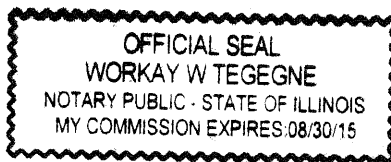
The contributor in question, Larry M. Goldberg, made a \$2500 contribution which we noted in our records and directed to our bank on Wednesday, February 22, 2012. We filed the A-1 disclosure which includes this contribution on Monday February 27, 2012. As the attached bank record shows, the bank did not receive and deposit the check until Friday, February 24, 2012. Therefore, we have amended our filings with the Board to show the receipt for this contribution to have been February 24 making the A-1 filing within the permissible 2 business days applicable for the last 30 days prior to the election. The appeal should therefore be granted and the civil assessment should be dismissed.

The Committee respectfully requests a hearing at which to present its evidence of the above-detailed facts.


(Signature of Chairman)

Signed and sworn to by: Vance du Rivage
this 27 day of June, 2012


Notary Public
SEAL.





Account Number: 412277837
 Capture Date: February 24, 2012
 Item Number: 14265000247184
 Posted Date: February 24, 2012
 Serial Number: 1039
 Amount: 2,500.00

STATE BOARD OF ELECTIONS
 12 JUN 29 AM 8:1

LARRY M. GOLDBERG 01-12
 110 W SUPERIOR ST., UNIT 2401
 CHICAGO, IL 60654-3580

⑆46956 1039
 DATE 2/12/12

PAY TO THE ORDER OF Jerry for Justice \$ 2500.00
Two thousand five hundred 00 DOLLARS

CHASE
 JPMorgan Chase Bank, N.A.
 www.Chase.com

MEMO [Signature]

DO NOT WRITE, STAMP OR SIGN BELOW THIS LINE

ENDORSE HERE

1. Endorse on back of check
 2. Attach to document
 3. Submit to State Board of Elections

12 JUN 29 AM 8:13

Respondent.)

40

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 AM 050

Citizens to Elect Karen Lynn O'Malley ID# 23846
Respondent

REPORT OF HEARING OFFICER

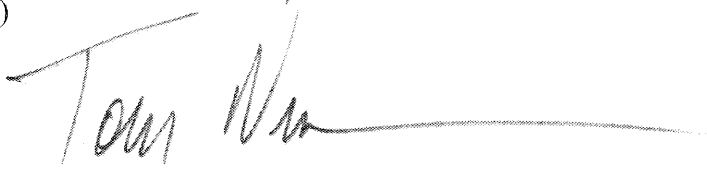
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$1,000 contribution on 2/8/12 and reported it on a Schedule A-1 received by the Board on 3/8/12, 13 days late, resulting in a civil penalty assessment of \$500.

Maureen Albright, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Albright states that the 2/8/12 date reported for the contribution was an error. She says the contribution was actually received on 3/8/12, and she includes a copy of the cancelled check with an issue date of 3/4/12.

It is clear the original date of receipt reported for the contribution was a mistake. The Schedule A-1 was timely filed, and I recommend the appeal be granted. I also recommend the Committee be ordered to amend its Quarterly Report for the period 1/1/12 – 3/31/12 to reflect the correct receipt date for the contribution, with the amendment to be filed within 30 days of the Final Board order in this matter. (As of 6/30/12, this Committee reported a funds available balance of \$100.46.)



Tom Newman – Hearing Officer
August 2, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens to Elect Karen Lynn O'Malley ID# 23846

Dear Citizens to Elect Karen Lynn O'Malley:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Terrence J Sheahan	2/8/2012	\$1000	3/8/2012	13	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be ***stayed*** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

CHICAGO

2012 JUL -3 PM 1:31

STATE BOARD OF ELECTIONS

State of Illinois)
County of COOK)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS TO ELECT KAREN LYNN)
Respondent(s). O'MALLEY)

Case No. 12 PM 050

APPEAL AFFIDAVIT

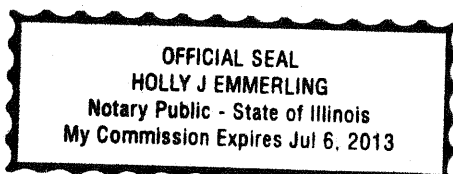
I, Maureen T. Albright, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens to Elect Karen Lynn O'Malley
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- 1) The contribution at issue was reported received on 2/8/12 in error. The contribution was received on 3/8/12. Attached as Exhibit A is a copy of the check, which was not issued until 3/4/12. Exhibit A also reflects that the donation was posted to the Committee account on 3/8/12.
- 2) The A-1 and Quarterly Report based on that A-1 contained a typographical error showing that the contribution was received 2/8/12 instead of the actual date received, 3/8/12.
- 3) Upon recognizing the error, the candidate submitted a letter to the Board to correct the reporting error. A copy of that letter is attached as Exhibit B.
- 4) Because the donation received as actually reported within the 5 day reporting period, I respectfully request that the finding of delinquency and the fine levied be vacated.

Signed and Sworn to by:
Maureen T. Albright
before me this And Day of
July, 2012
Holly J. Emmerling
Notary Public

Maureen Albright
(Signature of Chairman/Treasurer)



Chase Online

Thursday, June 14, 2012

Check Details for Check Number 2654

Post Date	Amount	Account number	Routing number
03/08/2012	\$1,000.00	[REDACTED]	[REDACTED]

Check Images (Front and Back)

TERRENCE SHEAHAN 02-95
PATRICIA SHEAHAN
9528 N RIDGEWAY
EVANSTON, IL 60203

2-138
710

2654

DATE 3-4-12

PAY TO THE ORDER OF: Citizens Elect Ken O'Malley \$ 1,000.00
One Thousand DOLLARS

CHASE
JPMorgan Chase Bank, N.A.
www.Chase.com

MEMO: T. J. Sheahan

DO NOT WRITE, STAMP OR SIGN BELOW THIS LINE

ENDORSE HERE

Ken O'Malley
for deposit only

This information is provided for your convenience and does not replace your monthly account statement(s), which are the official records of your accounts and does not replace any other notice we send you. JPMorgan Chase Bank, N.A.
Member FDIC

© 2012 JPMorgan Chase & Co.

EXHIBIT A

June 14, 2012

Illinois State Board of Elections
Campaign Disclosure
Chicago Office
100 W. Randolph Street Suite 14-100
Chicago, IL 60601

RE: Disclosure amendment

COMMITTEE NAME: Citizens to Elect Karen Lynn O'Malley
COMMITTEE NUMBER: 23846-3

Dear Sir or Madam:

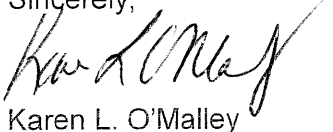
I am writing to correct a typographical error shown on an A-1 filed on March 8, 2012 as well as the Quarterly Report for the reporting period of January 1, 2012 through March 31, 2012. The A-1 and the Quarterly Report reflect a donation from Terrence Sheahan was received on February 8, 2012. The donation from Mr. Sheahan was actually received on March 8, 2012 and an A-1 was filed on the same date. The reference to the donation being made on February 8 (or 2-8, as indicated on the reports) was simply a typographical error.

I have attached a copy of the deposit record of check at issue, having redacted the account and routing numbers. As the check shows, the check was dated March 4, 2012 and was posted on March 8, 2012.

Please allow this letter to serve as an amendment to the A-1 filed on March 8, 2012 as well as the Quarterly Report for the reporting period of January 1, 2012 through March 31, 2012.

Please contact me for any further necessary information at 312-636-1684.

Sincerely,



Karen L. O'Malley

EXHIBIT B

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 MQ 247

Friends of Paul Pavlus 23874

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing March 2012 Quarterly Report

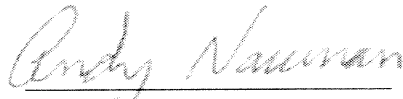
The Report was received by the Board on 4/17/12, 1 day late, resulting in a civil penalty assessment of \$100. In addition, this Committee had previously been assessed a \$500 civil penalty (not appealed, stayed) for delinquently filing the December 2011 Quarterly Report. The total assessment is \$600.

Sharon Johnson, the person who files the reports for the committee appeared for the August 1 appeal hearing.

Sharon Johnson indicated that the old IDIS program was not user friendly, but she had become accustomed to the program. She believes the new IDIS program is not user friendly either. Ms. Johnson indicated that she had gone back and cleaned up the Committee's IDIS database by taking out duplicate entries, adding addresses that were incomplete as well as adding missing employer and occupations. She believes this caused the program to create an amended report. Ms. Johnson did not think anything about the amended report that was triggered and she did not think she had to file the report for the program still allowed her to file Schedule A-1 Reports. However, on the last day to file when she tried to file the March 2012 Quarterly Report the program would not let her file the report. She was very frustrated with the program and she could not figure out why the program was not allowing her to file the report. She finally attempted to file the December 2011 Quarterly Report after hours of trying to figure out what was wrong 8 minutes past the filing deadline and the report went through. She immediately filed the March 2012 Quarterly Report 1 minute later. Ms. Johnson also indicated that she did not find the help menu helpful and the Committee was not aware that they could have appealed the first violation for they had an electronic filing issue with that report as well.

It is unfortunate that Ms. Johnson has had difficulty working with the IDIS program. However, she indicated that the staff goes out of their way to help whenever she has sought help. It appears that the December 2011 Quarterly Report was required to be filed for the Committee had reduced the itemized and not-itemized individual contributions, and added a loan in that reporting period which now reports the Committee received \$150 less during the reporting period. Additionally, the not-itemized transfers out, the itemized expenditures and not itemized expenditures increased for that reporting period for a total of \$1,560.26. These changes changed the funds available at the close of the reporting period by \$1,710.26 and created the need to file the amended report that was generated by the program. Each time one of these changes would have been entered into IDIS the program it would have given the data entry person a warning notice that indicated that the "Transaction date falls in a prior reporting period. This may trigger an amendment. Do you wish to save anyway?" or "Are you sure you want to permanently delete this receipt to _____. Since monetary changes were made to a prior reporting period the program requires the earliest reporting period to be filed prior to allowing later reporting periods to be filed. I believe the help menu does address the issue at hand for under Creating & Filing Amendments it indicates that

“When filing multiple amendments, on the **Reports Due Now** window, you must individually file the amended reports in order from top to bottom. This is because the program lists the earliest reporting period from top to bottom. I am slightly troubled that the warnings and/or the fact that the Committee had changed the financial makeup of the prior reporting period did not prompt them to file the amended report prior to attempting to file the March 2012 Quarterly Report, but that did not happen. However, in order to be consistent with previous Board decisions when an electronic filing issue is used as a defense in regards to a delinquent filing, I recommend that the appeal be granted considering this defense has not been used in the past. However, since the amended report has been filed and the Committee is now aware that quarterly reports must be filed in sequential order on the reports due now window, it is doubtful that the Board would look favorably upon the use of this electronic filing defense a second time. If this recommendation is accepted by the Board the \$500 civil penalty from the December 2011 Quarterly Report will continue to be stayed. (As of 6/30/12, this committee reported a funds available balance of \$7,244.03.)

A handwritten signature in cursive script that reads "Andy Nauman".

Andy Nauman – Hearing Officer

August 6, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Paul Pavlus

ID# 23874

Dear Friends of Paul Pavlus:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 17, 2012, 1 day late. As such, this committee has been assessed a fine of \$100.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1 through December 31 2011	Quarterly	\$500
TOTAL AMOUNT NOW DUE		\$600

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

12 JUL -9 PM 3:12

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Paul Pavlas)
Respondent(s).)

Case No. 12MQ247

23874

APPEAL AFFIDAVIT

I, Sharon Johnson, the Deputy Treasurer of the
(Name) (Chairman/Treasurer)

Friends of Paul Pavlas

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

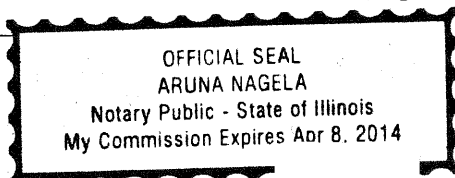
The report was filed at 12:09am. The system would not allow me to transmit due to an amended report not previously being transferred. The instruction for that process was not clear in the troubleshooting and the help area of the system. It took me hours to discover the problem. The amended form was mostly name and address additions, corrections and duplicates were removed. The system can be challenging and difficult.

Signed and Sworn to by:

Sharon Johnson
before me this 5th Day of
July, 2012

Aruna Nagela
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 056

Northwest Suburban Teachers Union
Local 1211

ID# 23915

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received two contributions, \$2,062.20 on 1/12/12 and \$4,085.20 on 3/14/12 and reported both on a Schedule A-1 received by the Board on 4/3/12, 49 and 12 days late respectively, resulting in a civil penalty assessment of \$3,073.70. Additionally, the Committee had previously been assessed a \$650 civil penalty (not appealed, stayed) for delinquently filing the September 2011 Quarterly report. The total assessment is \$3,723.70.

Gary Wilson, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Wilson states the contributions in question came from member dues and he has filed an amended March 2012 Quarterly Report to show these contributions as Not Itemized.

I agree the contributions represent member dues and are not subject to the A-1 reporting requirements. 9-8.5(i) of Illinois disclosure law allows a labor organization to act as a conduit to deliver dues payments and to report these normally not itemized contributions in the aggregate. Therefore, I recommend the appeal be granted. (As of 6/30/12, this Committee reported a funds available balance of \$26,023.33.)



Kim Patrick – Hearing Officer
August 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Northwest Suburban Teachers Union LU 1211 ID# 23915

Dear Northwest Suburban Teachers Union LU 1211:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
IFT	1/12/2012	\$2062.20	4/3/2012	49	\$1031.10
IFT	3/14/2012	4085.20	4/3/2012	12	\$2042.60

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$3073.70 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$307, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1 through September 30, 2011	Quarterly	\$650
TOTAL AMOUNT NOW DUE		\$957

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)

County of: Cook)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

12 JUN 28 PM 1:13

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complaint)

Vs.)

Case No. 12AM1056

Northwest Suburban Teachers Union)

Local 1211 ID # 23915)

Respondent(s).)

APPEAL AFFIDAVIT

I, Gary Wilson, the Treasurer of the
(Chairman/Treasurer)

Northwest Suburban Teachers Union Local 1211 ID # 23915
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

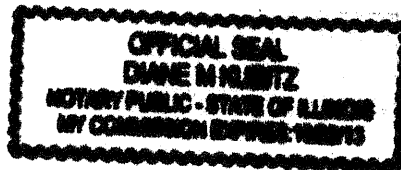
I incorrectly classified the money that was received from the IFT on January 12, 2012 and March 14, 2012 as a donation from a single source. The money that the Northwest Suburban Teachers Union LU 1211, #23915, received from the IFT is a lump sum donation. Local 1211 has over 1000 members and the IFT collects money from each of them and sends Local 1211 one lump sum check for the total. I went on line and amended our reports to show that the money that Local 1211 received from the IFT is a lump sum contribution. We are doing our best to comply with all the legal requirements for reporting our political activities and would appreciate a stay of the penalty.

Gary Wilson
Signature of Chairman/ Treasurer

Signed and Sworn to by:

Diane M. Kubitz

before me this 26 Day of June, 2012
Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 MA 065

Daniel Biss for State Senate 23971

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1's

This committee received two in-kind contributions from Daniel Biss for State Representative. The first contribution was on 12/6/11 for \$1,583 and second contribution was on 12/30/11 for \$2,500. The first contribution was 18 days late, resulting in an assessment of \$791.50 and the second contribution was 1 day late, resulting in an assessment of \$1,250. Additionally, the Committee received five \$1,000 contributions, one \$2,000 contribution and one \$5,000 contribution and reported these contributions to the Board between 1 and 4 days late, resulting in five assessments of \$500, 1 assessment of \$1,000, and one assessment of \$2,500. The total assessment is \$8,041.50.

The Respondent was represented by attorney Michael Kreloff at the August 1st appeal hearing. Also attending was Daniel Biss the candidate of the committee.

Ren Chung Yu works for Mr. Biss and performs his duties as instructed by Mr. Biss. As a staff employee Mr. Yu performs a variety of political services which are paid out of one of Mr. Biss's candidate political committees Daniel Biss for State Representative. In an abundance of caution Daniel Biss for State Senate reported an in-kind contribution for the services that Mr. Yu would have performed directly in relation to Mr. Biss seeking a Senate seat. The dilemma the candidate ran into was that he has two separate committees with separate bank accounts furthering two separate but wholly intertwined candidate purposes. Both of the committees list a purpose statement to support the candidacy of Daniel K Biss. The Respondents believe the services Mr. Yu performed therefore could have been reported on either of his committees however he wanted to show that Mr. Yu was performing duties that benefited both his held office and the office he was seeking. The Respondents believe this is a very unusual circumstance and that this should be considered when determining the appeal on this issue. The Respondents also indicated that the failure to file a Schedule A-1 for these contributions was inadvertent and unintentional.

For the remaining seven contributions Mr. Biss was mentally still thinking that he had 5 business days to report these contributions. Mr. Biss deposited all seven of the contributions personally and after further review he actually filed the Schedule A-1 for these contributions on the deemed receipt date or before it. The deemed receipt date is the date the monetary contributions were deposited.

Furthermore, the Respondents believe that all of the contributions should be considered as a first violation considering the Committee was assessed for the alleged violations all at once. The Committee believes all of the alleged violations should be reduced to 10% for they did not receive notice of the first two alleged violations (in the 4th quarter of 2011) until after next seven alleged violations took place (in the 1st quarter of 2012). The Act now indicates that the Board must promptly send notice and the Respondents do not


believe the notice was sent timely for they were not notified of the first two alleged violations until after the second set of seven alleged violations took place in the following reporting period.

<u>Contributor</u>	<u>Date Contribution was reported as received on A-1</u>	<u>Date A-1 received</u>	<u>Deposit Date</u>
Martin J Koldyke	2/22/12	2/27/12	2/27/12
Chicago Fire Fighter Union 2Pol.Com.	2/22/12	2/27/12	2/27/12
Susan Berghoef	2/26/12	3/3/12	3/13/12
Associated Beer Distributors of IL PAC	2/29/12	3/3/12	3/5/12
United Food & Commercial Workers	2/29/12	3/3/12	3/5/12
Kim Stone	3/8/12	3/13/12	3/13/12
Illinois Venture Capital Assn	3/8/12	3/13/12	3/13/12

In relation to the two in-kind contributions I recommend the Board give the committee a one-time excusal or amnesty for these two violations. I believe these violations are similar in nature to when the Board has given amnesty to a candidate committees for Schedule A-1 violations when a candidate loans their campaign money from their own personal funds, or when they pay for items themselves, it is by law a contribution, however they claim that they did not realize these loans and payments were reportable contributions. The candidate is sent a letter informing them of the unreported contributions, what the penalty would have been, and a warning that if a future loan or personal payment is not timely reported, the committee will be assessed. I believe the Daniel Biss for State Senate committee may have realized that this was a contribution, but they did not realize it was a reportable contribution in relation to a Schedule A-1 for Daniel Biss for State Representative reported the expenditure for services that were performed by Mr. Yu. Mr. Biss's candidate committees were not commingling funds but in an essence they were commingling services paid for by one of his candidate committees to the other and they did not realize this contribution was reportable on a Schedule A-1. I recommend the Board give this Committee a one-time excusal on these two violations and a warning that if a similar violation were to take place the committee will be assessed.

In relation to the Martin J Koldyke, Chicago Fire Fighter Union Local 2 Political Committee, Susan Berghoef, Associated Beer Distributors of IL PAC, United Food & Commercial Workers, Kim Stone, and Illinois Venture Capital Assn contributions the bank print outs of the checks and the information from Act Blue show that the Committee would have timely filed Schedule A-1 Reports for these contributions within 2 business days of deposit. I recommend the appeal be granted in relation to these seven contributions. Furthermore, I also recommend the Board order the Committee to amend its March 2012 Quarterly Report to reflect the receipt date (deposit date) of these contributions within 30 days of the Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000.

If the Board were to accept these recommendations the Respondents defense that the Board did not promptly notify the committee of the violations would be moot, although the Act did not contain the promptly notify language until July of this year. (As of 6/30/12, this Committee reported a funds available balance of \$319,201.73.)


Andy Nauman – Hearing Officer
August 7, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Daniel Biss for State Senate

ID# 23971

Dear Daniel Biss for State Senate:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the 2011 fourth quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Daniel Biss for State Representative	12/6/2011	\$1583	*	18	\$791.50
Daniel Biss for State Representative	12/30/2011	\$2500	*	1	\$1250

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2041.50 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$204, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the 2012 first quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Martin J Koldyke	2/22/2012	\$1000	2/27/2012	1	\$500
Chicago Fire Fighter Union Local 2 Political Committee	2/22/2012	\$1000	2/27/2012	1	\$500
Susan Berghoef	2/26/2012	\$1000	3/3/2012	4	\$500
Associated Beer Distributors of IL PAC	2/29/2012	\$1000	3/3/2012	1	\$500
United Food & Commercial Workers	2/29/2012	\$1000	3/3/2012	1	\$500
Kim Stone	3/8/2012	\$2000	3/13/2012	1	\$1000
Illinois Venture Capital Assn	3/8/2012	\$5000	3/13/2012	1	\$2500

Your committee is subject to a fine of \$6000 for delinquently filing Schedule A-1 reports.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3000, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$3204.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

JUL 2 2012

State of Illinois)
County of COOK)

RECEIVED
RECEIVED

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
DANIEL BISS FOR STATE SENATE)
Respondent(s).)

JUL 2 2012

State Board of Elections

Case No. 12MA065

APPEAL AFFIDAVIT

I, DANIEL BISS, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
DANIEL BISS FOR STATE SENATE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:



Signed and Sworn to by:
Daniel Biss
before me this 29th Day of
June 2012
Michael Kreloff
Notary Public

[Signature]
(Signature of Chairman/Treasurer)

State of Illinois)
County of Cook) SS.

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant,) ID#23971
)
DANIEL BISS FOR STATE SENATE,)
)
Respondent.)

APPEAL AFFIDAVIT

Daniel Biss, Chairman and Treasurer of the Daniel Biss for State Senate Committee, first being duly sworn, deposes and states that, regarding the State Board of Elections assessment of civil penalties letter of June 4, 2012, he represents that said Committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- 1) Said letter questions two separate groupings of alleged non-timely disclosures, to-wit: a) an allegation of two “late” disclosures of contributions of \$1,000 or more in the fourth quarter of 2011; and b) an allegation of seven “late” disclosures of contributions of \$1,000 or more in the first quarter of 2012. A review of the factual details on each of the nine contributions warrant a finding that no penalty should be assessed under Section 125.425 of the Boards Rules. Alternatively, even if the Board finds a penalty is warranted, the Rules require a substantial reduction from the proposed fine assessed in the June 4th letter.

The Factual Setting

1. My name is Daniel Biss, and I am currently the duly elected State Representative of the 17th Representative District of Illinois. I have personal knowledge of the facts set forth in this affidavit, and if called as a witness, I could competently testify to these facts.
- 2) I first opened a campaign account with the filing of a D-1 statement of organization to support political activities for my election as State Representative in April, 2007, pursuant to Illinois law. I was elected to office in November, 2010, taking office in January, 2011. That committee continues to exist, as I continue to hold that office. In November, 2011, I decided to declare my

candidacy for State Senator of the 9th Senate District in the November, 2012 election cycle.

The Two December A-1 Expenditures/Contributions

- 3) Beginning in the first quarter of 2011, the Daniel Biss for State Representative Committee hired, as an employee, Mr. Ren Chung Yu. He continued to perform political services for the Committee through the fourth quarter of 2011. See Ex. 1.
- 4) As a staff employee of the Daniel Biss for State Representative Committee, Mr. Yu was paid \$1,583 on December 6, 2011, and \$2,500 on December 30, 2011. Both of those expenditures on behalf of the employer committee, (Biss for State Representative) were properly and timely disclosed as expenditures on that committee's D-2 form for the fourth quarter of 2011.
- 5) In November of 2011, I decided to run for another political office, (state senate) and on November 14, 2011, I filed a D-1 for Daniel Biss for State Senate. I am both chairman and treasurer of this candidate committee. As I am currently holding one political office (state representative) while also running for election to another political office (state senate), I felt (and continue to feel) a responsibility to disclose, as accurately as is possible, the financial activities of each committee. Should I be fortunate enough to be elected State Senator in November, 2012, it is my intention to then wind down the activities of Daniel Biss for State Representative, and ultimately terminate that committee's existence.
- 6) During the fourth quarter of 2011, while I legitimately had two committees supporting my two different political positions, Mr. Yu formally remained an employee of the State Representative Committee (and was therefore properly disclosed on the State Representative Committee's expenditure report for that quarter). Still, since Mr. Yu was performing duties that benefitted both my state representative interests and now, also, my state senate interests, an abundance of caution caused me to disclose the already disclosed expenditure listed on my state representative account also on) on my state senate account (as in-kind contributions from my state representative account). The work Mr. Yu performed furthered the success of all my political efforts. There was, and is, no real way to divide his services between the two candidate committee entities.
- 7) The act complained of by the Board is not non-disclosure to the public (since the expenditures to Mr Yu were timely disclosed) as it is more a quarrel with my accounting style, the result of two bank accounts furthering two separate but wholly intertwined candidate purposes. To the extent that this is, in fact, a violation at all, it should be treated as a "negligent or inadvertent violation" under Section 125.425(d)(5)(C)(ii), and any fine waived.

- 8) Moreover, under Section 125.425(d)(5)(D), this was, at most, a clerical error in “double-entering” the monies due to Mr. Yu. Indeed, the Biss Senate Committee could have disclosed the expenditures to Mr. Yu in the December, 2011 D-2, and no A-1 would ever have been triggered. That seemed inappropriate, however, because Mr. Yu, although performing duties that benefitted both the principal(s) (Representative Biss *and* Senate Candidate Biss), he actually received his paycheck from his employer, the Representative committee. The monies transferred to Mr. Yu were more in the nature of expenditures by the Representative Committee than contributions to the Senate Committee.

The Seven Allegations of A-1 Violations in the First Quarter of 2012

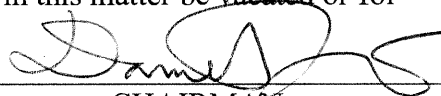
- 9) As a second part of the civil penalties letter, the Board is questioning seven contributions reported by the Committee, but which appear (at first glance) to be filed outside the two business day rule of 10 ILCS 5/9-10(c). An examination of each contribution reveals that the disclosures were not late; the dates of contributions were early.
- 10) Pursuant to 10 ILCS 5/9(d) (and Rule 5/9-10(d)), a contribution is considered received when it is deposited in a bank.
- 11) In “A Guide to Campaign Disclosure” (at 17), the Board explains: “...In the case of a contribution made by check or money order, **the date of receipt is the date the money is either deposited or the check is cashed and the money becomes available to the committee.**” (emphasis added).
- 12) All seven contributions were deposited by me, personally, in CitiBank, the holder of my campaign funds. When I made those deposits, I was mentally still thinking that the 5-day disclosure rule applied and was therefore not precise in indicating the exact time at which the funds were “deposited” in the bank “and the money becomes available to the committee”. On review (as a result of the June 4th letter), I re-examined my banking activities during February and March, 2012 and can now show that the deposit dates were later than I originally stated. As a result, all seven disclosures met the two-business day rule.
- A) **Koldyke check of \$1,000.** A copy of the check and online bank statement of deposit reveals it was received by the bank on 2/27/12 (instead of 2/22/12) and the 2/27/12 A-1 disclosure was timely. See Group Ex. A.
- B) **Local 2, Firefighters Union check of \$1,000.** A copy of the check and an online bank statement of deposit reveals it was received by the bank on 2/27/12 (instead of 2/22/12) and the 2/27/12 A-1 disclosure was timely. See Group Ex. B.
- C) **Berghoef check of \$1,000.** This was a contribution made through ActBlue, an online site. ActBlue then sent a check to me which I deposited in my bank on 3/13/12 (instead of 2/26/12) and the 3/3/12 A-1 disclosure was timely. See Group Ex. C.

- D) **Associated Beer Distributors check of \$1,000.** A copy of the check and an online bank statement of deposit reveals it was received by the bank on 3/5/12 (instead of 2/29/12) and the 3/3/12 A-1 disclosure was timely. See Group Ex. D.
- E) **United Food & Commercial Workers check of \$1,000.** A copy of the check and an online bank statement of deposit reveals it was received by the bank on 3/5/12 (instead of 2/29/12) and the 3/3/12 A-1 disclosure was timely. See Group Ex. E.
- F) **Stone check of \$2,000.** A copy of the check and an online bank statement of deposit reveals it was received by the bank on 3/13/12 (instead of 3/8/12) and the 3/13/12 A-1 disclosure was timely. See Group Ex. F.
- G) **Illinois Venture Capital Ass'n check of \$5,000.** A copy of the check and an online bank statement of deposit reveals it was received by the bank on 3/13/12 (instead of 3/8/12) and the 3/13/12 A-1 disclosure was timely. See **Group Ex. G.**

No penalty should be assessed because none of the A-1's was filed more than two business days after bank deposit.

- 13) Because there were no A-1 violations, there can properly be no fines assessed on these seven contributions. However, even were a disclosure late, the Committee wishes to preserve its right to contest the 50% fine preliminarily assessed. The Committee would be held responsible for an increase in a fine from the 10% rule to the 50% rule even though it never received notice of the 4th quarter 2011 purported violations for nearly five months. A committee is entitled to "prompt" notice of any violations, so that it has an opportunity to rededicate itself to better auditing and reporting practices. Although the June 4, 2012 letter combines the purported violations into a single case (although performed months apart), the Board is wrongly breaking the two apart and imposing an added penalty to the second grouping of violations. Thus, even were there A-1 violations, the Committee should fairly be entitled to the 10% rule set forth in Section 125.425(d)(5).¹

For all the foregoing reasons, the Daniel Biss for State Senate Committee asks that Its appeal be granted and the preliminary fines set forth in this matter be vacated or for such other relief as may be just.

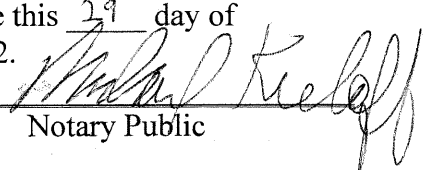

CHAIRMAN

Signed and sworn to by:

Michael Kreloff

before me this 29 day of
~~July~~, 2012.

June


Notary Public



¹ Again, since there were no actual A-1 violations, the 10% vs. 50% argument need not be addressed. This issue is raised only to preserve the Record.

Daniel Biss for State Representative

D-2 Quarterly Report

10/1/2011 to 12/31/2011

This report has 39 itemized Expenditures totaling \$14,661.51

Received By	Address	Amount	Expended By	Purpose	Print this list
Internal Revenue Service	P.O. Box 802503 Cincinnati, OH 45280	\$191.25 12/30/2011	Expenditure Daniel Biss for State Representative	Payroll tax	
KJD Strategies	6697 Revere Ct Gurnee, IL 60031-4111	\$1,000.00 12/19/2011	Expenditure Daniel Biss for State Representative	Fundraising Consulting	
NGP Software	1225 Eye St. NW, Suite 1225 Washington, DC 20005	\$146.00 11/10/2011	Expenditure Daniel Biss for State Representative	Software	
NGP Software	1225 Eye St. NW, Suite 1225 Washington, DC 20005	\$250.00 11/30/2011	Expenditure Daniel Biss for State Representative	Software	
NICOR	PO Box 2020 Aurora, IL 60507-2020	\$36.04 10/21/2011	Expenditure Daniel Biss for State Representative	Heating for district office	
NICOR	PO Box 2020 Aurora, IL 60507-2020	\$48.01 11/21/2011	Expenditure Daniel Biss for State Representative	Heating for district office	
NICOR	PO Box 2020 Aurora, IL 60507-2020	\$69.06 12/22/2011	Expenditure Daniel Biss for State Representative	Heating for district office	
Plimpton, Samuel	100 Beacon St Boston, MA 02116-1567	\$2,500.00 11/10/2011	Expenditure Daniel Biss for State Representative	Contribution refund	
Singer, Rick	8318 Ridgeway Ave Skokie, IL 60076-2829	\$275.00 11/30/2011	Expenditure Daniel Biss for State Representative	Salary	
Yu, Ren Chung	2109 Emerson Street Apt 2 Evanston, IL	\$412.50 10/7/2011	Expenditure Daniel Biss for State Representative	Salary	
Yu, Ren Chung	2109 Emerson Street Apt 2 Evanston, IL	\$2,402.50 11/7/2011	Expenditure Daniel Biss for State Representative	Salary	

Ex. 1-1

Yu, Ren Chung	2109 Emerson Street Apt 2 Evanston, IL	\$917.00 12/6/2011	Expenditure Daniel Biss for State Representative	Salary
Yu, Ren Chung	2109 Emerson Street Apt 2 Evanston, IL	\$1,583.00 12/6/2011	Expenditure Daniel Biss for State Representative	Salary
Yu, Ren Chung	2109 Emerson Street Apt 2 Evanston, IL	\$2,500.00 12/30/2011	Expenditure Daniel Biss for State Representative	Salary

[First Previous Next Last]

Records 26 to 39 of 39

[Return to Previous Page](#)

PUBWEB2


Ex. 1-2

CHECK: 10517

Date: Feb 27, 2012

Amount: \$1,000.00




 **PATRICIA B. KOLDYKE**
MARTIN J. KOLDYKE
1500 N. LAKE SHORE DR. # 9A
CHICAGO, IL 60610

10517

DATE 2/7/12 2-15
710 01

PAY TO THE ORDER OF Daniel Biss For State Senate \$ 1000.00

One Thousand and no/100 DOLLARS

THE NORTHERN TRUST COMPANY
 Northern Trust

FOR Martin J. Koldyke

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CHECK: 1700

Date: Feb 27, 2012

Amount: \$1,000.00



CHICAGO FIRE FIGHTERS UNION LOCAL 2 POLITICAL COMMITTEE FUND 440 WEST 43RD STREET CHICAGO, IL 60609-2715		1700 2-340710
DATE <u>2-10-12</u>		
PAY TO THE ORDER OF <u>DANIEL BISS FOR SENATE</u>	\$ <u>1,000</u>	
<u>One Thousand</u>		DOLLARS
MALGAMATED <small>Bank of Chicago</small>		
FOR <u>[Signature]</u>		

* FEDERAL RESERVE BOARD OF GOVERNORS REG. CC				DO NOT WRITE, STAMP OR SIGN BELOW THIS LINE RESERVED FOR FINANCIAL INSTITUTION USE *	<u>[Signature]</u>	ENDORSE HERE
						X

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Business: 7000000000342570

Main Menu > Balance and Information Reporting >

Recent Account Activity

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Recent Account Activity February 27 2012
Debits & Credits for Checking Account: 80

▼ Date ▲
02/27/12
02/27/12

Generated June 22 2012 at 23:09:10

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Cash Manager

Close

Not all items could be displayed for this deposit. Please contact Customer Service to obtain missing items.

Deposit Item Details Feb 27, 2012			
for CHECKING Account: 00000000000000000000			
Item	Amount	Account	Routing
Deposit slip view	2982.22		
Check # 0 view	50.00	1134860	71925538
Check # 3000062941 view	336.17	1255284871	221172610
Check # 3000062879 view	96.05	1255284871	221172610
Check # 1700 view	1000.00	120614615	71003405
Check # 1536 view	500.00	3800348616	71000152
Check # 10517 view	1000.00	820466	71000152
Total deposit amount: \$2,982.22			Total number of items: 7

Close

a: 10,468.59	Current available: 10,468.59
r: 10,468.59	Current ledger: 10,468.59
▲ 22	▼ Debit ▲
	13.25
	-8,000.00
	5.25


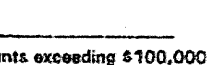


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

CHECK: 3000064277

Date: Mar 13, 2012

Amount: \$1,632.85



ACTBLUE ILLINOIS ACTBLUE STS (CITI CONDUIT) P.O. BOX 382110 CAMBRIDGE, MA 02238-2110		CITIBANK ACH R/T 221172610 51-7261-2211	3000064277
		26 FEBRUARY 2012	
PAY One thousand six hundred thirty-two and 85/100		\$ *****1,632.85	
Not Valid After 90 Days			
TO THE ORDER OF	Daniel Biss for State Senate P.O. Box 7026 Evanston, IL 60204		
			
			
			Two signatures required for amounts exceeding \$100,000
			
			

 DO NOT WRITE STATION OR SIGN BELOW THIS LINE MICR LINE		WARNING: THE BACK OF THIS CHECK CONTAINS A SIMULATED WATERMARK. HOLD AT AN ANGLE TO VIEW.
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Recent Account Activity March 13 2012
Debits & Credits for Checking Account: 80

▼ Date ▲
03/13/12
03/13/12

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Cash Manager

Close

Not all items could be displayed for this deposit. Please contact Customer Service to obtain missing items.

Deposit Item Details Mar 13, 2012		Total deposit amount: \$9,514.35	
for CHECKING Account: 8000000000342570		Total number of items: 4	
Item	Amount	Account	Routing
Deposit slip view	9514.35		
Check # 3000064277 view	1632.85	1255284871	221172610
Check # 3000064382 view	2881.50	1255284871	221172610
Check # 1371 view	5000.00	0	71000039

Close

▲	10,468.59	Current available: 10,46
▼	10,468.59	Current ledger: 10,46
▲	30	Debit▲
▼	35	Bal
		9.3
		18.8

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PEOPLE.XLS
Biss Pension Adj.jpg

Ex C-2

ActBlue**The online clearinghouse for Democratic action.****Daniel Biss**[View Hub page](#)[Dashboard](#)[Recurring](#)[Uniques](#)[By Page](#)[Compliance](#)[Settings](#)[User Access](#)[Back to contribution summary](#)

CHECK #	3000064277
Disbursement Date	2012-02-26
Contributions	\$1,700.00
Refunds	- \$0.00
Fee	- \$67.15
Net Amount	\$1,632.85

Contribution Details

You may view the contributions in this disbursement on screen, or download a CSV file suitable for import into Excel and contributor tracking software. You may also view any refunds that were part of this disbursement, if applicable.

- [View contributions on screen](#)

Ex. C-3

- **Download contributions as CSV**
- No refunds in this disbursement.

Fee Details

Date	Name	Purpose	
26 Feb 2012	ActBlue Technical Services 14 Arrow St. Cambridge, MA 02138	Service fee	\$67.15

Paid for by ActBlue (www.actblue.com) and not authorized by any candidate or candidate's committee.
Contributions to ActBlue are not tax deductible.

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Ex. C-4



The online clearinghouse for Democratic action.

Contribution Details

[Back to contribution summary](#)**Susan Berghoef**

26-Feb-2012 at 12:20 AM

\$1,000.00**Billing Address**30 W. Oak St. Apt. 3A
Chicago, IL 60610
United States**Contact Info**Sberghoef@gmail.com
8479714129**Occupation / Employer**Attorney
Self**Refcode**

contribute.html

Contribution Info:

Order Number: AB04213171

Aaron Roth

24-Feb-2012 at 06:54 PM

\$200.00**Billing Address**75 Danecroft Road
London, SE24 9PA
United Kingdom**Contact Info**aaron.k.roth@gmail.com
+44 (0) 78 1077 1386**Occupation / Employer**Software developer
Barclays Capital**Refcode**

contribute.html

Contribution Info:

Order Number: AB04211218

Ex. C-5

Ellen Carnahan

23-Feb-2012 at 04:41 PM

\$500.00**Billing Address**

405 N. Wabash #3910
Chicago, IL 60611
United States

Contact Info

ecarnahan@me.com
3128052492

Occupation / Employer

venture capitalist
Machrie Enterprises LLC

Refcode

contribute.html

Contribution Info:

Order Number: AB04205412

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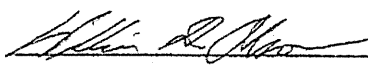
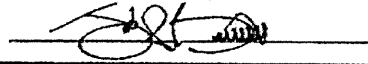
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CHECK: 9511

Date: Mar 05, 2012

Amount: \$1,000.00



	Associated Beer Distributors of Illinois Political Action Committee P. O. Box 396 Springfield, IL 62705	BANK OF SPRINGFIELD 3400 WEST WABASH SPRINGFIELD, IL 62707 70-865/711	009511
			009511
PAY One Thousand and 00/100 Dollars		Feb 24, 2012	***\$1,000.00
Daniel Biss Campaign Committee		DATE	AMOUNT
TO THE ORDER OF		 MP	
		 MP	

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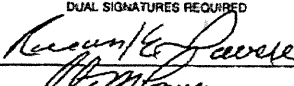
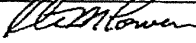
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CHECK: 2108

Date: Mar 05, 2012

Amount: \$1,000.00



UFCW LOCAL 881 POLITICAL ACTION COMMITTEE		AMALGAMATED BANK OF CHICAGO MEMBER FDIC 2-340/710	002108
		DATE	CHECK NO.
		02/20/12	2108
PAY** One Thousand and 00/100 Dollars **		AMOUNT	
		*****\$1,000.00	
TO THE ORDER OF	DANIEL BISS FOR STATE SENATE P O BOX 7026 EVANSTON, IL 60204-7026		
	UFCW LOCAL 881 POLITICAL ACTION COMMITTEE DUAL SIGNATURES REQUIRED		
	 MP		
	 MP		



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Business: 7000000000342570

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Recent Account Activity March 05 2012
Debits & Credits for Checking Account: 80

▼ Date ▲
03/05/12

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Main Menu Customer Service Help Desk Approvals Open An Account Quit / Sign off

Cash Manager

Close

Not all items could be displayed for this deposit. Please contact Customer Service to obtain missing items.

Deposit Item Details Mar 05, 2012
for CHECKING Account

Total deposit amount: \$3,500.00
Total number of items: 5

Item	Amount	Account	Routing
Deposit slip view	3500.00		
Check # 2004 view	1000.00	6757456	71000013
Check # 0 view	500.00	4613089	71025661
Check # 2108 view	1000.00	120604822	71003405
Check # 9511 view	1000.00	3111504	71108559

Close

as: 10,468.59 Current available: 10,468.59
r: 10,468.59 Current ledger: 10,468.59
lit: 0.00 ▼ Debit ▲ Balance 8.75

Amount: \$2,000.00



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K. Stone
K. Wexler
306 Maple Ave.
Highland Park, IL 60035

12/01 70-490/819

5805

DATE 2/21/12

PAY TO THE ORDER OF Daniel Biss for State Senate \$ 2000⁰⁰/₁₀₀-
two thousand and no/₁₀₀ DOLLARS

BANK OF AMERICA

FOR _____ Kim Stone AP

~~[REDACTED]~~

Did you know... Check Image files may be automatically saved on the hard drive of this computer. If other people use this computer you should delete these files so that no one will have access to your check images and account information. [Learn more.](#)

Ex. F-1



Business: 70000000000342570

Main Menu > Balance and Information Reporting >

Recent Account Activity

< Back

Recent Account Activity March 13 2012
Debits & Credits for Checking Account: 80

▼ Date ▲
03/13/12
03/13/12

Generated June 22 2012 at 23:17:13

< Back

Citibank, N.A. Member FDIC. Terms and conditions



Citi.com

Close

Not all items could be displayed for this deposit. Please contact Customer Service to obtain missing items.

Deposit Item Details Mar 13, 2012			Total deposit amount: \$3,550.00
for CHECKING Account: XXXXXXXXXX			Total number of items: 9
Item	Amount	Account	Routing
Deposit slip view	3550.00		
Check # 6674 view	250.00	4062035	71000152
Check # 2794 view	150.00	400445177	71000013
Check # 4867 view	100.00	1829572	71000152
Check # 0 view	250.00	8014868	71025661
Check # 1082 view	500.00	880898564	71000013
Check # 4677 view	100.00	2461749	71000152
Check # 5805 view	2000.00	5302578009	81904808
Check # 1067 view	200.00	1110010486398	71000013

Close

javascript:getDepositDetails('0000000000801021670','03/13/2012','3,550.00');

Biss Pension Adj.jpg PEOPLEXLS

as: 10,468.59	Current available: 10,468.59
ar: 10,468.59	Current ledger: 10,468.59
▲	▼ Debit ▲
30	Bala
35	9.30
	18.81

Terms, conditions, caveats and small print
Copyright © 2012 Citigroup

CHECK: 1371

Date: Mar 13, 2012

Amount: \$5,000.00



IVCA-PAC 225 W. WACKER DR., SUITE 3000 CHICAGO, IL 60606-1228		1371
DATE <u>FEB. 17, 2012</u>		2-3/710 IL GLOBE
PAY TO THE ORDER OF	<u>Danille Biss for State Senate</u>	\$ <u>5,000.00</u>
<u>FIVE THOUSAND DOLLARS & 00/100</u>		DOLLARS
Bank of America Chicago, Illinois		<i>Edna Comas</i> <i>[Signature]</i>
FOR <u>[REDACTED]</u>		MP

[REDACTED]	
------------	--

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EX. G-1

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

Illinois State Board of Elections
Complainant(s),

Vs.

Case No. _____

Daniel Bess for State Senate
Respondent(s)

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S) or ☒ RESPONDENT(S)

Daniel Bess for State Senate
(Insert name)

Check one: ☒ Attorney ☐ Pro Se

Name:

Michael Krotz

Address:

1926 Wacker Drive #510

City/State/ZIP

Glenview IL 60025

Telephone:

847-525-1139

FAX No.:

847-486-0230

EMAIL:

capitolaction@yahoo.com

Will you accept service of documents via FAX transmissions?

Check one: ☒ Yes ☐ No

Michael Krotz
Signature

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

12 MQ 255

Illinois for Sidmore 23972
Respondent

REPORT OF HEARING EXAMINER

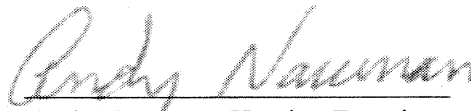
Appeal of Civil Penalty Assessment for Delinquently Filing the March 2012 Quarterly Report

The Report was received by the Board on 4/17/12, 1 day late, resulting in a civil penalty assessment of \$25. In addition, this Committee had previously been assessed a \$189 civil penalty (not appealed, reduced, stayed) for delinquently filing a Schedule A-1. The total assessment is \$214.

Daniel Sidmore, the candidate of the Committee, appeared on August 2, 2012 for the appeal hearing.

Mr. Sidmore attempted to download the IDIS program on April 12, 2012 and he could not get the program to install. Mr. Sidmore indicated since he is disabled he needs to run Dragon Naturally Speaking software on his computer which prevented him from downloading the IDIS program. Mr. Sidmore contacted the State Board of Elections and worked with the IT department to get the program installed which he indicated it took a couple days and more than 7 hours on the phone. The program was installed on April 16th (the last day to file) and the report was prepared and was ready for submission at about 11:00 pm. However, Mr. Sidmore had not obtained a Filer Account from the State Board of Elections as of yet so he could not file the report for the State Board of Elections was closed for the evening. Mr. Sidmore called the State Board of Elections the following morning and obtained a Filer Account and password and the report was submitted.

It is unfortunate that the committee had difficulty installing the IDIS program and as per the service records for this event it appears that a vast amount of Windows updates were required to be completed to get his computer ready to install the IDIS program. Once the updates were completed the program installed with no problem. Additionally, Mr. Sidmore did not realize that he needed a File Account and a password to file the report until after hours. Taking this into consideration and in order to be consistent with previous Board decisions when an electronic filing issue was presented as a defense and since this Committee has not previously raised such a defense, I recommend that the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$189 civil penalty. (As of 6/30/12, this Committee reported a funds available balance of \$1,498.94.)


Andy Nauman – Hearing Examiner
August 3, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Illinois for Sidmore

ID# 23972

Dear Illinois for Sidmore:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 17, 2012, 1 day late. As such, this committee has been assessed a fine of \$25.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.***


If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1 through December 31 2011	Quarterly	\$189
TOTAL AMOUNT NOW DUE		\$214

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of DeKalb)

STATE BOARD OF ELECTIONS

12 JUL -1 AM 11:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12 MQ 251

Daniel H. Sidmore)
Respondent(s).)

APPEAL AFFIDAVIT

I, Daniel H. Sidmore, the Treasurer of the
(Name) (Chairman/Treasurer)

Illinois For Sidmore

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

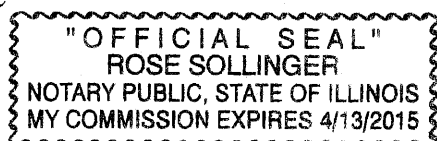
On April 12th I tried to download SBE software to file the March Quarterly Report. Being disabled I need to run Dragon Naturally Speaking software on my computer which did not allow the downloading of SBE. I contacted Eric Pitford, SBE's computer IT person for help on April 12, 2012. We worked on the problem Thursday, Friday and all day Monday the 16th before he was able to get the program up and loaded for me to use. By the time I had the report filled in and ready to submit it was about 11:00 pm, but when I tried to log on the program said I needed a password and that I needed to CALL the State Board of Election for that password. The office was not open at that time, so I had to wait until Tuesday morning, April 17th, to call. I received a password and filed the report.

Signed and Sworn to by:

Rose Sollinger
before me this 30 Day of
June, 2012

Rose Sollinger
Notary Public

Daniel H. Sidmore
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 058

Neil Anderson for IL 72nd District

ID# 23973

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

The Committee received a \$2,116 contribution on 1/18/12, and reported it on a Schedule A-1, received by the Board on 3/20/12, 36 days late, resulting in a civil penalty assessment of \$1,058.

Ruth Bloom, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Bloom states the Committee reported monies received from a joint fundraiser as "transfers in" instead of a lump sum donation. She amended the March 2012 Quarterly report to correct the error and clarifies the fundraiser contributions were all small amounts, \$20 - \$30 per attendee.

I agree the contribution represents fundraiser proceeds and is not subject to the A-1 reporting requirements. I recommend the appeal be granted. I also advise that in the future, the Committee report such monies as Not Itemized. (As of 6/30/12, this Committee reported a funds available balance of \$48,600.47)



Kim Patrick – Hearing Officer

August 29, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2011

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Neil Anderson for IL 72nd District ID# 23973

Dear Neil Anderson for IL 72nd District:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
IL Senate Candidate Bill Albracht	1/18/2012	\$2116	3/20/2012	36	\$1058

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1058 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$106, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be ***stayed*** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
)
 County of _____)

STATE BOARD OF ELECTIONS

12 JUL -1 AM 10:32

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
 ILLINOIS STATE BOARD OF ELECTIONS,)
)
 Complainant)
 Vs. Ruth Bloom, Treasurer for)
)
 Neil Anderson for IL 7th District)
 Respondent(s).)

Case No. 12 AN 058

APPEAL AFFIDAVIT

I, Ruth Bloom, the Treasurer of the
 (Name) (Chairman/Treasurer)
Neil Anderson for IL 7th District
 (Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

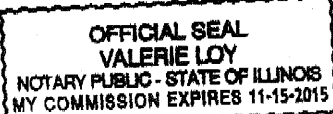
I mistakenly entered monies received at a joint
fundraiser as "transfers in" instead of as a lump-sum
donation. (No A-1 should have been filed at all.) I have
documentation showing the individuals who attended signed
in for the event.

Signed and Sworn to by:

Ruth Bloom
 before me this 7 Day of
June, 2012
Valerie J.
 Notary Public

Ruth Bloom

Signature of Chairman/Treasurer



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 AD 069

Citizens for Beatriz Santiago
Cmte ID: 23990
Respondent


REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Report was received on December 29, 2011, pursuant to a \$15,000 loan dated December 2, 2011. The Report was received 13 days late, resulting in a fine of \$7,500. This is the first assessment for the Committee.

Diana Garcia, the Treasurer of the Committee, appeared via teleconference for the hearing at the Board's Chicago office at 12:30pm on Wednesday, August 1, 2012.

Ms. Garcia stated in a submitted affidavit and testified at the hearing that she was unaware a personal loan from the Candidate to the Committee was subject to A-1 requirements. Attorney Adam Lasker testified that the Committee is not claiming an actual defense but is asking the Board for leniency above that which is standard in a matter such as this. He noted the loan was not received in the 30 days prior to an election, the former time frame during which A-1 requirements existed. He also testified there was no fraud involved in the late filing, which he said was "not purposeful." Both Lasker and Garcia stated the Committee is now fully aware of all A-1 requirements under the Campaign Disclosure Act.

The loan was reported by the Committee to have been received from Caballeros de San Juan Credit Union 1. In fact, as noted in the hearing testimony, it was actually received from the Candidate. Accordingly, the Committee has been instructed to amend its December 2011 Quarterly Report. In keeping with past Board practice with respect to personal loans from Candidates, I recommend the appeal be granted for this first offense of this nature. Board staff will notify the Committee of its one-time amnesty from the A-1 requirements. As of June 30, 2012, the Committee reported a cash balance of \$0.00.



John Levin – Hearing Officer
August 1, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Beatriz Santiago ID# 23990

Dear Citizens for Beatriz Santiago:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Caballeros de San Juan Credit Union	12/2/2011	\$15000	12/29/2011	13	\$7500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$7500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$750, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be ***stayed*** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the December Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of: Cook)

CHICAGO

2012 JUN 22 AM 11:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Citizens for Beatriz Santiago)
Respondent(s).)

Case No. 12 AD 069

23940

APPEAL AFFIDAVIT

I, Diana Garcia, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Beatriz Santiago
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

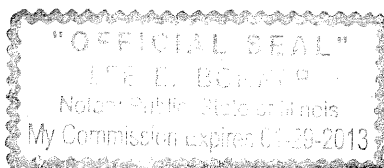
I was unaware that I needed to report on a timely manner a "Personal Loan," taken out solely by the individual Beatriz Santiago through Caballeros De San Juan Credit Union Bank. I thought that this situation only applied to contributions being received. Please review as proof the "Loan and Security Agreements and Disclosure Statement" documents.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 21st Day of June, 2012

Notary Public
(al)



**LOAN AND SECURITY AGREEMENTS
AND DISCLOSURE STATEMENT**

☒ **FIXED RATE** **LOAN DATE** 12/02/2011 **LOAN NUMBER** 10420430 **ACCOUNT NUMBER** [REDACTED] **GROUP POLICY NUMBER** [REDACTED] **MATURITY DATE** 12/31/2015
☐ **VARIABLE RATE**

BORROWER 1		BORROWER 2	
NAME AND ADDRESS BEATRIZ SANTIAGO [REDACTED] CHICAGO, IL 60651		NAME (AND ADDRESS IF DIFFERENT FROM BORROWER 1)	

TRUTH IN LENDING DISCLOSURE 'e' means an estimate																	
ANNUAL PERCENTAGE RATE The cost of your credit as a yearly rate. 10.25	FINANCE CHARGE The dollar amount the credit will cost you. \$3,581.38	Amount Financed The amount of credit provided to you or on your behalf. \$15,000.00	Total of Payments The amount you will have paid after you have made all payments as scheduled. \$19,889.00														
Your Payment Schedule Will be: <table border="1"> <thead> <tr> <th>Number of Payments</th> <th>Amount of Payments</th> <th>When Payments Are Due</th> </tr> </thead> <tbody> <tr> <td>47</td> <td>\$415.00 MONTHLY</td> <td>01/31/2012</td> </tr> <tr> <td>1</td> <td>\$384.00</td> <td>12/31/2015</td> </tr> </tbody> </table>			Number of Payments	Amount of Payments	When Payments Are Due	47	\$415.00 MONTHLY	01/31/2012	1	\$384.00	12/31/2015	Prepayment: If you pay off early you will not have to pay a penalty. Required Deposit: The Annual Percentage Rate does not take into account your required deposit, if any. <input type="checkbox"/> Assumption: Someone buying your mobile home cannot assume the remainder of the loan on the original terms. Demand: <input type="checkbox"/> This obligation has a demand feature. <input type="checkbox"/> All disclosures are based on an assumed maturity of one year.					
Number of Payments	Amount of Payments	When Payments Are Due															
47	\$415.00 MONTHLY	01/31/2012															
1	\$384.00	12/31/2015															
Property Insurance: You may obtain property insurance from anyone you want that is acceptable to the credit union. If you get the insurance from us, you will pay N/A																	
Late Charge: A late charge of \$25.00 will be assessed if your payment is 10 days or more delinquent.																	
Filing Fees \$0.00 Non-Filing Insurance \$0.00																	
Security: Collateral securing other loans with the credit union may also secure this loan. You are giving a security interest in your shares and dividends and, if any, your deposits and interest in the credit union; and the property described below:																	
<table border="1"> <thead> <tr> <th>Collateral</th> <th>Property/Model/Make</th> <th>Year</th> <th>I.D. Number</th> <th>Type</th> <th>Value</th> <th>Key Number</th> </tr> </thead> <tbody> <tr> <td colspan="7">Other (Describe)</td> </tr> </tbody> </table>				Collateral	Property/Model/Make	Year	I.D. Number	Type	Value	Key Number	Other (Describe)						
Collateral	Property/Model/Make	Year	I.D. Number	Type	Value	Key Number											
Other (Describe)																	
See your contract documents for any additional information about nonpayment, default, and any required repayment in full before the scheduled date.																	

SIGNATURES

By signing as Borrower, you agree to the terms of the Loan Agreement. If property is described in the "Security" section of the Truth in Lending Disclosure, you also agree to the terms of the Security Agreement. If you sign as "Owner of Property" you agree only to the terms of the Security Agreement.

CAUTION: IT IS IMPORTANT THAT YOU THOROUGHLY READ THE AGREEMENT BEFORE YOU SIGN IT.

X <i>Beatriz Santiago</i> (SEAL) 12-2-11 BORROWER 1 DATE	X (SEAL) BORROWER 2 DATE
X (SEAL) OTHER BORROWER OWNER OF PROPERTY WITNESS	X (SEAL) OTHER BORROWER OWNER OF PROPERTY WITNESS

Application

Individual Credit: You must complete the **Applicant** section about yourself and the **Other** section about your spouse if:

1. you live in or the property pledged as collateral is located in a community property state (AK, AZ, CA, ID, LA, NM, NV, TX, WA, WI),
2. your spouse will use the account, or
3. you are relying on your spouse's income as a basis for repayment. If you are relying on income from alimony, child support, or separate maintenance, complete the **Other** section to the extent possible about the person on whose payments you are relying.

Joint Credit: Each Applicant must individually complete the appropriate section below. If Co-Borrower is spouse of the Applicant, mark the Co-Applicant box.

Guarantor: Complete the **Other** section if you are a guarantor on an account/loan.

Check below to indicate the type of account(s) and type of credit for which you are applying. Married Applicants may apply for a separate account.

☒ **LOANLINER Account/Loan:** ☒ Individual ☐ Joint

(including ATM/Debit Card Access to the Account if Available)

Amount Requested \$ 15,000.00

Purpose/Collateral: HOME IMPROVEMENT / REPAIRS

Repayment: ☐ Payroll Deduction ☐ Cash ☐ Military Allotment ☐ Automatic Payment

PAIDMENT PROTECTION

Are you interested in having your loan protected? ☐ Yes ☐ No

If you answer "yes", then the credit union will disclose the cost of this voluntary payment protection to you. A separate election which discloses the terms and conditions must be signed for protection to be effective.

APPLICANT		
NAME BEATRIZ SANTIAGO		
PASSWORD	ACCOUNT NUMBER	
SOCIAL SECURITY NUMBER	DRIVER'S LICENSE NUMBER/STATE	
AGES OF DEPENDENTS	EMAIL ADDRESS santiago_beatriz@hotmail.com	
BIRTH DATE 2/19/1970	HOME PHONE	BUSINESS PHONE/EXT.
PRESENT ADDRESS (Street - City - State - Zip) CHICAGO, IL 60651		OWN LENGTH AT RESIDENCE 31 Years Months
PREVIOUS ADDRESS (Street - City - State - Zip)		LENGTH AT RESIDENCE Years Months
COMPLETE FOR JOINT CREDIT, SECURED CREDIT OR IF YOU LIVE IN A COMMUNITY PROPERTY STATE: <input type="checkbox"/> MARRIED <input type="checkbox"/> SEPARATED <input checked="" type="checkbox"/> UNMARRIED (Single - Divorced - Widowed)		
EMPLOYMENT/INCOME		
NAME AND ADDRESS OF EMPLOYER COOK COUNTY 69 W WASHINGTON		
TITLE/GRADE	START DATE	HOURS AT WORK 40
SUPERVISOR'S NAME	IF SELF EMPLOYED, TYPE OF BUSINESS	
NOTICE: ALIMONY, CHILD SUPPORT, OR SEPARATE MAINTENANCE INCOME NEED NOT BE REVEALED IF YOU DO NOT CHOOSE TO HAVE IT CONSIDERED.		
EMPLOYMENT INCOME \$ Per Month	OTHER INCOME \$ Per Month	
<input type="checkbox"/> NET <input checked="" type="checkbox"/> GROSS		
MILITARY: IS DUTY STATION TRANSFER EXPECTED DURING NEXT YEAR? WHERE ENDING/SEPARATION DATE		
PREVIOUS EMPLOYER NAME AND ADDRESS IF EMPLOYED LESS THAN FIVE YEARS		STARTING DATE ENDING DATE
REFERENCE		
NAME AND ADDRESS OF NEAREST RELATIVE NOT LIVING WITH YOU DIANA GARCIA 1426 W DICKENS AVE CHICAGO, IL 60647		RELATIONSHIP COUSIN HOME PHONE 773-391-4

OTHER		
<input type="checkbox"/> Co-Applicant <input type="checkbox"/> Spouse <input type="checkbox"/> Other		
NAME		
PASSWORD	ACCOUNT NUMBER	
SOCIAL SECURITY NUMBER	DRIVER'S LICENSE NUMBER/STATE	
AGES OF DEPENDENTS	EMAIL ADDRESS	
BIRTH DATE	HOME PHONE	BUSINESS PHONE/EXT.
PRESENT ADDRESS (Street - City - State - Zip)		LENGTH AT RESIDENCE Years Months
PREVIOUS ADDRESS (Street - City - State - Zip)		LENGTH AT RESIDENCE Years Months
COMPLETE FOR JOINT CREDIT, SECURED CREDIT OR IF YOU LIVE IN A COMMUNITY PROPERTY STATE: <input type="checkbox"/> MARRIED <input type="checkbox"/> SEPARATED <input type="checkbox"/> UNMARRIED (Single - Divorced - Widowed)		
EMPLOYMENT/INCOME		
NAME AND ADDRESS OF EMPLOYER		
TITLE/GRADE	START DATE	HOURS AT WORK
SUPERVISOR'S NAME	IF SELF EMPLOYED, TYPE OF BUSINESS	
NOTICE: ALIMONY, CHILD SUPPORT, OR SEPARATE MAINTENANCE INCOME NEED NOT BE REVEALED IF YOU DO NOT CHOOSE TO HAVE IT CONSIDERED.		
EMPLOYMENT INCOME \$ Per Month	OTHER INCOME \$ Per Month	
<input type="checkbox"/> NET <input checked="" type="checkbox"/> GROSS		
MILITARY: IS DUTY STATION TRANSFER EXPECTED DURING NEXT YEAR? WHERE ENDING/SEPARATION DATE		
PREVIOUS EMPLOYER NAME AND ADDRESS IF EMPLOYED LESS THAN FIVE YEARS		STARTING DATE ENDING DATE
REFERENCE		
NAME AND ADDRESS OF NEAREST RELATIVE NOT LIVING WITH YOU		RELATIONSHIP HOME PHONE

REDIT INSURANCE

You can protect your financial future by signing up for voluntary credit insurance below. Enroll by simply indicating your preference in the "Credit Insurance Application"

section below. Your credit union will be happy to explain the various insurance options and coverage. The cost is reasonable.



CUNA MUTUAL GROUP

CUNA Mutual Insurance Society

P.O. Box 391 • 5910 Mineral Point Road
Madison, WI 53701-0391
Phone: 800/937-2644

REDIT INSURANCE APPLICATION & SCHEDULE

"You" or "Your" means the member and the joint insured (if applicable). A co-signor is not eligible for joint coverage.

Credit insurance is voluntary and not required in order to obtain this loan. You may select any insurer of your choice. You can get this insurance only if you check the "yes" box below and sign your name and write in the date. The rate you are charged for the insurance is subject to change. You will receive written notice before any increase goes into effect. You have the right to stop this insurance by notifying our credit union in writing. Your signature below means you agree that:

If you elect insurance, you authorize the credit union to add the charges for insurance to your loan each month.

You are eligible for disability insurance only if you are

working for wages or profit for 25 hours a week or more on the initial loan date. If you are not, you will not be insured until you return to work. If you are off work because of temporary layoff, strike or vacation, but soon to resume, you will be considered at work. Are you working for wages or profit for 25 hours a week or more?

Borrower ☐ Yes ☐ No Co-Borrower ☐ Yes ☐ No

- You are eligible for insurance up to the Maximum Age for Insurance. Insurance will stop when you reach that age.

NOTE: THE LIFE AND DISABILITY INSURANCE CONTAINS CERTAIN BENEFIT EXCLUSIONS, INCLUDING A PRE-EXISTING CONDITION EXCLUSION. PLEASE REFER TO YOUR CERTIFICATE FOR DETAILS.

YOU ELECT THE FOLLOWING INSURANCE COVERAGE(S)	YES	NO	PREMIUM SCHEDULE	COVERED MEMBER	
Single Credit Disability	<input checked="" type="checkbox"/>	<input type="checkbox"/>	\$1,049.31	BEATRIZ SANTIAGO	
Joint Credit Disability	<input type="checkbox"/>	<input checked="" type="checkbox"/>		BEATRIZ SANTIAGO	
Single Credit Life	<input checked="" type="checkbox"/>	<input type="checkbox"/>	\$258.31	BEATRIZ SANTIAGO	
Joint Credit Life	<input type="checkbox"/>	<input checked="" type="checkbox"/>		BEATRIZ SANTIAGO	
DATE OF ISSUE OF THE CERTIFICATE	RATE OF INTEREST		INSURANCE MAXIMUMS		
12/02/2011	10.25%		MONTHLY TOTAL DISABILITY BENEFIT		\$ 750.00 N/A
GROUP POLICY NUMBER	ACCOUNT NUMBER		INSURABLE BALANCE PER LOAN ACCOUNT		\$ 60,000.00 \$ 60,000.00
			MAXIMUM AGE FOR INSURANCE		66 71
MEMBER'S NAME AND ADDRESS			JOINT INSURED'S NAME AND ADDRESS		
BEATRIZ SANTIAGO [REDACTED] CHICAGO, IL 60651					
CREDIT UNION'S NAME AND ADDRESS					
CREDIT UNION 1 200 E CHAMPAIGN AVE. RANTOUL, IL 61866					
Under the Definition of Total Disability, the Duration of Disability is Changed from 12 Months to 18 Months. Under Exclusions and Restrictions, the Suicide Clause is Changed from 12 Months to 6 Months.					
If you are totally disabled for more than 14 days, then the disability benefit will begin with the 1st day of disability.				SECONDARY BENEFICIARY (If you desire to name one)	
SIGNATURE OF MEMBER (Be sure to check the boxes above.)			DATE SIGNED		MEMBER'S DATE OF BIRTH
X [Signature]			12-2-11		12/19/1970
SIGNATURE OF JOINT INSURED (CO-BORROWER)			DATE SIGNED		JOINT INSURED'S DATE OF BIRTH
X					

APP. 835-0596IL/Rev.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 061

Wallace for Illinois

ID#23991

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing a Schedule A-1 Report

The Committee received a \$1,500 contribution on 3/12/12 and reported it on a Schedule A-1 received by the Board on 3/16/12, 2 days late, resulting in a civil penalty assessment of \$750.

Gayle Santuccio, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Santuccio states that the Committee should have entered the date received as 3/15/12 but entered an incorrect date of 3/12/12.

The Committee was able to provide a copy of the deposit slip showing the contribution being deposited on March 15. Since this is legally considered to be the date of receipt, the Committee's Schedule A-1 was in fact filed on time. I recommend the appeal be granted. In addition the Committee has filed a Final report and is now inactive. (As of 7/27/12, this Committee reported a funds available balance of \$0.)



Kim Patrick – Hearing Officer
August 29, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Wallace for Illinois ID# 23991

Dear Wallace for Illinois:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Fiscal Accountability PAC	3/12/2012	\$1500	3/16/2012	2	\$750

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$750 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$75, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be ***stayed*** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

12 JUL -1 AM 11:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 12 PM 061

WALLACE FOR ILLINOIS
Respondent(s).

APPEAL AFFIDAVIT

I, GAYUE SANTUCCIO, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

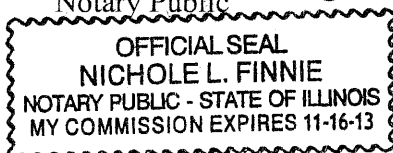
WALLACE FOR ILLINOIS
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

WE ENTERED THE WRONG DATE, ATTACHED
IS DOCUMENTATION PROVING THE CORRECT
DEPOSIT DATE.

Signed and Sworn to by:
Gaye Santuccio
before me this 29 Day of

June, 2011
Nichole L. Finnie
Notary Public



Gaye Santuccio
(Signature of Chairman/Treasurer)

CHECKS
LIST SINGLY

DOLLARS

CENTS

CURRENCY COUNT - FOR FINANCIAL INSTITUTION USE ONLY		
	X 100	
	X 50	1
	X 20	
	X 10	
	X 5	
	X 2	
	X 1	
TOTAL	\$	

0873543268

\$1,700.00

\$1,700.00

1014:22

51-03/15/12

Moline 48th

Cash In

Checks In

BOFD 071104647
 THE National Bank
 22 Moline 48th
 2012-03-15
 DIN 873543268

☒ CASH ▶

ACCT#

1984486

70-464/711

DATE

3-15-12

NAME

Jonathan Wallace

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

NON-TOTAL FROM OTHER SHEET

SUB TOTAL ▶

SIGN HERE FOR CASH RECEIVED (IF REQUIRED) *

• LESS CASH
RECEIVED ▶

THE NATIONAL
BANK
MOLINE, ILLINOIS

JW

\$

1700.00

20

1500.00
200.00

EXCESS AND OTHER CHARGES ARE INCURRED BY THE DEPOSITOR WHO SHALL BE RESPONSIBLE FOR THE PROVISION OF THE NECESSARY FUNDS TO COVER ANY SUCH CHARGES. THE NATIONAL BANK OF ILLINOIS, CHICAGO, ILLINOIS.

DEPOSIT TICKET



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MA 073

Citizens to Elect Deborah Riley

ID# 24332

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing of the December 2010 Semi Annual and
March 2011 and June 2011 Quarterly Reports

The December 2010 Semi Annual Report was received by the Board on April 27, 2012, 319 days late, resulting in a civil penalty assessment of \$5,000. The March 2011 Quarterly report was received by the Board on April 27, 2012, 260 days late, resulting in a civil penalty assessment of \$5,000. The June 2011 Quarterly report was received by the Board on April 27, 2012 197 days late, resulting in a civil penalty assessment of \$5,000. The total assessment is \$15,000.

Deborah Riley, the Chairman of the Committee, filed a Request for Hearing, and submitted an Appeal Affidavit.

The hearing was held on 8/1/12 with Committee Treasurer Michael Riley and Committee Chairman Deborah Riley present. With regard to all three reports Mrs. Riley states that she did file them timely. She submitted a sworn affidavit signed by her legal secretary, Linda Nuding stating that she assisted Mrs. Riley with the reports. The affidavit also indicates Mrs. Riley was driven to the Springfield office by the Committee Treasurer to hand deliver the December 2010 Semi Annual report and timely mailed the Quarterly reports. She didn't ask for a receipt because she didn't think she would need one. She also provided copies of the reports that were signed and dated but were not date stamped by our office.

While Mrs. Riley was not able to provide date stamped copies of the reports by the Board, I was able to contact the Shelby County Clerk's office and they were able to fax to me date stamped copies of the reports. These copies show they were timely filed. This problem may have resulted from the Committee never filing their D-1 Statement of Organization with us so any reports received by them could not be entered into our system. The Committee is aware there is no longer a dual filing obligation with the County Clerk and now file their reports electronically to prevent any issues in the future. I recommend the appeal be granted. (As of 6/30/12, this Committee reported a funds available balance of \$8.26.)



Kim Patrick – Hearing Officer

August 9, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens to Elect Deborah Riley

ID# 24332

Dear Citizens to Elect Deborah Riley:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	2010 December Semi-Annual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 1, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 27, 2012, 319 day(s) late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	2011 March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2011 through March 31, 2011
Filing Period:	April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 27, 2012, 260 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type:	2011 June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 27, 2012, 197 day(s) late. As such, this committee has been assessed a fine of \$5000.

The total for all new assessments is \$15,000.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

State of Illinois)
County of: _____)

STATE BOARD OF ELECTIONS
12 JUN 14 PM 3:19

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs.)
Citizens to Elect Deborah Riley)
Respondent(s).)

Case No. 12MA073

APPEAL AFFIDAVIT

Deborah M. Riley, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens to Elect Deborah Riley
(Name of the Committee)

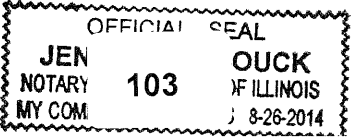
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See word document attached hereto (two pages) with attachments.

Deborah M. Riley
Signature of Chairman/Treasurer

Signed and Sworn to by:
Jennifer Storch
before me this 14th Day of June, 2012

Notary Public



I filed my D-1 Statement of Organization by email, as required by your agency in September 2010, well before I reached the contribution/spending threshold that requires its filing. In fact, I did so because the Shelby County Republican Party was making a thousand dollar donation to my campaign and requested that I go ahead and file the D-1 form. Unfortunately, I sent the email and did not ask for a return receipt. I know the first scan of the document did not go through and came back to me as undeliverable. I re-typed the email address and re-sent the D-1 form in September 2010. See exhibit A attached. That email did not come back to me, so I assumed it was received by your agency. My first D-2 form was then due in January 2011. See exhibit B attached. I remember vividly having to file that D-2 form because it was my first and took me considerably longer to complete it than I thought it would. I had my friend, Linda Nuding, check the document multiple times before leaving to submit it. I completed it on January 19, 2011 and had to hand-deliver it to your old office downtown. Michael Riley, my husband and treasurer of the campaign, and myself drove it to the office and I took the D-2 form in personally and gave it to the receptionist at the front desk. I did not ask for a receipt, as I did not know I would ever need the same. I then mailed the next D-2 form to your office on April 1, 2011. See exhibit C attached. The next D-2 form was mailed on July 13, 2011. See exhibit D attached. My next reporting form was due in October 2011. See exhibit E attached. It was mailed to your agency on October 13, 2011. After its filing, I received an email from Pat Bensken sometime in late October. It was in my SPAM mail that was not located until early November. She and I emailed back and forth, because she could not find my D-1 form. She said she would look into it and I never heard back from her, so I assumed the situation had been handled. Then when I filed my D-2 form in January 2012, I got another email from her about still not locating the D-1 form. We again emailed back and forth several times. I then received a letter from Tom Newman on March 28, 2012. See exhibit F attached. He advised that the agency could not locate the D-1 form and asked that I send him a copy from my file. I spoke to him on the phone and then mailed my D-1 form that was originally sent in September 2010 to him on April 12, 2012. See exhibit F attached. I then received an email that the agency needed D-2 forms for January 2011, April 2011 and July 2011. See exhibit G. I promptly sent him a letter on April 27, 2012 with copies of all of the forms from my files and advised I had either hand-delivered or mailed all these forms before. See exhibit H attached. I also asked him for

receipts of the same. I received via mail a receipt on or about May 2, 2012. See exhibit I attached. In speaking with Mr. Newman, he indicated that there would be no problems, as I had done what I needed to do. I was flabbergasted when I received the June 4, 2012 letter assessing a fine for something that I have properly filed. See exhibit J attached. I do not know if my forms were lost or misplaced, however, I did comply with the provisions of 10 ILCS 5-9-10. I am unsure why it was not until my October 2011 D-2 form was filed that I was contacted concerning the lack of a matching D-1 Statement of Organization. I can supply either testimony or written statements of Linda Nuding and Michael Riley concerning the fact that they both remember me properly filing the paperwork you are now saying was not received, upon your request. I also supplied a copy from your website of the filings record. See exhibit K.

August 1, 2012

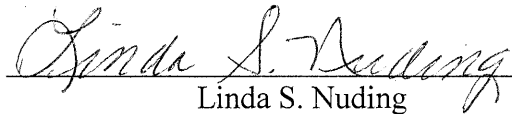
To Whom It May Concern:

I am writing in regard to your notice to Deborah M. Riley that she is being assessed penalties for not filing her paperwork at the appropriate time.

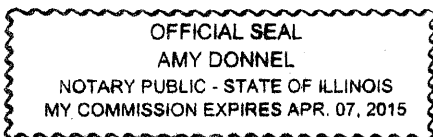
My name is Linda Nuding and I am a friend of Mrs. Riley's, as well as her legal secretary at her office as Shelby County State's Attorney.

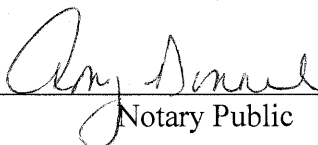
She is a very conscientious person in that she strives to do everything she does in a professional, accurate and honest manner. She asked me to assist her in checking the documents she was to file with your agency. The first of her documents was due the following day and she drove the document to Springfield in order to have it filed on time. The following documents were mailed in a timely manner.

If I can provide any further information as to my knowledge of the filing of Mrs. Riley's documents, please feel free to contact me. My telephone number is (217) 454-1890.


Linda S. Nuding

Subscribed and sworn to before me this 1st day of August, 2012.




Notary Public

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MQ 009

Brown County Democrat Central Committee ID# 385
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the March 2012 Quarterly Report

The March 2012 Quarterly Report was received by the Board on April 25, 2012, 7 days late, resulting in a civil penalty assessment of \$350. Additionally, the Committee had previously been assessed a \$25 civil penalty (not appealed, stayed), for delinquently filing the June 2011 Quarterly Report. The total assessment is \$375.

John Miley, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Miley states that he and his wife made a good faith effort to file the Report electronically on April 11, and thought they had done so successfully. He says his wife called Board staff for assistance with preparing the Report, and following that call she was able to print out a copy of the completed Report with the word "filed" at the top of the form. Mr. Miley says it was not until he received a delinquency notice from the Board that he discovered the Report had not actually been uploaded. He says the Committee will be sure to not make the same mistake again in the future.

While it is true that Reports prepared using the IDIS software do show the word "filed" when they are printed out, this is not an indication that the Report has actually been successfully uploaded to the Board. The word "filed" is essentially a place holder, where the date and time of the filing will be listed once the Report is uploaded. Since the Committee apparently did not know this, their confusion and mistake are somewhat understandable, and would normally qualify the Committee for an electronic filing defense. Unfortunately, Section 100.150 of the Board's Rules and Regulations only allows for such a defense if the Committee is required to file its reports electronically, which this Committee is not. Therefore I have no choice but to recommend the appeal be denied. If this recommendation is accepted by the Board, the stay would be lifted from the earlier assessment and the total penalty of \$375 will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$659.32.)



Tom Newman – Hearing Officer
August 29, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Brown County Democratic Party

ID# 385

Dear Brown County Democratic Party:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 25, 2012, 7 day(s) late. As such, this committee has been assessed a fine of \$350.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2011	Quarterly	\$25
TOTAL AMOUNT NOW DUE		\$375

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
)
County of: Sangamon)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

12 JUL -3 PM 12:23

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Brown County Democrat Central Committee)
Respondent(s).)

Case No. 12 MQ009

APPEAL AFFIDAVIT

I, John Miley, the Chairman of the
(Name) (Chairman/Treasurer)

Brown County Democrat Central Committee

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Respondent and his wife, Janet Miley, are not particularly possessed with internet and computer skills. In a good faith effort to file their D-2 in a timely manner, respondents wife, Janet

Miley, spoke with "Jason" in the Springfield office of State Board of Elections on April 11, 2012

and he walked her through the steps to file the D-2 online as he had previously. The form was completed on April 11 during her conversation with "Jason" and Mrs. Miley was able to print out a form on said date with completed entries and thought that the word "filed" at the top of the

(continued on attached page)

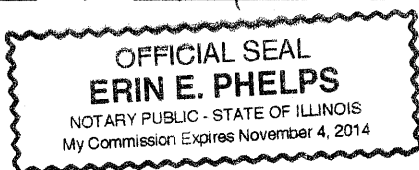
John Miley
Signature of Chairman/Treasurer

Signed and Sworn to by:

Erin E. Phelps

before me this 2nd Day of July, 2012

Notary Public
(seal)



form meant that the process had been completed. Subsequently, she received a letter from your office dated April 23, 2012 indicating that the document was not properly filed. She called "Jason" upon receipt of said letter and he explained that she had skipped the final step of the online process at which time he again walked her through it and this time when she printed out her copy the filing date appeared below the word "filed." On behalf of the committee I request the fine be waived and assure you that next time we'll make sure that a date and time appear under the word "filed."

FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES Quarterly Report

FOR OFFICE USE ONLY
IDENTIFICATION NO.

Political Party 385

Brown County Democrat Central Committee
Rt 1 Box 162
Mt. Sterling, IL 62353

FILED

April 11, 2012

REPORTING PERIOD
1/1/2012 thru 3/31/2012

CASH AVAILABLE AT
THE BEGINNING OF THE
REPORTING PERIOD

\$ 313.54

SECTION A - RECEIPTS

Individual Contributions:

a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized	\$ 0.00

Transfers In:

a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized	\$ 0.00

Loans Received:

a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized	\$ 0.00

Other Receipts:

a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized	\$ 1,040.00
TOTAL RECEIPTS (1-4)	\$ 1,040.00

In-Kind Contributions:

a. Itemized (from Schedule I)	\$ 0.00
b. Not-Itemized	\$ 0.00
TOTAL IN-KIND	\$ 0.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

SECTION B - EXPENDITURES

6. Transfers Out:

a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized	\$ 0.00

7. Loans made:

a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized	\$ 0.00

8. Expenditures:

a. Itemized (from Schedule B)	\$ 225.00
b. Not-Itemized	\$ 160.34

9. Independent Expenditures:

a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized	\$ 0.00
TOTAL EXPENDITURES (6-9)	\$ 385.34

SECTION C - DEBTS AND OBLIGATIONS

10. a. Itemized (from Schedule C)...

b. Not-Itemized	\$ 0.00
TOTAL DEBTS AND OBLIGATIONS	\$ 0.00

SECTION D - CASH BALANCE

Funds available at the beginning of the reporting period	\$ 313.54
Total Receipts (Section A)	\$ 1,040.00
Subtotal	\$ 1,353.54
Total Expenditures (Section B)	\$ 385.34
Funds available at the close of the reporting period	\$ 968.20

Investment Total	\$ 0.00

ATTESTATION

I, the undersigned, being a duly qualified elector of the State of Illinois, do hereby certify that the foregoing is a true and correct copy of the report of the committee as the same appears from the records of the committee, and that the same has been filed for the purpose of making the same a part of the public records of the State of Illinois.

*It is under
BC Democrats*

*Talked to
Jason - when I
just filed this
then talked to
him again the
2nd time - I filed
this 11/11/12*

FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES Quarterly Report

FOR OFFICE USE ONLY
IDENTIFICATION NO.

Political Party 385

Brown County Democrat Central Committee
Rt 1 Box 162
Mt. Sterling, IL 62353

FILED
4/25/2012 3:42:09 PM

REPORTING PERIOD
1/1/2012 thru 3/31/2012

CASH AVAILABLE AT
THE BEGINNING OF THE
REPORTING PERIOD

\$ 313.54

SECTION A - RECEIPTS

Individual Contributions:

a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized.....	\$ 0.00

Transfers In:

a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized.....	\$ 0.00

Loans Received:

a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized.....	\$ 0.00

Other Receipts:

a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized.....	\$ 1,040.00
TOTAL RECEIPTS (1-4).....	\$ 1,040.00

In-Kind Contributions:

a. Itemized (from Schedule I)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
TOTAL IN-KIND.....	\$ 0.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

Marjorie Salrin
Rt 1 Box 162
Mt. Sterling, IL 62353

SECTION B - EXPENDITURES

6. Transfers Out:

a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized.....	\$ 0.00

7. Loans made:

a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized.....	\$ 0.00

8. Expenditures:

a. Itemized (from Schedule B)	\$ 225.00
b. Not-Itemized.....	\$ 160.34

9. Independent Expenditures:

a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
TOTAL EXPENDITURES (6-9)...	\$ 385.34

SECTION C - DEBTS AND OBLIGATIONS

10. a. Itemized (from Schedule C)...	\$ 0.00
b. Not-Itemized.....	\$ 0.00
TOTAL DEBTS AND OBLIGATIONS	\$ 0.00

SECTION D - CASH BALANCE

Funds available at the beginning of the reporting period.....	\$ 313.54
Total Receipts (Section A).....	\$ 1,040.00
Subtotal.....	\$ 1,353.54
Total Expenditures (Section B).....	\$ 385.34
Funds available at the close of the reporting period.....	\$ 968.20

Investment Total.....	\$ 0.00

VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

Marjorie Salrin
SIGNATURE OF TREASURER OR CANDIDATE

4/25/2012 3:42:09PM
DATE

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MA 041

SWIC Faculty Union Political Action Committee ID# 5500

Respondent

REPORT OF HEARING OFFICER

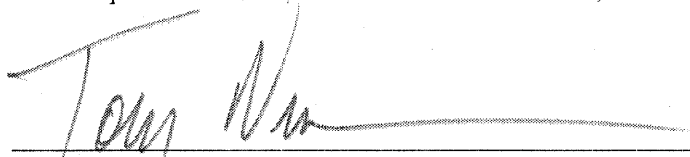
Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2011 and December 2011 Quarterly Reports

The September 2011 Quarterly Report was received by the Board on March 2, 2012, 93 days late, resulting in a civil penalty assessment of \$4,650. The December 2011 Quarterly Report was received by the Board on March 5, 2012, 32 days late, resulting in a civil penalty assessment of \$3,200. The total assessment is \$7,850.

Donald Bevirt, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Bevirt states that he took over as Treasurer of the Committee after the retirement of the previous Treasurer, who failed to inform him of the proper reporting procedures and deadlines. Mr. Bevirt says he filed the Reports once he became aware of the Committee's delinquent status. He adds that although he accepts responsibility for the late filings, the total assessment exceeds the Committee's usual balance and a smaller fine would be more manageable.

Unfortunately, although Mr. Bevirt may have been left in the dark by the previous Treasurer, it is still the responsibility of the Committee to ensure that reports are timely filed. I therefore recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board the total penalty of \$7,850 will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$9,546.30.)



Tom Newman – Hearing Officer
August 29, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

SWIC Faculty Union Political Action Committee ID# 5500

Dear SWIC Faculty Union Political Action Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	2011 September Quarterly Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2011 through September 30, 2011
Filing Period:	October 1, 2011 through October 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on March 2, 2012, 93 day(s) late. As such, this committee has been assessed a fine of \$4650.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	2011 December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2011 through December 31, 2011
Filing Period:	January 1, 2012 through January 17, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on March 2, 2012, 32 day(s) late. As such, this committee has been assessed a fine of \$3200.

The total for all new assessments is \$7850.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

State of Illinois)
County of: St. Clair)

STATE BOARD OF ELECTIONS
12 JUL -3 PM 12:23

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
SWIC FAC PAC)
Respondent(s).)

Case No. 12 MA041

APPEAL AFFIDAVIT

I, Donald BEVIER, the Treasurer of the
(Name) (Chairman/Treasurer)
SWIC FAC/PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

(Please See Attached)

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:
Rachel Smith

before me this 2nd Day of July, 2012


Notary Public (seal)
"OFFICIAL SEAL"
RACHEL SMITH
NOTARY PUBLIC — STATE OF ILLINOIS
COMMISSION EXPIRES DEC. 8, 2015

1) I took over my current position following the retirement of the former treasurer of the Southwestern Illinois College Faculty Union. I was not informed of the proper reporting procedures by our former treasurer, including the proper format and deadlines, that are required by the election board.

2) Once I became aware of our delinquent status, I filed a D-2 form and was informed that we are required to file electronically. Initially I filed the form via e-mail and was advised to use the electronic form on the election board website. The process was further complicated by compatibility issues with my computer, but I was finally able to access the state website and the last two quarters have been filed on time.

3) Regardless of the lack of communication between our former treasurer and myself, I assume full responsibility for not filing the required documents during the proper time frame. I am respectfully requesting that the State Board of Elections consider a reduction in the amount of the assessment fines. The total assessment of \$12,500 exceeds the account balance of the Southwestern Illinois College Faculty PAC, which averages about \$9500. The late filings were an honest mistake and I feel a reduced fine would be much more manageable given the small size of our PAC.

4) I can assure the Election Board that all of our future filings will be completed on time. Also, I will ensure going forward that any future changes involving the member of our PAC will be seamless and that all procedures will be followed and deadlines met. Thank you very much for your consideration in this matter.

A handwritten signature in black ink, appearing to be "Michael Smith", written in a cursive style.

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 DQ 208

Illinois Assn of Mortgage Brokers PAC 7305

Respondent

REPORT OF HEARING EXAMINER

For Delinquently Filing the December 2011 Quarterly Report

The December 2011 Quarterly Report was received by the Board on 3/19/12, 42 days late, resulting in an assessment of \$4,200. In addition, it had previously been assessed a \$160 civil penalty (not appealed, reduced, paid) for failure to file a Schedule A-1 for the 2006 General Election; a \$2,000 civil penalty (appealed, denied, paid) for delinquently filing the 2008 General Election Pre-Election Report; a \$50 civil penalty (not appealed, reduced, not paid) for failure to file a Schedule A-1 for the 2011 General Election; and a \$200 civil penalty (not appealed, not paid) for delinquently filing the September 2011 Quarterly Report. The total assessment is \$4,450.

Rob Perry, the Executive Director of the Illinois Association of Mortgage Brokers, appeared at the August 1st appeal hearing.

Mr. Perry indicated that a pipe burst and their office flooded on July 29, 2011. The office had a half of a foot of water in it and the water took out everything. Everything computer related failed from the network server to the actual computers. The Committee's paper records which were in a storage room were all wet as well. SERVPRO, SHREDZ, and other businesses had to be hired in an attempt to clean up the office. Many of the computer components had to be replaced and many of the paper documents that were recoverable had to be scanned for the documents were falling apart. In the end the flooded office and the aftermath prevented the Committee from getting the report filed timely.

I recommend the appeal be denied for a lack of an adequate defense. It is very unfortunate that the pipe broke and the office flooded, however the pipe broke on 7/29/11 and the reporting period for this assessment was 10/1/11 – 12/31/11. I understand that the Committee was still dealing with the aftermath of the flood considering SHEDZ services were not completed until 1/2/12, however the political activity that was being reported all took place after the flood. If this recommendation is accepted by the Board, the \$4,450 civil penalty will be due and owing. (As of 6/30/12, this committee reported a funds available balance of -\$5,223.57.)



Andy Nauman – Hearing Officer
August 1, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Illinois Assn of Mortgage Brokers PAC

ID# 7305

Dear Illinois Assn of Mortgage Brokers PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2011 through December 31, 2012
Filing Period:	January 2, 2012 through January 17, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on March 19, 2012, 42 day(s) late. As such, this committee has been assessed a fine of \$4200.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30, 2011	Quarterly	\$200
TOTAL AMOUNT NOW DUE		\$4400

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: DELAWARE)

STATE BOARD OF ELECTION
12 JUL -1 AM 11:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
ILLINOIS ASSN. OF MORTGAGE BROKERS)
PAR)
Respondent(s).)

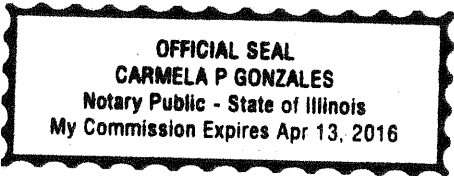
Case No. 12 DQ 208

APPEAL AFFIDAVIT

TERESA FOX, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
ILLINOIS ASSN. MORTGAGE BROKERS PAR
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

OFFICE FLOOD DESTROYED RECORDS AND COMPUTER SYSTEMS.



[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:
Carmela P. Gonzales
before me this 27 Day of JUNE, 2012

Notary Public
seal)



Invoice

Send Remittance To:
SHREDZ
P.O. Box 6476
Champaign, IL 61826

Billing Questions:
P 800.549.SHRED
F 800.549.6150
E billing@shredz.com

Date	Invoice No.
01/02/12	14000

Bill To:
IAMP
350 West 22nd St
Lombard, IL 60148

Service Address
350 West 22nd St
Lombard, IL 60148

P.O. Number	Terms	Due Date
	Due on receipt	01/02/12

Serviced	Item	Description	Quantity	Price Each	Amount
01/02/12	2030	C Scanning	79,174	0.09	7,125.66
01/02/12	2050	OCR	80,527	0.02	1,610.54
01/02/12	2057	Smaller Paper	10,071	0.025	251.78
01/02/12	2062	Manually Name Each File	2,596	0.025	64.90
01/02/12	2045	Color Scanning	303	0.12	36.36
01/02/12	2040	Photograph Scanning - 600 DPI	2,711	0.25	677.75
01/02/12	2035	Heavy Glass Work	1,353	0.15	202.95
				Total	\$9,969.94

MORTGAGE EDUCATION FOUNDATION, INC.

350 W. 22ND STREET, #104 PH. 630-918-7720
LOMBARD, IL 60148



Harris N.A.

2-2566-710

1/27/2012

PAY
TO THE
ORDER OF

Shredz

\$ 5,000.00

Five Thousand and 00/100

DOLLARS

Shredz
P. O. Box 6476
Lombard, IL

AUTHORIZED SIGNATURE

MEMO

inv 14000

Security Features: Details on back

MORTGAGE EDUCATION FOUNDATION, INC.

Shredz

1/27/2012

5270

6700 · Administrative Expense:6710 · Mis

5,000.00

IAMP EF Checking inv 14000

5,000.00

MORTGAGE EDUCATION FOUNDATION, INC.

Shredz

1/27/2012

5270

6700 · Administrative Expense:6710 · Mis

5,000.00

IAMP EF Checking inv 14000

5,000.00

MORTGAGE EDUCATION FOUNDATION, INC.

350 W. 22ND STREET, #104 PH. 630-916-7720
LOMBARD, IL 60148



Harris N.A.

2-2556-710

4/2/2012

PAY
TO THE
ORDER OF

Shredz

\$ **2,500.00

Two Thousand Five Hundred and 00/100

DOLLARS

Shredz
P. O. Box 6476
Lombard, IL

MEMO

inv 14000

MORTGAGE EDUCATION FOUNDATION, INC.

Shredz

4/2/2012

5287

6700 · Administrative Expense:6710 · Mis

2,500.00

IAMP EF Checking inv 14000

2,500.00

MORTGAGE EDUCATION FOUNDATION, INC.

Shredz

4/2/2012

5287

6700 · Administrative Expense:6710 · Mis

2,500.00

IAMP EF Checking inv 14000

2,500.00

4941

IAMP - PAC LTD
350 W. 22ND STREET, SUITE 104
LOMBARD, IL 60148

HARRIS N.A.
2-2566-710

4/16/2012

PAY TO THE
ORDER OF Schredz

\$ **1,000.00

One Thousand and 00/100*****

DOLLARS

Schredz
PO BOX 6476
Champaign, IL 61826

MEMO

Details on back
Security Features Included

IAMP - PAC LTD

Schredz

4/16/2012

4941

6700 · Administrative Expenses:6720 · Sc For Scanning of PAC records

1,000.00

IAMP PAC Checking

1,000.00

IAMP - PAC LTD

Schredz

4/16/2012

4941

6700 · Administrative Expenses:6720 · Sc For Scanning of PAC records

1,000.00

IAMP PAC Checking

1,000.00

DELUXE BUSINESS FORMS 1-800-328-0304 www.deluxeforms.com

ILLINOIS ASSOCIATION OF
MORTGAGE PROFESSIONALS, INC.
350 W. 22ND STREET, SUITE 104
LOMBARD, IL 60148



HARRIS.

Harris N.A.

2-2566-710

4/16/2012

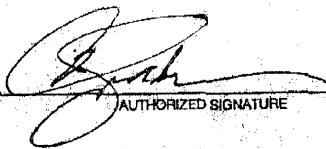
PAY
TO THE
ORDER OF Schredz

\$ **1,500.00

One Thousand Five Hundred and 00/100***** DOLLARS

Schredz
PO Box 6476
Champaign, IL 61826

MEMO


AUTHORIZED SIGNATURE

Security features. Details on back.

ILLINOIS ASSOCIATION OF
MORTGAGE PROFESSIONALS, INC.
Schredz

10059

4/16/2012

SCANNING & SHRED OF IAMP STORAGE DOCS

1,500.00

Harris

1,500.00

ILLINOIS ASSOCIATION OF
MORTGAGE PROFESSIONALS, INC.
Schredz

10059

4/16/2012

SCANNING & SHRED OF IAMP STORAGE DOCS

1,500.00

Harris

1,500.00

☒ 1) A NATIONWIDE SERVICE PROVIDER
SERVPRO® is a Franchise system with over 1,440 independently owned and operated locations nationwide. Servpro Industries, Inc., the Franchisor, may be reached at 1-800-SERVPRO.

☒ 2) WORK AUTHORIZATION In order to start our emergency and restoration service, you must sign the "Authorization to Perform Services" form. This allows us to take action immediately. We do not know your insurance coverage; therefore, it is impossible for us to know exactly what your insurance will cover. It is important to understand you are financially responsible for our services. Your deductible is payable before we start work. If for any reason insurance coverage cannot be verified at the time of our emergency service, an additional deposit may be required.

Date Damage Occurred: 7/29/11

Insurance Carrier: Charm's
Policy #: PR 1010

Deductible Amount: \$ _____

☒ 3) REFERENCES The SERVPRO® Franchisee would be pleased to provide references upon request.

☒ 4) HOW WE PROCEED The SERVPRO® Franchisee will attempt to "preserve and protect" your structure and contents. The following steps may be completed in the emergency service, as determined to be applicable by the SERVPRO® Franchisee:

■ Identify Source/Type of Water – Contaminated water sources like sewage, etc. require specialized restoration processes. In addition, the source of water should be completely stopped prior to starting any work other than the removal process.

What was the source of this water intrusion? _____

Has the source of water been stopped? ☒ Yes ☐ No

■ Identify Visible Mold Growth – It is important for us to know whether any visible mold growth is present. While molds may be found in small amounts almost anywhere, larger growths of mold may create health concerns, depending on the reaction of occupants to molds.

Have you seen signs of mold growing anywhere in the structure or its contents? ☐ Yes ☒ No

Location: _____

Have you seen mold growth any time in the past? ☐ Yes ☒ No

Have you noticed any odors or musty smells? _____

■ Mold Mitigation – Specialized procedures are required for mold. If we notice a significant presence of mold, we will notify the involved parties so they may determine an appropriate course of action.

■ Measure Temperature/Humidity for Drying Analysis – The process of drying will use equipment to remove moisture from the structure and contents of your home. This controlled reduction of the relative humidity level helps reduce property damage and slow mold growth.

■ Survey the Extent of Damage and Inspect the Premises – Our survey is designed to identify how much damage has occurred and plan the necessary emergency work. The inspection is also done for safety reasons. We check the affected area for bad flooring, ceiling damage, visible electrical hazards, contents damage, wall and baseboard damage, sewage water, visible mold, etc.

Are you aware of any hazards or hazardous materials in the building? _____

Are you aware of any asbestos containing materials in the building? _____

■ Emergency Water Extraction – Our next step is to remove as much water as possible from the affected area. This will be completed with our specialized extraction equipment.

■ Furniture Moved and Blocked – This is done to protect carpet and furnishings in the affected area.

■ Floor Service – We will check to see what type of floors you have. Carpet will be lifted to inspect flooring. As much water as possible will be removed.

■ Carpet Pad – Pad acts like a sponge and holds water. If the pad is exposed to excess water, it may be necessary to remove the pad to protect subfloor and speed the drying process.

■ Carpet – Carpet will be checked for restorability, delamination, visible mold and mildew, etc. In some water damage situations, the carpets may need to be removed. This can include door removal, cutting seams, and/or metal threshold removal.

■ Treatments – Our process may include the application of deodorizers or EPA-registered disinfectants.

■ Equipment – Drying equipment will be used to dry your property. The purpose of the equipment is to remove moisture and bring the relative humidity level back to normal. It is very important the equipment be kept running, unless a safety hazard arises. Excessive moisture can still be present even when all visible surfaces seem dry which may lead to mold growth or other damage. We will monitor moisture in wet materials.

■ Disposal – Any refuse items such as carpet pad will be removed and disposed of properly.

☒ 5) WHAT IS RESTORABLE Determining exactly what can be saved until everything is dry is usually impossible. We will set a follow-up date so we can monitor the drying process. In addition, the restoration process will be scheduled when the drying is complete. This can include floor preparation, tack strip replacement, carpet and pad installation, seaming, carpet cleaning and restoring, and furniture and contents cleaning. A scope of services will be provided.

☒ 6) PRE-EXISTING OR PRELDS CONDITIONS During the course of cleaning, we will likely remove day-to-day soiling, which existed prior to your loss. We are happy to do so. If, however, the removal of pre-existing soil requires significant extra effort, our crews will be instructed to move on to the next item. Pre-existing damage, including visible mold growth, will also be noted.

Has this structure sustained leaks or water intrusions in the past? Yes ☐ No ☒ Date: _____

☒ 7) PERSONAL ITEMS In the course of our survey and cleaning, we will sometimes be required to open doors, cupboards, etc. to qualify and/or restore the damage. If any of these areas contain personal items you would prefer to relocate before we start work, please let us know. Area: _____

■ GUNS AND AMMUNITION Our crews are instructed NEVER to touch guns or ammunition. For this reason, we request you remove any such items from the areas we are cleaning.

■ JEWELRY, VALUABLES, HEIRLOOMS Please remove any valuable items from the areas we are cleaning before the job is started. We are not responsible for those items. List any special heirlooms or collectibles that should be given extra special or extra delicate treatment due to their value: _____

☒ 8) OVERLOOKED ITEMS Although our supervisors inspect all work, you should accompany the supervisor on the final walk-through and bring any overlooked items or concerns to the supervisor's attention.

☒ 9) INSURANCE ADJUSTERS OR AGENTS We are neither insurance adjusters nor agents. We cannot authorize anything to be replaced, repaired or painted. Our job is to preserve and protect, stop further damage from occurring and restore damaged items to their prior condition when possible.

☒ 10) EMERGENCY CHARGES The exact price of restoring your property following a water damage emergency is virtually impossible to determine on our first visit. However, in order to give you an idea, we will review the SERVPRO® Water Damage Emergency Services Report to provide you an initial estimate while on our first visit. The scope of work can change due to unforeseen circumstances. The final bill will be forwarded to you and/or your insurance carrier upon completion.

☒ 11) HEALTH AND SAFETY Maintaining your personal health and safety is of great concern to us. All occupants and pets should stay away from the work areas to protect their health and safety during the work process. Material Safety Data Sheets for our products are available upon request. Please indicate if you want copies: ☐ Yes ☒ No

Exposure to certain molds may cause health problems. If you have any concerns, you should consult a physician.

Has anyone in the building experienced health reactions to the water intrusion? Yes ☐ No ☒

Do you have any health concerns about the water intrusion? _____

For additional information, please see the SERVPRO® Mold Brochure, and contact your local or state health department.

☒ 12) APPROXIMATE COMPLETION The actual time required to complete the restoration process is difficult to estimate. We estimate approximately: 3-4 days

I HAVE READ AND UNDERSTAND THE ABOVE STATEMENTS AND INFORMATION CONTAINED HEREIN. MY ANSWERS TO THE ABOVE QUESTIONS ARE ACCURATE AND COMPLETE.

Customer Name: Mr. Alan King

Customer's Signature: _____ Date: _____

Provider's Signature: Charm's

Date: 7/29/11

Franchise Legal Name: Emerald Service, Inc.

() corporation, () LLC, () partnership, () LLP, () sole proprietorship

d/b/a SERVPRO® of Lombard Addison

SERVPRO®

AUTHORIZATION TO PERFORM SERVICES and DIRECTION OF PAYMENT

The undersigned client, being the building owner, owner's representative, or resident, authorizes the provider identified below to perform any and all necessary cleaning and/or restoration services on Client's property located at the property address below, and with respect to items that need to be cleaned at a remote location to remove and clean such items as necessary.

Client authorizes Claudio L. H. Insurance Company, herein referred to as "Insurance Company," to pay Provider solely and directly for that portion of the work covered by Client's insurance policy.

If, for any reason, Client receives a check from Insurance Company made payable to Client, Client agrees to pay Provider immediately upon receipt of the check. In order to expedite payment to Provider, Client hereby appoints Provider as attorney-in-fact, authorizing Provider to endorse Client's name on Insurance Company checks or drafts, and to deposit Insurance Company checks or drafts for Provider services.

Client agrees to pay Client's deductible in the amount of \$_____ that applies to this claim. If any amounts owing to Provider for Provider services are not covered by insurance, Client agrees to pay those amounts to Provider within fifteen (15) days of Client's receipt of invoice. It is fully understood that Client and its agents, successors, assigns and heirs are personally responsible for any and all deductibles and any costs not covered by insurance. Interest and finance charges will be charged at the maximum allowable by law, or at 1.5% per month, whichever is less, on accounts over thirty (30) days past due. Time is of the essence.

Client agrees that Provider is working for the Client and not Client's insurance company or any agent/adjuster.

Remarks: _____

Property Owned by: DR. ~~XXXXXXXXXX~~ JAIN

I have read this Authorization to Perform Services and Direction of Payment, including the Terms and Conditions of Service on the reverse side hereof, and agree to same.

[Signature]
Client's Signature _____ Date _____
Robert R. Jain
Printed Name _____
350 W. 20th St. Ste 101
Address Chicago, IL 60640

[Signature] 7/29/11
Provider's Signature _____ Date _____
Emergency Services, Inc.
Franchise Legal Name _____
☒ corporation, ☐ LLC, ☐ partnership, ☐ LLP, ☐ sole proprietorship
d/b/a SERVPRO® of Lombard/Addicks

SERVPRO® Franchises are independently owned and operated.

White – SERVPRO® Yellow – Adjuster Pink – Customer

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 041

Chicago Cosmetologists Assn

ID# 7365

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the March 2012 Quarterly Report

The Report was received by the Board on May 4, 2012, 14 days late, resulting in a civil penalty assessment of \$350. Additionally, the Committee had previously been assessed a \$2,725 civil penalty (not appealed, unpaid) for delinquently filing the December 2010 Semi-Annual Report. The total assessment is \$3,075.

Paul Dykstra, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Dykstra states that the Association is not a political committee under 5/9-1.8(d) and has been mistakenly filing reports with the Board and therefore believes the Disclosure Act does not apply to them. He adds that if the Board waives the penalties the Association will donate its remaining \$50 balance to charity and submit a Final report.

Our records indicate this Committee has been filing Disclosure reports with the Board since 1991. If the Committee has mistakenly been filing with the Board for 21 years and does not fall under the definition of a political committee, it should submit a Final report. However, I must recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$3,075 will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$50.00.)



Kim Patrick – Hearing Officer

August 24, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Chicago Cosmetologists Assn

ID# 7365

Dear Chicago Cosmetologists Assn:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 4, 2012, 14 day(s) late. As such, this committee has been assessed a fine of \$350.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31, 2010	Semi-Annual	\$2725
TOTAL AMOUNT NOW DUE		\$3075

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
)
County of Cook)

STATE BOARD OF ELECTIONS

12 JUL -5 AM 10:49

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12 MQ041

Chicago Cosmetologists Assn.)

Respondent(s).)

APPEAL AFFIDAVIT

I, Paul Dykstra, the Treasurer of the
(Name) (Chairman/Treasurer)

Chicago Cosmetologists Assn.
(Name of the Committee)

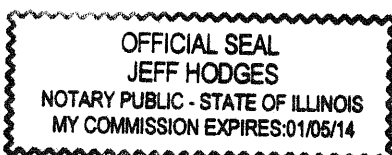
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Association is not a "political committee" under Section 5/9-1.8(d).
It does not make contributions to political candidates, political parties
or political action committees of any amount. It does not engage in
electioneering communications and it does not support ballot initiatives.
The Association has been mistakenly filing reports with the State Board of
Elections. Accordingly, the Illinois Campaign Disclosure Act does not apply
to it. Please excuse our delinquent form(s) and waive our penalties. If
this appeal is granted, the Association will donate its \$50 cash balance
to charity and submit a final report with the State Board of Elections.

Signed and Sworn to by:

Paul Dykstra
before me this 3rd Day of
July, 2011 2012
Jeff Hodges
Notary Public

Paul Dykstra
(Signature of ~~Chairman~~ Treasurer)
Telephone: 312-673-5853



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MQ 042

Conservation Police Lodge #146 FOP
Cmte ID: 7370
Respondent

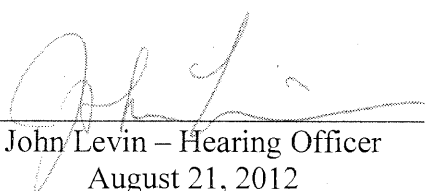
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the March 2012 Report

The Report was received on May 2, 2012, 12 days late, resulting in a fine of \$300. The Committee was previously assessed \$25 for delinquently filing the December 2010 Semi-Annual Report.

Kevin Bettis, the Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter.

Mr. Bettis stated the Committee mistakenly believed the due date for the Report was the end of the month (April). He said his wife, a tax preparer, fills out the Committee's reports and was busy with tax season responsibilities. Mr. Bettis said the Committee always strives to comply with deadlines and intends to do so in the future.

I recommend the appeal be denied for lack of an adequate defense. I appreciate Mr. Bettis' candor in acknowledging the oversight. However, the Committee files on paper and had no activity during the reporting period in question. The Report should have taken just minutes to prepare. If the Board accepts this recommendation, the stay on the assessment for the delinquent December 2010 Semi-Annual Report would be lifted, and a total of \$325 would be due and owing. As of June 30, 2012, the Committee reported a cash balance of \$458.71.



John Levin – Hearing Officer
August 21, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Conservation Police Lodge #146 FOP ID# 7370

Dear Conservation Police Lodge #146 FOP:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 2, 2012, 12 day(s) late. As such, this committee has been assessed a fine of \$300.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31, 2010	Semi-Annual	\$25
TOTAL AMOUNT NOW DUE		\$325

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

STATE BOARD OF ELECTIONS

12 JUL -5 PM 2:49

State of Illinois)
 County of Menard)

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
 ILLINOIS STATE BOARD OF ELECTIONS,)
)
 Complainant)
)
 Vs.)
)
Conservation Police Lodge)
 Respondent(s).)

Case No. 12 MQ042

APPEAL AFFIDAVIT

I, Kevin L. Bells, the Treasurer of the
 (Name) (Chairman/Treasurer)
Conservation Police Lodge #146 F.O.P.
 (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The due date of the quarterly filing was believed to be the end of the month following the end of the quarter. My wife prepares the reports and is also a tax preparer who was covered in tax returns during the due date timeframe. We always strive to file timely and accurate reports.

We promise to pay extra attention to deadlines in the future to ensure this never happens again. Thank you for your consideration.

Signed and Sworn to by:

before me this 5th Day ofJuly 2012

Notary Public



Kevin L. Bells
 (Signature of Chairman/Treasurer)

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 054

Kankakee Twp Republicans

ID# 11296

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the March 2012 Quarterly Report

The Report was received by the Board on April 20, 2012, 4 days late, resulting in a civil penalty assessment of \$200. Additionally, the Committee had previously been assessed a \$150 civil penalty (not appealed, stayed) for delinquently filing the December 2011 Quarterly report. The total assessment is \$350.

Lawrence Mackin, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Mackin states the March Quarterly report was late 4 days due to his son's graduation. The commitment to attend the ceremony and spending time with his family resulted in being distracted and missing the deadline.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$150 assessment and the civil penalty of \$350 will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$115.63.)



Kim Patrick – Hearing Officer
August 23, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Kankakee Twp Republicans

ID# 11296

Dear Kankakee Twp Republicans:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 20, 2012, 4 day(s) late. As such, this committee has been assessed a fine of \$200.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1 through December 31 2011	Quarterly	\$150
TOTAL AMOUNT NOW DUE		\$350

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

STATE BOARD OF ELECTION
12 JUL -5 AM 11:37

State of Illinois)
County of Kankakee)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)

Case No. 12 MQ 054

Kankakee Township Republicans)
Respondent(s).)

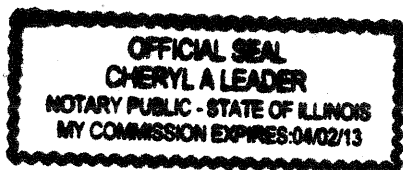
11296

APPEAL AFFIDAVIT

I, Lawrence Mackin, the Treasurer of the
(Name) (~~Chairman~~/Treasurer)
Kankakee Township Republicans
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached narrative.



Signed and Sworn to by:
Lawrence Mackin
before me this 2 Day of
July 2, 2012, 2011
Cheryl Leader
Notary Public

Lawrence Mackin
(Signature of Chairman/Treasurer)

Lawrence (Larry) Mackin
1068 S. Wildwood
Kankakee, IL. 60901

State Board of Elections
Att: Campaign Disclosure
1020 S. Spring Street
Springfield, IL. 62704

06/30/2012

To Whom It May Concern:

This letter is to serve as an appeal for abatement of the two fines issued against the Kankakee Township Republicans.

Point #1 - Due to computer complications the 4th Quarter 2011 was filed late. My machine had a major drive failure. The resulting purchase of a new machine (copy of receipt attached) and reconstruction of the files resulted in a delay of the required filing of the report by four days.

As you are aware this resulted in the initial fine of \$150.00 which was suspended per the guidelines of the program. I respectfully request that this penalty be canceled due to a reasonable cause delay.

Point #2 - The 1st Quarter 2012 filing Deadline was missed by 4 days when I was out of State attending my son's graduation from Special Forces Training at Pope Air Force Base, Fort Bragg, North Carolina. The commitment to attend the ceremony and spend some time with him & his family resulted in my being distracted & missing the deadline.

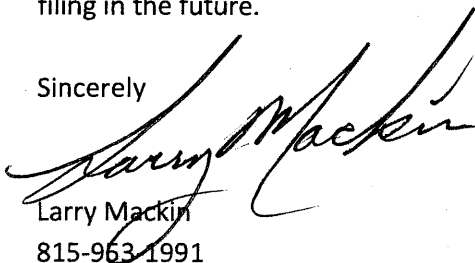
We are a small four person Campaign Committee – we do not raise money or participate in any other elections other than our own. At the current time we have less than half of the proposed fine on hand. Paying this fine will force the discontinuation of our committee

While technical problems and a family commitment resulted in the delay of filing I did resolve the issues as quickly as possible.

I have taken steps to prevent these types of issue's in the future.

I respectfully request abatement of both the fines and will work towards full compliance and timely filing in the future.

Sincerely



Larry Mackin
815-963-1991

1941-0239091
 Export Policy: Purchaser is solely responsible for compliance with all U.S. Export
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 (815)932-2995
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Val #: 0154-4680-4261-4065
 1123 017 9897 01/16/12 12:48 00872602

3155928	DX4860-UB33	549.99 *
	REWARD DX4860-UB33P DESKTOP	
	REG \$599.99 50.00 SALE DISC	
	ITEM TAX 34.37	
	P Order # 1112016264521	
	Pickup BOURBONNAIS IL	
5426693	REWARD ZONE CARL	0.00 N
	MEMBER ID 2010136069	
SUBTOTAL		549.99
SALES TAX AMOUNT		34.37
TOTAL		584.36

Associate # 872602
 Associate # 83233
 When you provide a check as payment, you authorize us to use information from your check to process a one-time Electronic Funds Transfer (EFT) or draft drawn from your account, or process the payment as a check transaction. You also authorize us to process credit adjustments, if applicable. If your payment is returned unpaid, you authorize us to collect your payment and the Return Fee amount below by EFT(s) or draft(s) from your account. If you are presenting a corporate check, you make these representations as an authorized corporate representative.

ELECTRONIC CHECK 584.36

Check # 1329
 TeleCheck Trace ID # 1400310000007027917131

ELECTRONIC CHECK RETURN FEE \$ 25.00

Best Buy tracks exchanges and returns on an individual level. When you exchange or return an item, we require a valid form of ID (see below). Some of the information from your ID may be stored in a secure, encrypted database of customer activity that Best Buy and its affiliates use to track exchanges and returns.

Valid forms of ID accepted are:
 U.S., Canadian or Mexican Driver's License,
 U.S. State ID, Canadian Province ID,
 U.S. Military ID or Passport

* indicates discount price
 + indicates clearance price
 N indicates non tax item

YOUR CUSTOMER SERVICE PIN IS:

BEST BUY BBY 1123
584 N STATE ROUTE 50
BOUENBONNAIS, IL, 60914-4483
815-932-2995

BEST BUY Pickup Acknowledgement

OFFER BY DX48 LARRY MACKIN
3105928
Model #: DX4860-UB3

Order #: 1112016264521

Total Items Picked Up Is: 1

Customer Signature: _____

By signing, you acknowledge you have
received the products indicated above.
Please view your purchase receipt to see
your complete order and how your payment
was made.

Any promotional discounts and tax applied
to your order should be reflected on
your purchase receipt

Best Buy Store policies may vary from
BestBuy.com policies. Details are
available on your purchase receipt
as well as in local Best Buy store.
If you have a question about your order
call Customer Care at 1-888-Best Buy
(1-888-237-8289)

Printed on: 01/24/2012 @ 07:14 PM

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MQ 071

Geneva Twp Democrats
Respondent

ID# 13328

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquently Filing
the March 2012 Quarterly Report

The Quarterly Report was received by the Board on May 3, 2012, 13 days late, resulting in a civil penalty assessment of \$650. Additionally, the Committee had previously been assessed a civil penalty of \$75 (not appealed, stayed) for delinquently filing the December 2011 Quarterly Report. The total assessment is \$725.

Kenneth S Vondrak, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Vondrak states medical problems caused the late filing of the Quarterly report. He adds that the Committee has not had any activity for a year and a half.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$75 assessment and the \$725 civil penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$126.52.)



Kim Patrick – Hearing Officer
August 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Geneva Twp Democrats

ID# 13328

Dear Geneva Twp Democrats:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 3, 2012, 13 day(s) late. As such, this committee has been assessed a fine of \$650.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.**


If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1 through December 31 2011	Quarterly	\$75
TOTAL AMOUNT NOW DUE		\$725

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)

County of: KANE)

STATE BOARD OF ELECTIONS

12 JUL -1 AM 11:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12MQ071GENEVA TWP DEMOCRATS)

Respondent(s).)

APPEAL AFFIDAVIT

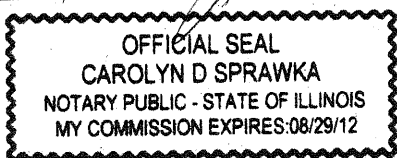
I, KENNETH S. VONDRAK, the CHAIRMAN of the
(Name) (Chairman/Treasurer)GENEVA TWP DEMOCRATS(ID# 13328)

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

MY WIFE & I HAVE A NUMBER OF MEDICALPROBLEMS (SEE INCLOSURE LETTER)[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]
before me this 18th Day of June, 2012Notary Public
(seal)

KENNETH VONDRAK

1214 South Street
Geneva Illinois 60134 2535
630 232 8660
June 28, 2012

Dear Jenny Ronimous:

I am replying to your notifications regarding ID # 18020 and # 13328, late report filing.

My wife who is 85 and I (82), have been having medical problems for the last several years and it is probably my fault that they were late, since the mail comes to me, I probably did not get it to Carolyn. Carolyn Sprawka has turned in the reports, on time for the past 6 or 7 year, and I am sure that she had a very good reason for being late; she has been an exemplary treasurer.

We have not added or taken out any money for at least a year and a half and I completely forgot about politics lately.

Sincerely;



Kenneth S. Vondrak
Chairman, Kane County Democrats
Kane County Democratic Club

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 AM 014

Citizens for Dan Patlak 13999

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

This Committee received a \$10,000 contribution on 3/8/12 and reported it on a Schedule A-1 received by the Board on 3/13/12, 1 day late, resulting in a civil penalty assessment of \$5,000. The Committee also received a \$1,200 contribution on 2/24/12 and reported it on a Schedule A-1 received by the Board on 3/4/12, 4 days late, resulting in a civil penalty assessment of \$600. The total assessment is \$5,600.

Dan Patlak, the candidate, appeared at the August 1st appeal hearing.

Mr. Patlak was used to filing the Schedule A-1 Reports within 5 business days and was not aware that the filing requirement changed to 2 business days within the 30 day period preceding an election. Mr. Patlak indicated that this was a negligent or inadvertent violation, the contributions were reported prior to the election, they were reported only 1 and 4 days late, and this was the first time the Committee has had a violation in its 14 years of existence with more than \$500,000 of contributions.

I recommend the appeal be denied for a lack of an adequate defense. It is ultimately the Committee's responsibility to ensure reports are filed in a timely manner. In addition, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment, or \$560. If this recommendation is accepted by the Board, the \$560 civil penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$124,482.34.)



Andy Nauman – Hearing Officer
August 1, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens For Dan Patlak

ID# 13999

Dear Citizens For Dan Patlak:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
John O Roeser	3/8/2012	\$10,000	3/13/2012	1	\$5000
Marijo Gordon	2/24/2012	\$1200	3/4/2012	4	\$600

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$5600 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$560, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of : Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Dan Patlak)
13999 Respondent(s).)

Case No. 12 AM 014

CHICAGO
2012 JUL -2 PM 1:26
STATE BOARD OF ELECTIONS

APPEAL AFFIDAVIT

I, Dan Patlak, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Dan Patlak
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The violation should be considered under subsection (d)(5)(C),
"negligent or inadvertent violations".

1. The committee did in fact disclose the contributions
2. The contributions were reported only one & four days late respectively
3. This committee has never had a violation in all its fourteen years of existence & \$500,000+ in contributions.

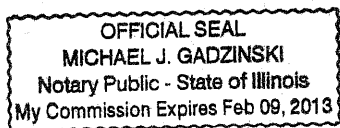
Dan Patlak
Signature of Chairman/Treasurer

Signed and Sworn to by:

Dan Patlak

before me this 2nd Day of July, 2012

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 078

Mechanical Industry PAC

ID# 14080

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the March 2012 Quarterly Report

The Quarterly Report was received by the Board on April 25, 2012, 7 days late, resulting in a civil penalty assessment of \$350.

Evan Williams, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Williams states the Committee did not receive the hard copy packet which includes the due date for the report. He feels the violation is unfair due to unannounced changes to regular protocol by the Board.

I am not sure why the Committee would have not received mailings from the Board since the Committee has had their current address since October 2008. According to our records, the notice for the March 2012 Quarterly filing was mailed to the Committee on February 27, 2012. In addition, it is the Committee's responsibility for taking notice of Board mailings and meeting its filing requirements. The Campaign Disclosure calendar is available on the Board's website and no changes in protocol have taken place with regards to filing of reports. Therefore, I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (As of 6/30/12, this Committee reported a funds available balance of \$45,334.38.)



Kim Patrick – Hearing Officer

August 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

Mechanical Industry PAC

ID# 14080

Dear Mechanical Industry PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 25, 2012, 7 days late. As such, this committee has been assessed a fine of \$350.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

12 JUL -1 AM 11:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
MECHANICAL INDUSTRY PAC)
Respondent(s).)

Case No. 12 MQ 078

APPEAL AFFIDAVIT

I, EVAN WILLIAMS, the TREASURER of the
(Name) (Chairman/Treasurer)
MECHANICAL INDUSTRY PAC
(Name of the Committee)

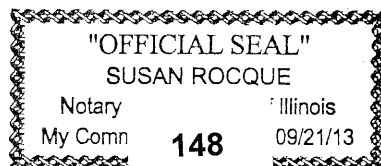
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached

Signed and Sworn to by:
Susan Rocque
before me this 29th Day of
June, 2012

Notary Public

[Signature]
(Signature of Chairman/Treasurer)



Mechanical Industry PAC/Mechanical Contractors Association PAC Statement of Appeal

The Mechanical Industry PAC/Mechanical Contractors Association PAC (State Board ID# 14080) respectfully requests that State Board of Elections vacate the late filing violation for March 2012 because the late filing was due to unannounced changes in the filing procedures outside of the control of the Mechanical Industry PAC.

It is the practice of organizations to establish procedures based upon the practices of the regulating organization. In the case of the Mechanical Industry PAC, we have relied upon information routinely supplied by the State Board of Elections in order to timely file our required disclosure reports. The Mechanical Industry PAC failed to timely file its March 2012 Quarterly Report of Campaign Contributions and Expenditures due to two unannounced changes in procedure by the State Board of Elections.

In April 2006, the State Board of Elections offered all Committees a choice as to whether they would prefer to receive a hard-copy mailing prior to each report due date or whether the Committee would prefer an electronic copy of filing dates and forms via e-mail. The hard-copy packet included the due date for the report and the D2 forms. In April 2006, the Mechanical Industry PAC elected to receive a paper copy of the annual filing dates and D2 forms. For every report due from April 2006 through 2011, the Mechanical Industry PAC received a hard copy packet via U.S. Mail which indicated the report due date and included the necessary forms. The Mechanical Industry PAC has relied on timely receipt of those packets to ensure timely filing of reports. In an apparent change of procedure for the State Board, those packets are no longer being sent. The Mechanical Industry PAC did not receive its packet for the March 2012 quarterly filing and has yet to receive the packet for the upcoming June 2012 quarterly filing. Despite making an affirmative choice, confirmed to the State Board in writing in April of 2006, the Mechanical Industry PAC has received no notice from the State Board that the described packets are no longer being provided to Committees.

Additionally, it has been the practice of the State Board to send reminder notices (via U.S. Mail for those Committees who so requested in 2006) to all Committees that a filing date was approaching. These notices were traditionally sent during the filing window, so for the March 2012 quarterly report, the reminder notice would have been received during the first week of April. Without prior notice to the Mechanical Industry PAC, the State Board has apparently also elected to discontinue this practice.

The Mechanical Industry PAC takes its responsibility to file reports to the State Board seriously; however, it is unfair to record a violation on the Committee due to unannounced changes to regular protocol by the State Board of Elections.

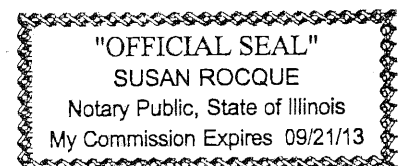
The Mechanical Industry PAC respectfully submits that it is inappropriate to penalize the Mechanical Industry PAC for failure to file on-time given that failure to file timely by the PAC was due to unannounced changes in protocol by the State Board of which the Mechanical Industry PAC should have been given written notification of. These changes in protocol constitute actions of which are both outside of the Mechanical Industry PAC's control and which constitute a significant change in the normal filing procedure. As such, the Mechanical Industry PAC respectfully requests that this late filing violation be removed from our record.

Respectfully Submitted by Evan Williams, Treasurer, Mechanical Industry PAC



Signature

, 2012



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 079

Growing Communities Helping Students

ID# 14150

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the March 2012 Quarterly Report

The Quarterly Report was received by the Board on April 20, 2012, 4 days late, resulting in a civil penalty assessment of \$100.

Susan Facklam, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Facklam states the recent lapse in reporting was partly due to inactivity by the Committee along with personal events. She adds that another member of the committee will be assigned as a back up to ensure they are compliant with future reporting requirements and apologizes for the oversight.

I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (As of 6/30/12, this Committee reported a funds available balance of \$1,950.84.)



Kim Patrick – Hearing Officer
August 23, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Growing Communities Helping Students ID# 14150

Dear Growing Communities Helping Students:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 20, 2012, 4 days late. As such, this committee has been assessed a fine of \$100.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of LAKE)

STATE BOARD OF
12 JUL -5 AM

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12MQ079

Growing Communities Helping Students)
Respondent(s).)
14150

APPEAL AFFIDAVIT

I, SUSAN FACKLAM, the treasurer of the
(Name) (Chairman/Treasurer)

Growing Communities Helping Students
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

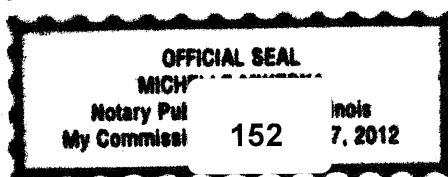
see attached letter

Signed and Sworn to by: SUSAN FACKLAM

Michelle Mikoska
before me this 2nd Day of
JULY, 2012

Michelle Mikoska
Notary Public

Susan Facklam
(Signature of Chairman/Treasurer)



The Growing Communities Helping Students Committee was first formed in 1997 to support a tax referendum initiative in Grayslake and the last time it took in funds was in early 2000 (current balance \$1950.84). The committee is very small (3 members) and has been inactive for quite some time due to the fact that a school referendum hasn't been needed. We have considered dissolving the committee, but recently with all of the uncertainty in state funding for education we decided to keep the committee intact. I recognize the importance of complying with State Board of Education regulations and have been compliant over the years. This recent lapse in reporting was partly due to the inactivity as well as personal events (my husband was in the middle of an upcoming job loss and we had just returned from a college visit in Rhode Island with our youngest son).

We will assign another member of the committee as a back-up to ensure that we remain compliant with reporting requirements. We offer our apologies for this oversight.

A handwritten signature in cursive script, appearing to read "Susan Jackson", followed by a horizontal line.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 085

Education First Committee

Cmte ID: 14648

Respondent

REPORT OF HEARING OFFICER

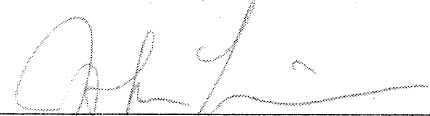
Appeal of Civil Penalty Assessment
For Delinquently Filing the March 2012 Report

The Report was received on April 20, 2012, 4 days late, resulting in a fine of \$100. The Committee has not been previously fined.

Lauri Steinhoff, the Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter.

Ms. Steinhoff stated the Committee is very small and has been largely inactive for quite some time. Committee members have contemplated dissolving the Committee but have decided to keep it active in light of the uncertainty surrounding state funding for schools. She noted the Committee's past compliance record and apologized for the oversight.

I recommend the appeal be denied for lack of an adequate defense. Ms. Steinhoff said another member of the Committee will be assigned as a backup to ensure future compliance with filing deadlines, and the Committee is to be applauded for that decision. If the Board accepts this recommendation, assessment would be stayed as the product of a first offense. As of June 30, 2012, the Committee reported a cash balance of \$928.01.



John Levin – Hearing Officer
August 21, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

Education First Committee

ID# 14648

Dear Education First Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 20, 2012, 4 days late. As such, this committee has been assessed a fine of \$100.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of LAKE)

STATE BOARD OF ELECTIONS

12 JUL -5 AM 11:37

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12 MQ 085

Education First Committee)

14648 Respondent(s).)

APPEAL AFFIDAVIT

I, Lauri Steinhoff, the treasurer of the
(Name) (Chairman/Treasurer)

Education First Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

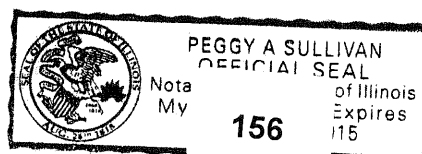
see attached letter

Signed and Sworn to by:

Peggy A Sullivan
before me this 2 Day of
July, 2012

Peggy A Sullivan
Notary Public

Lauri A. Steinhoff
(Signature of Chairman/Treasurer)



The Education First Committee was first formed in 1990 to support a tax referendum initiative in Grayslake and the last time it took in funds was in the mid-90s (current balance \$928.01). The committee is very small (3 members) and has been inactive for quite some time due to the fact that a school referendum hasn't been needed. We have considered dissolving the committee, but recently with all of the uncertainty in state funding for education we decided to keep the committee intact. I recognize the importance of complying with State Board of Education regulations and have been compliant over the years. This recent lapse in reporting was partly due to the inactivity as well as personal events (my husband was in the middle of an upcoming job loss and we had just returned from a college visit in Rhode Island with our youngest son).

We will assign another member of the committee as a back-up to ensure that we remain compliant with reporting requirements. We offer our apologies for this oversight.



Committee # 14648
JD

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MQ 092

Advance 300

ID# 15501

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the March 2012 Quarterly Report

The Quarterly Report was received by the Board on April 23, 2012, 5 days late, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed a civil penalty of \$100 (not appealed, stayed) for delinquently filing the June 2011 Quarterly Report. The total assessment is \$600.

Richard J Hendricks, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Hendricks states an inadvertent oversight caused the late filing of the Quarterly report. He adds that the Committee has been dormant and asks the penalty be abated.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$100 assessment and the \$600 civil penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$45,810.86.)



Kim Patrick – Hearing Officer
August 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Advance 300

ID# 15501

Dear Advance 300:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 23, 2012, 5 day(s) late. As such, this committee has been assessed a fine of \$500.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2011	Quarterly	\$100
TOTAL AMOUNT NOW DUE		\$600

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
County of Kane)

STATE BOARD OF ELECTIONS
12 JUN 29 AM 11:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS,
Complainant
Vs.
Advance 300
Respondent(s).

Case No. 12 MQ 092

APPEAL AFFIDAVIT

I, Richard J. Hendricks, the Treasurer of the
(Name) (Chairman/Treasurer)

Advance 300

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

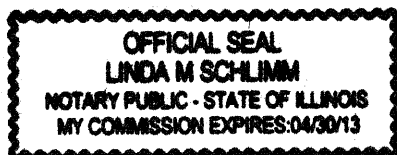
Advance 300 was actively involved in supporting two school district referendums in 2006. Since that time, Advance 300 has been dormant, except for filings with the Illinois Board of Elections and the Illinois Secretary of State. Due to an inadvertent oversight, the January through March 2012 quarterly filing was not timely. However, upon receipt of notification of the delinquency, the report was immediately transmitted. Due to the absence of activity and the immediate correction of the oversight, please abate the assessment of the civil penalty.

Signed and Sworn to by:

RICHARD J. HENDRICKS
before me this 28th Day of June, 2011/2012
Linda M. Schlamm
Notary Public

[Signature]

(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 AD 069

Roger Mulch for Sheriff
Respondent

Cmte ID: 16189

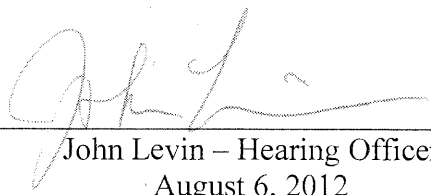
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the March 2012 Quarterly Report

The Report was received April 25, 2012, 7 days late, resulting in an assessment of \$175. This is the Committee's first assessment.

Roger Mulch, the Candidate and Chairman of the Committee, filed an Appeal Affidavit in the matter. He also testified at a hearing at the Board's Springfield office on Monday, August 6, 2012.

Mr. Mulch stated, both in the affidavit and during his in-person testimony, that he and his wife, Brenda Mulch, Treasurer of the Committee, had hectic work schedules around the due date of the Report. They did not realize it was time to file the Quarterly Report until nearly a week after the deadline. Mr. Mulch also noted the area from which he electronically files Reports has weak Internet service, which leads to challenges in uploading Reports. However, he acknowledged the delinquent filing of the Report was unrelated to electronic filing issues.

I recommend the appeal be denied for lack of an adequate defense. While Mr. Mulch is correct in noting his Committee's exemplary record of timely reporting, and I appreciate his responsibilities as sheriff of Jefferson County, he acknowledged the Quarterly deadline simply slipped the minds of both he and his wife. Under those circumstances, the issues he testified to regarding the difficulty of uploading the Report do not mitigate the delinquent filing. If the Board accepts this recommendation, the fine would be stayed as the product of a first offense. As of June 30, 2012, the Committee reported a balance of \$1,053.21.



John Levin – Hearing Officer
August 6, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Roger Mulch for Sheriff

ID# 16189

Dear Roger Mulch for Sheriff:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 25, 2012, 7 days late. As such, this committee has been assessed a fine of \$175.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS
12 JUN 11 PM 3:31

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12MQ 101

Roger Mulch For Sheriff)

16189 Respondent(s).)

APPEAL AFFIDAVIT

I, Roger D. Mulch, the Chairman of the
(Name) (Chairman/Treasurer)

Roger Mulch For Sheriff
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The location of my residence is located in rural Jefferson
County, IL. Internet services are non-existent at this
location. I was experiencing technical difficulties at
the time this report was due. These problems have now been
resolved. My record for the past 10+ years has been exemplary.

Signed and Sworn to by:

Roger D. Mulch
before me this 7th Day of

June, 2011

Charla Wallis

Notary Public

Roger D. Mulch
(Signature of Chairman/Treasurer)



Jefferson County Sheriff's Office

Roger D. Mulch, Sheriff

Working Together For Safe Communities

911 CASEY AVENUE
MT. VERNON, ILLINOIS 62864
618/244-8004
FAX 618/244-9209

07 June, 2012

To: Illinois State Board of Elections

Campaign Disclosure Division

1020 South Spring Street

Springfield, Illinois 62704

Director Steward,

Please find enclosed the completed Appeal Affidavit in regards to my pending case for non-compliance during the reporting period documented in your notice. Thank you for this notice and the opportunity to explain to your review board the reasoning behind my failure to report this information within the time frame allotted.

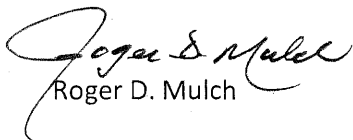
I sincerely apologize for this misfortune. Please allow this cover letter to also be included with the Affidavit as an explanation of events that resulted in failure of the compliance. I have been registered with your organization for 10 + years and, to my knowledge, have an exemplary record regarding the reporting process. I wish to keep this record intact and untarnished. I reside in rural Jefferson County and internet service is non-existent. I do not conduct personal business while physically in my office nor during my normal work hours. I have a laptop computer wherein I complete the required reporting mandates while at my residence. Sometimes I can complete this information and send it electronically from my residence. The signal strength is always low.

If the signal is not strong enough, it forces me to go mobile until I can retrieve a signal strong enough to send the information. I am Sheriff of Jefferson County and sometimes my work schedule is intense. During this reporting period, many demands for increased work and my presence were occurring at my office. I simply was not home to complete this task. The secretary of my Committee is my spouse, Brenda. Her work has also increased. With our hectic schedules, it simply slipped our minds. When it was realized that the reporting time had arrived, we were already almost a week late. Your notices are sent to my e-mails. During this time frame...again...I was so busy that checking daily emails became a burden and I had to concentrate on the tasks at hand regarding the safety and security of our area.

I do not mean to burden you with a string of excuses as to the reasoning for non-compliance concerning this very important issue. Rest assured that after my own evaluation as to the cause of this error, I have a plan of action to rectify the problem. If the signal strength is low at the time of the reporting period, I will simply forward to my desk computer at my office and submit the report from there. While I shy away from this procedure, this is the only alternative I have, thus this solution shall be implemented. E-mails are now forwarded to my cellular phone, thus if they come into my desk computer, I now have an avenue to review these immediate at any time or any place and specifically any notices from your entity.

In closing, I pray upon the Review Board to accept my apologies for this error and hope that they will consider the factors surrounding this unfortunate event. It would be my wish to keep my record unblemished with the Board of Elections and to work diligently to be in compliance. Thank you for taking the time to review this information.

Sincerely,



Roger D. Mulch

Sheriff – Jefferson County, IL

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MQ 106

Friends to Elect Sam LaBarbera ID# 16842
Respondent

REPORT OF HEARING OFFICER

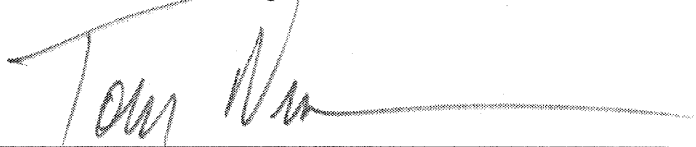
Appeal of Civil Penalty Assessment for Delinquent Filing the March 2012 Quarterly Report

The Report was received by the Board on April 26, 2012, 8 days late, resulting in a civil penalty assessment of \$200.

Sam LaBarbera, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. LaBarbera states that he took over as Chairman and Treasurer of his own Committee in 2007, after the previous officers left. He says there was no activity by the Committee for the past several years and the Board notices sent prior to filing periods ceased, causing him to inadvertently miss the Report deadline. He says he filed the Report immediately once he received notice that it had not been received by the Board. Mr. LaBarbera adds that his is a small Committee so the \$200 fine would cause a hardship and seems excessive to him.

I am not sure why the Committee would not have received notice of required reports prior to each filing period. Board records show the Committee was regularly sent such notices to their address on file, which is the candidate's address, and the fact that the Committee did receive a failure to file notice seems to indicate the correct address was being used. In any case, it is the Committee's responsibility to be aware of required reports and to meet the filing deadlines for those reports. I recommend the appeal be denied. As a first violation, the penalty is stayed. (As of 6/30/12, this Committee reported a funds available balance of \$1,200.74.)



Tom Newman – Hearing Officer
August 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

Friends to Elect Sam LaBarbera

ID# 16842

Dear Friends to Elect Sam LaBarbera:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 6, 2012, 8 days late. As such, this committee has been assessed a fine of \$200.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of COOK)

STATE BOARD OF ELECTIONS

12 JUL -3 AM 10:15

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12 MQ 1016

FRIENDS TO ELECT SAM LABARBERA)
Respondent(s).)

COMMITTEE I.D. 16842

APPEAL AFFIDAVIT

I, SAM LABARBERA, the CHAIRMAN - TREASURER of the
(Name) (Chairman/Treasurer)

FRIENDS TO ELECT SAM LABARBERA - ID# 16842
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

(PLEASE SEE ATTACHED STATEMENT OF APPEAL)

Signed and Sworn to by:

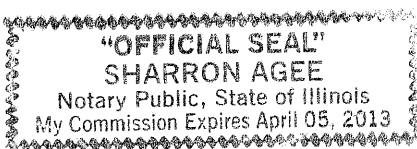
Salvatore LaBarbera

before me this 7 Day of

July, 2012

[Signature]
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATEMENT OF APPEAL

Dear members of the Board of Election:

The committee at its inception, had a chairman and a treasurer during my campaign for judicial office. For years since the campaign, the committee became dormant. The treasurer continued to file the reports since 2002. The chairman and treasurer were changed and removed from the committee in 2007. This left only myself, the candidate, to ensure that the filings were completed. There has been no activity with the committee since the campaign. The notices that would be sent prior to the filing periods, ceased. These were a helpful reminder. I was accustomed to receiving the notices and would file.

In April of 2013, I may wish to seek reelection for local office. The committee may become active again for this purpose. The committee is small in terms of funds and has been dormant.

The committee has always strived to comply with the Election Code and to file on time. Without the reminder notification, this period completely slipped by. There was no intention not to file or to delay the report. It was only inadvertence. I did immediately file upon notice that the report had not been received. Although I realize it is my burden and responsibility of the committee to comply with the Act, the amount of \$200.00 as a fine, would cause a hardship and seems somewhat excessive. The committee holds only \$1200.74. It has not generated any funds or contributions since the campaign of 2002.

I would respectfully request your reconsideration of any assessment of a fine or penalty. Thank you.

Friend to Elect Sam LaBarbera

A handwritten signature in cursive script, appearing to read "Sam LaBarbera", written in dark ink.

By : Sam LaBarbera, Chairman-Treasurer

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MQ 115

Citizens for Patricia Gira ID# 17447
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2012 Quarterly Report

The Report was received by the Board on April 18, 2012, 2 days late, resulting in a civil penalty assessment of \$50. In addition, the Committee had previously been assessed a \$100 civil penalty (not appealed, stayed) for delinquently filing the June 2011 Quarterly Report. The total assessment is \$150.

Patricia Gira, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Gira states the late filing was a result of ignorance on her part. She mistakenly believed she had 30 days to file the Report rather than 15, and notes that she only took over the reporting responsibilities herself in late 2011, after her previous Treasurer had some problems with a previous filing. Ms. Gira adds that there was no activity during the reporting period, so she believes the spirit of the filing rule was not violated.

It should first be noted that although the Committee was initially informed the assessment was \$50, as a second violation the amount should actually be \$100. I recommend the appeal be denied for lack of an adequate defense. The reporting deadlines are clearly listed and given to committees prior to the filing period for each Quarterly Report, and regardless of activity all committees are required to file on time. If this recommendation is accepted by the Board, the stay would be lifted from the previous assessment, and the total penalty of \$250 will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$958,01.)



Tom Newman – Hearing Officer
August 29, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Patricia Gira

ID# 17447

Dear Citizens for Patricia Gira:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 18, 2012, 2 day(s) late. As such, this committee has been assessed a fine of \$100.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2011	Quarterly	\$100
TOTAL AMOUNT NOW DUE		\$200

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

Patricia A. Gira

11729 Greenfield Drive, Orland Park, Illinois 60467

Office 708-403-6163 / cell 708-473-7548

12 JUL -3 PM 12:23

June 29, 2012

State Board of Elections
Attn: Campaign Disclosure
1020 S. Spring Street
Springfield, Illinois 62704

12mQ115

Gentlemen/Madam,

I am writing to appeal the violation cited on June 4, 2012. I ask for your consideration in recognition of the transition my campaign committee has gone through since my last election in April of 2011.


I have enjoyed continuity in my reporting by the treasurer of my committee since my first campaign of 2003, my friend and neighbor, Charles Binelli. During my last campaign and into my last election, Mr. Binelli had issues affecting his personal life, which I was unaware of, but impacted his work as my treasurer. The original issue of failure to file a schedule A was really caused by his misunderstanding of a reporting issue. He misreported a loan I personally made to my committee and then contributed to a slate which I was part of. He showed that loan coming from the slate to me which was an error on his part. I recognized his developing lack of interest in accurate reporting, and at that time I assumed the reporting responsibilities myself and filed the amended reports necessary with the Board of Elections. As of the amended filing date, Dec 8, 2011, I felt confident that my campaign reporting was back on track.

The violation cited for filing my report late was ignorance on my part. I believed I had 30 days to report, clearly a mistake.. There was no activity on my account so it would have been so simple to file two days earlier. It was my first time filing totally on my own, I felt confident that I was aware of what was necessary, it should have been simple. I do believe that since there was no activity, the spirit of the rule was not violated.

My office is local and my campaign account is small. The total amount of the penalty assessed would be 15% of the present balance of my account. I ask you to consider that as well.

Yours truly,

Patricia A Gira


Signature of Chairman

Signed and Sworn to by:

Patricia A GiraBefore me this 29th Day ofJune 2012Karen P. Carenen

Notary Public



STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

12 MQ 121

Geneva Democratic Club
Respondent

ID# 18020

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the March 2012 Quarterly Report

The Quarterly Report was received by the Board on May 3, 2012, 13 days late, resulting in a civil penalty assessment of \$650. Additionally, the Committee had previously been assessed a civil penalty of \$75 (not appealed, stayed) for delinquently filing the December 2011 Quarterly Report. The total assessment is \$725.

Kenneth S Vondrak, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Vondrak states medical problems caused the late filing of the Quarterly report. He adds that the Committee has not had any activity for a year and a half.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$75 assessment and the \$725 civil penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$2,082.24.)



Kim Patrick – Hearing Officer
August 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Geneva Democratic Club

ID# 18020

Dear Geneva Democratic Club:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 3, 2012, 13 day(s) late. As such, this committee has been assessed a fine of \$650.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1 through December 31 2011	Quarterly	\$75
TOTAL AMOUNT NOW DUE		\$725

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
 County of: KANE)

STATE BOARD OF ELECTIONS
 12 JUL -1 AM 11:04

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
 ILLINOIS STATE BOARD OF ELECTIONS,)
)
 Complainant)
)
 Vs.)
)
GENEVA DEMOCRATIC CLUB)
 Respondent(s).)

Case No. 12MQ121

APPEAL AFFIDAVIT

I, KENNETH S. VONDRAK, the CHAIRMAN of the
 (Name) (Chairman/Treasurer)
GENEVA DEMOCRATIC CLUB (ID #18020)
 (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

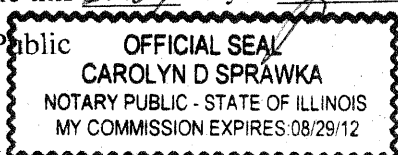
MY WIFE & I HAVE HAD A NUMBER OF
MEDICAL PROBLEMS (SEE INCLOSED LETTER)

[Signature]
 Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 28th Day of June, 2012

Notary Public
 (seal)



KENNETH VONDRAK

1214 South Street
Geneva Illinois 60134 2535
630 232 8660
June 28, 2012

Dear Jenny Ronimous:

I am replying to your notifications regarding ID # 18020 and # 13328, late report filing.

My wife who is 85 and I (82), have been having medical problems for the last several years and it is probably my fault that they were late, since the mail comes to me, I probably did not get it to Carolyn. Carolyn Sprawka has turned in the reports, on time for the past 6 or 7 year, and I am sure that she had a very good reason for being late; she has been an exemplary treasurer.

We have not added or taken out any money for at least a year and a half and I completely forgot about politics lately.

Sincerely;



Kenneth S. Vondrak
Chairman, Kane County Democrats
Kane County Democratic Club

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 131

Citizens for Donald E Puchalski

ID# 19240

Respondent

REPORT OF HEARING OFFICER

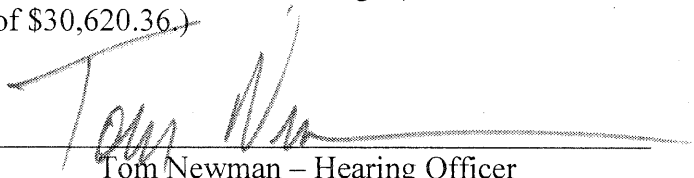
Appeal of Civil Penalty Assessment for Delinquent Filing of the March 2012 Quarterly Report

The Report was received by the Board on April 18, 2012, 2 days late, resulting in a civil penalty assessment of \$100. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquent filing of a Schedule A-1 in the third quarter of 2011. The total assessment is \$150.

Barry Ketter, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Ketter states that the Report was filed late due to a docketing error by the Committee. He points out that the Committee took in no income during the reporting period and did not have an election during this time, so the inadvertent late filing caused no prejudice and was a harmless error.

Regardless of the amount of activity, political committees are required to file Quarterly Reports on a regular schedule and face firm deadlines for such filings. Since this Committee offers no adequate defense for its late filing, I recommend the appeal be denied. If this recommendation is accepted by the Board, the stay would be lifted from the earlier penalty, and the total assessment of \$150 would be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$30,620.36.)



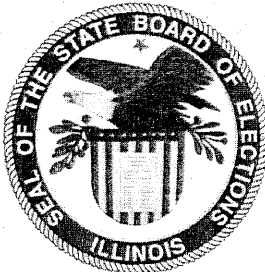
Tom Newman – Hearing Officer

July 31, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Donald E Puchalski

ID# 19240

Dear Citizens for Donald E Puchalski:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 18, 2012, 2 day(s) late. As such, this committee has been assessed a fine of \$100.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
)
County of: DUPAGE)

CHICAGO

2012 JUL -5 PM 1:37

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
CITIZENS FOR DONALD E. PUCHALSKI)
Respondent(s).)

Case No. 12MQ131

APPEAL AFFIDAVIT

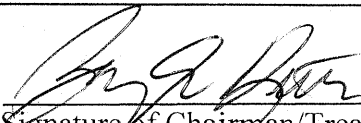
I, Barry Ketter, the Chairman of the
(Name) (Chairman/Treasurer)

Citizens for Donald E. Puchalski

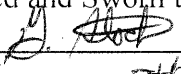
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached sheets


Signature of Chairman/Treasurer

Signed and Sworn to by:


before me this 5th Day of July, 2012

Notary Public
(seal)



Appeal Affidavit Attachment

1. That the D-2 was filed two (2) days late due to a docketing error.
2. That the committee reported no income for said period.
3. That the committee wrote twenty-one (21) checks for said reporting period.
4. That the committee now docketed the D-2 for the first day of filing.
5. That the candidate did not have an election for that period of time.
6. That the committee requests the fine be stayed.
7. That the two (2) day delay in filing caused no prejudice.
8. That the late filing by two (2) days was inadvertent.
9. That the late filing by two (2) days was harmless error.

Wherefore, Respondent, Citizens for Donald E. Puchalski, respectfully prays as follows:

- A. That the Respondent's appeal be sustained.
- B. That the fine be vacated.
- C. That in the alternative the fine be stayed.
- D. For such and different relief as is deemed equitable and proper.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 148

Friends of Trevor Clatfelter

ID# 20425

Respondent

REPORT OF HEARING OFFICER

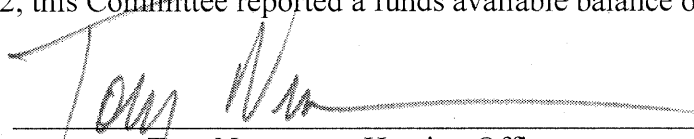
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2012 Quarterly Report

The Report was received by the Board on April 25, 2012, 7 days late, resulting in a civil penalty assessment of \$350. Additionally, the Committee had previously been assessed a \$25 civil penalty (not appealed, stayed) for delinquent filing of the September 2011 Quarterly Report. The total assessment is \$375.

Trevor J Clatfelter, the Chairman of the Committee, filed a Request for Hearing, and at the hearing on August 1, 2012 stated the following:

At the hearing, Mr. Clatfelter admitted that he failed to file the Report on time. He stated that a number of factors including a busy work schedule involving much travel around the time of the filing deadline led him to overlook the filing. Mr. Clatfelter noted that his Committee has held few fundraisers and has limited funds.

While I understand the difficulties of a busy schedule, I must recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the earlier penalty and the total assessment of \$375 will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$298.45.)



Tom Newman – Hearing Officer

August 1, 2012

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Trevor Clatfelter

ID# 20425

Dear Friends of Trevor Clatfelter:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 25, 2012, 7 day(s) late. As such, this committee has been assessed a fine of \$350.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1 through September 30 2011	Quarterly	\$25
TOTAL AMOUNT NOW DUE		\$375

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: Sangamon)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 12 JUL -2 PM 1:00
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS OF)
TREVOR J. CLATFELTER)
Respondent(s).)

Case No. 12 MQ 148

APPEAL AFFIDAVIT

I, TREVOR J. CLATFELTER, the CHAIRMAN & TREASURER of the
(Name) (Chairman/Treasurer)
FRIENDS OF TREVOR J. CLATFELTER
(Name of the Committee)

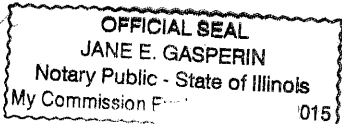
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Tu C. Gasperin
Signature of Chairman/Treasurer
Tu C. Gasperin

Signed and Sworn to by:

before me this 2nd Day of July, 2012

Notary Public (seal) Jane E. Gasperin



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MQ 158

Friends of Brendan Appel
Cmte ID: 20737
Respondent

REPORT OF HEARING OFFICER

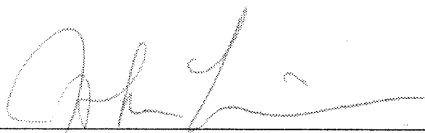
Appeal of Civil Penalty Assessment
For Delinquently Filing the March 2012 Report

The Report was received on April 30, 2012, 10 days late, resulting in a fine of \$500. The Committee was previously assessed \$25 for delinquently filing the September 2011 Quarterly Report.

Brendan Appel, the Candidate, as well as Treasurer and Chairman of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter.

Mr. Appel stated the Committee lost its Treasurer, who had to resign because of illness and cited the change in state law from a Semi-Annual to a Quarterly reporting system in 2011 as contributing to the oversight that led to the late filing.

I recommend the appeal be denied for lack of an adequate defense. The Committee's filings indicate Mr. Appel appointed himself Treasurer in July, 2010. The Committee had filed all but one of its prior Quarterly Reports in a timely manner. If the Board accepts this recommendation, the stay on the \$25 fine for the delinquent September 2011 Quarterly Report would be lifted, and a total of \$525 would be due and owing. As of June 30, 2012, the Committee reported a cash balance of -\$216.56. Mr. Appel has been contacted by Board staff about the need to amend his Report(s), so that the Committee reports all transactions that have occurred and a negative balance is not reported.



John Levin – Hearing Officer
August 21, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Brendan Appel

ID# 20737

Dear Friends of Brendan Appel:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 30, 2012, 10 day(s) late. As such, this committee has been assessed a fine of \$500.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through September 30 2011	Quarterly	\$25
TOTAL AMOUNT NOW DUE		\$525

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

12 JUL -5 AM 11:37

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Friends of Brendan Appel)

20737 Respondent(s).)

Case No. 12 MQ 158

APPEAL AFFIDAVIT

I, Brendan Appel, the Chairman of the
(Name) (Chairman/Treasurer)

Friends of Brendan Appel

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The committee lost its treasurer who had to withdraw due to her suffering with M.S. The state then changed the reporting system from semi-annually, which I was familiar with, to quarterly, which I had been unfamiliar with. As you can see, the committee is not active and has essentially no assets; the late filing was unintentional and harmless as there was no activity to disclose anyway. Imposing a \$525 fine on a committee with no assets would be unduly harsh, especially since the committee has attempted to maintain compliance in good faith.

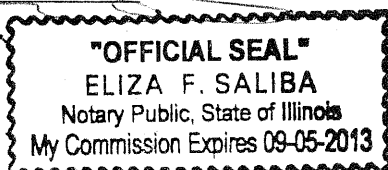
Signed and Sworn to by:

BRENDAN APPEL

before me this 3RD Day of
JULY, 2012

Notary Public

(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 023

Citizens for Roads

ID# 21020

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$1,000 contribution on 3/15/12, a \$1,500 contribution on 3/16/12, and a \$1,500 contribution on 3/26/12 and failed to report these on a Schedule A-1, resulting in a civil penalty assessment of \$2,000.

Deborah L Alms, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Alms states that she was unable to successfully install the IDIS electronic filing software on her computer, so she needed to use another Committee member's computer. She says when they were finally able to get together to complete the report, the due date had passed.

While difficulty with the filing software might normally qualify the Committee for an electronic filing defense, I do not think such a defense applies in this case. Two of the three contributions requiring A-1 reporting were received nearly a full calendar month before they were finally listed on the Committee's quarterly report. The third contribution was similarly reported nearly three weeks late. Despite whatever computer problems the Committee may have experienced, the late reporting seems to reflect a lack of due diligence on the Committee's part to complete the required disclosures. I therefore recommend the appeal be denied. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$200. If these recommendations are accepted by the Board, the \$200 civil penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$245.40.)



Tom Newman – Hearing Officer

July 27, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Roads

ID# 21020

Dear Citizens for Roads:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Electrical Industry LMCC	3/26/2012	\$1500	*	10	\$750
McMahon Associates Inc Machesney Park	3/16/2012	\$1500	*	16	\$750
N Central IL Laborers Dist Council	3/15/2012	\$1000	*	17	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2000 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$200, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
)
County of: Winnebago)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS
12 JUL -3 PM 12:23

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Citizens for Roads)
Respondent(s).)

Case No. 12 AM 023

APPEAL AFFIDAVIT

I, Deborah L. Alms, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Roads
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

My personal computer would not download the reporting program, therefore I needed
to use another committee member's personal computer. When we were finally able
to get together to complete the report, the due date had past.

Deborah L. Alms
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 25th Day of June, 2012

Notary Public

(seal)

OFFICIAL SEAL

LAURA C LAROSA

Commission No. 755943

NOTARY PUBLIC, STATE OF ILLINOIS

My Commission Expires September 14, 2015

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 173

Citizens for Mayor Gaulrapp

ID# 21340

Respondent

REPORT OF HEARING OFFICER

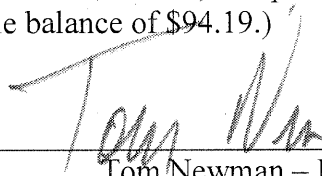
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2012 Quarterly Report

The Report was received by the Board on April 17, 2012, 1 day late, resulting in a civil penalty assessment of \$25.

Bill Murley, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Murley states that he attempted to file the Report on time, but encountered problems filing with the IDIS electronic filing software. Mr. Murley says the Committee had no activity during the reporting period, so all he needed to do was upload the Report, but he was unable to do so on the deadline date because of a connection issue. He states that the problem required several hours of assistance from the Board's IT staff before he was finally able to upload the report, one day late.

Internal Board records do show that Mr. Murley had an electronic filing problem that required assistance to resolve. However, Section 100.150 of the Board's Rules and Regulations allows for an electronic filing defense only in cases where a report is required to be filed electronically. Since the Committee has never reached the threshold requiring it to file electronically, the Report could have been filed on paper and I must therefore recommend the appeal be denied. As a first violation, the penalty is stayed. (As of 6/30/12, this Committee reported a funds available balance of \$94.19.)



Tom Newman – Hearing Officer

July 24, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
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Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

Citizens for Mayor Gaulrapp

ID# 21340

Dear Citizens for Mayor Gaulrapp:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 17, 2012, 1 day late. As such, this committee has been assessed a fine of \$25.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: STEPHENSON)

STATE BOARD OF ELECTIONS

12 JUL -9 AM 8:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS FOR MAYOR GAULRAPP)
Respondent(s).)

Case No. 12MQ 173

APPEAL AFFIDAVIT

I, Bill Murlen, the Treasurer of the
(Name) (Chairman/Treasurer)
CITIZENS FOR MAYOR GAULRAPP
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See Attached document - Explanation of Appeal and defense.

Bill Murlen
Signature of Chairman/Treasurer

Signed and Sworn to by:

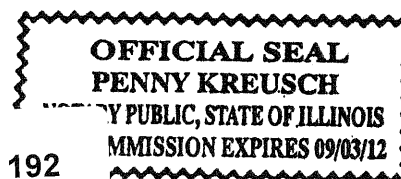
Bill Murlen

Penny Kreusch

before me this 2 Day of July, 2012

Notary Public
(seal)

Penny Kreusch



Illinois State Board of Elections

Appeal Affidavit

Citizens for Mayor Gaulrapp

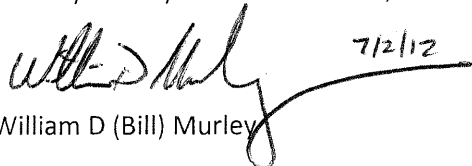
07/01/12

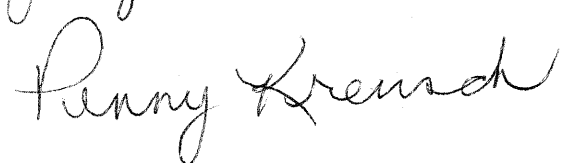
This affidavit relates to a late filing civil penalty of \$25 assessed to the Citizens for Mayor Gaulrapp campaign committee, as a first offense for late filing of the 1st Quarter 2012 Quarterly Report. That report was filed one day late due to significant problems encountered filing electronically through the IDIS system, as described below.

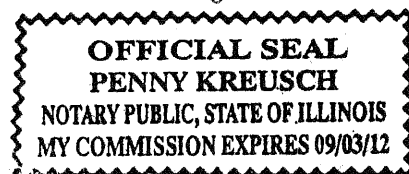
I made multiple attempts prior to the filing deadline to sign/approve a very simple Quarterly Report. As there was no activity to report for the committee during the quarter, all that was required was for me to go into the system, pull the report up, sign it electronically, and file it. I tried this from my office without success. In the past, I've had difficulty with the IDIS system operating properly through the security firewalls at my office. I have had more success submitting reports when working on an outside network. Due to this, I was attempting to file the report from my home after normal business hours. After several hours of trying to just get the system to accept my approval all the way up to the final minutes of the deadline date, the deadline passed and the report was late. On the next business day, I contacted the State Board of Elections Disclosures Staff, who put me in touch with the I/T staff. Even with the report only needing just an approval, it still took several hours to find a resolution so I could file properly. I would think that the problem logs of the State I/T Staff should indicate their efforts and the problems related to making this transaction process properly.

Based on the information above, my attempts to file on a timely basis, the extensive work required by the State I/T Staff to resolve the issue at hand, and to there being no activity to report (I only needed to approve the report – nothing else), I respectfully request that the Board reconsider the \$25.00 civil penalty and considers elimination of the recording of this issue as a first-offense late filing.

Thank you for your consideration,

 7/2/12
William D (Bill) Murley

July 2, 2012




**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 184

Friends of Weeks

ID# 21652

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2012 Quarterly Report

The Report was received by the Board on April 27, 2012, 9 days late, resulting in a civil penalty assessment of \$675. Additionally, the Committee had previously been assessed a \$550 civil penalty (not appealed, paid) for delinquent filing of the September 2011 Quarterly Report, a \$575 civil penalty (not appealed, paid) for delinquent filing of the June 2011 Quarterly Report, and a \$25 civil penalty (not appealed, paid) for delinquent filing of the December 2009 Semi-Annual Report. The total assessment is \$675.

Dave Weeks, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Weeks says he serves in a volunteer position as a school board member and does his best to keep up with the disclosure reporting requirements. He says this, combined with the fact that there has been no activity for the Committee for several quarters makes the penalty onerous. He asks for reconsideration.

I recommend the appeal be denied for lack of an adequate defense. After several previous late reports, Mr. Weeks should by now be well aware of the filing deadlines, and a Report with no activity should take very little time to file. If the Committee expects to have no activity for the foreseeable future, it may wish to consider filing a Final Report to end its filing obligations. If this recommendation is accepted by the Board, the \$675 penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$0.49.)



Tom Newman – Hearing Officer
August 2, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Weeks

ID# 21652

Dear Friends of Weeks:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 27, 2012, 9 day(s) late. As such, this committee has been assessed a fine of \$675.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)

County of: DuPage)

STATE BOARD OF ELECTIONS

12 JUL -5 PM 2:49

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12 MQ 184)

Respondent(s).)

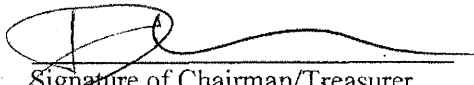
APPEAL AFFIDAVIT

I, Dave Weeks, the CHAIRMAN of the
(Name) (Chairman/Treasurer)FRIENDS OF WEEKS

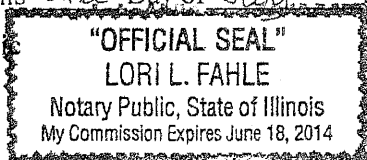
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I SERVE AS A SCHOOL BOARD MEMBER. THIS IS A VOLUNTEER
POSITION. I DO MY BEST TO KEEP UP WITH STATE REPORTING
BUT HAVE BEEN LATE. THERE HAS BEEN NO ACTIVITY FOR
SEVERAL QUARTERS. GIVEN BOTH THESE FACTS - VOLUNTEER (and pay)
+ NO ACTIVITY IT SEEMS ONEROUS TO HAVE THIS KIND OF FINE.
PLEASE RECONSIDER.


 Signature of Chairman/Treasurer

Signed and Sworn to by:

Lori L. Fahle Lori L. Fahlebefore me this 2nd Day of July, 2012Notary Public
(seal)

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MQ 201

Citizens for Sarah Gallagher Chami ID# 22320
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2012 Quarterly Report

The Report was received by the Board on April 19, 2012, 3 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee had previously been assessed a \$250 civil penalty (not appealed, stayed) for delinquent filing of the September 2011 Quarterly Report. The total assessment is \$400.

Thomas J. Jordan, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Jordan states that he is a CPA, and the due date of the Report was one day in advance of the filing deadline for tax returns. He says that, combined with the change in the Report deadline from the 20th the previous year led to the late filing. Mr. Jordan adds that the Committee is dormant and the penalty would cost a large part of the organization's remaining cash.

While the April filing deadline can admittedly be inconvenient, it is the deadline established by law. Despite Mr. Brewer's claim that the date changed this year, the filing deadline has been in place since the start of 2011. This combined with the fact that a zero-activity Report should not have taken more than a few minutes to file, leads me to recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the earlier penalty and the total assessment of \$400 will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$1,213.32.)



Tom Newman – Hearing Officer
July 25, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Sarah Gallagher Chami

ID# 22320

Dear Citizens for Sarah Gallagher Chami:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 19, 2012, 3 day(s) late. As such, this committee has been assessed a fine of \$150.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through September 30 2011	Quarterly	\$250
TOTAL AMOUNT NOW DUE		\$400

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
County of: DeKalb)

STATE BOARD OF ELECTIONS

12 JUL -9 PM 3:12

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Sarah Gallagher Chami)
Respondent(s).)

Case No. 12 mQ201

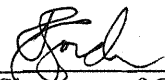
22326

APPEAL AFFIDAVIT

I, Thomas J. Jordan, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Sarah Gallagher Chami
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

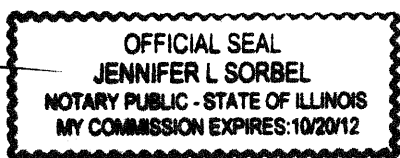
I am a CPA. The due date of the 3/31/12 report was April 16th and
was one day in advance of our filing deadline for tax returns. This fact in
combination with the change last year from the old quarterly deadline of the
20th created the late filing. This organization is dormant. This penalty will
cost a large part of the remaining cash of the organization. We respectfully
request a waiver of this penalty.


Signature of Chairman/Treasurer

Signed and Sworn to by:

Thomas J. Jordan
before me this 5th Day of July, 2011

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 202

Friends for Beverly Ann Mull

ID# 22326

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the March 2012 Quarterly Report

The Quarterly Report was received by the Board on May 1, 2012, 11 days late, resulting in a civil penalty assessment of \$550. Additionally, the Committee had previously been assessed a civil penalty of \$50 (not appealed, stayed) for delinquently filing the December 2011 Quarterly Report. The total assessment is \$600.

Beverly Ann Mull, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Mull states personal family matters and the Committee being unorganized caused the late filing of the Quarterly report. She adds that all future reports will be timely filed.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$50 assessment and the \$600 civil penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$347.90.)



Kim Patrick – Hearing Officer
August 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends for Beverly Ann Mull

ID# 22326

Dear Friends for Beverly Ann Mull:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 1, 2012, 11 day(s) late. As such, this committee has been assessed a fine of \$550.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1 through December 31 2011	Quarterly	\$50
TOTAL AMOUNT NOW DUE		\$600

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
County of Lake)

STATE BOARD OF ELECTIONS

12 JUL -1 AM 11:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Beverly Ann Mull
Respondent(s).

Case No. 12MQ202

22326

APPEAL AFFIDAVIT

I, Beverly Ann Mull, the Chairman of the
(Name) (Chairman/Treasurer)

Friends for Beverly Ann Mull
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

First: I admit to violating Section 9-10 of the Election Code. I am sorry. Personal family matters altered my priorities for filing the Quarterly Report. A committee was organized and people were in place to help me run a successful campaign. Unfortunately behaviors were displayed that I could not control, one such as not filing my reports on time.

Signed and Sworn to by:

Beverly Mull

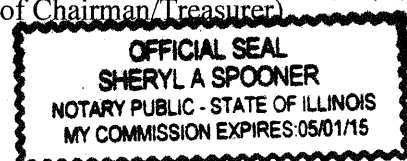
before me this 29th Day of

June, 2012

[Signature]
Notary Public

[Signature]

(Signature of Chairman/Treasurer)



Second: As Chairman I chose not to hold duplicate roles, however, I was forced to do so which led to late filings. The committee has been re-organized. Late filings will be no more.

Third: I beseech the Illinois State Board of Elections to waive Section 125.425 Civil Penalty Assessment fine of \$550.00. Moving forward the filing reports for Friends for Beverly Ann Hall shall be on time. Beginning with the 2nd Quarterly Report filing, July 2 thru July 16, 2012.

Thank You.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 030

Citizens for Carole Cheney

ID# 22683

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$1,000 contribution on 1/19/12, a \$1,000 contribution on 1/23/12 and a \$1,000 contribution on 2/2/12, and reported all three on a Schedule A-1 received by the Board on February 10, 2012, 11, 9 and 1 days late respectively, resulting in a civil penalty assessment of \$1,500.

Carole Cheney, the Candidate of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Cheney states that in mid January of this year, the Committee hired a professional fundraising consultant who was also supposed to handle the Committee's report filings. She says it was discovered that the A-1s in question had not been timely filed as a result of what she calls an inadvertent oversight. She adds that the Committee did not intentionally delay filing its disclosures.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$150. If these recommendations are accepted by the Board, the \$150 civil penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$61,749.71.)



Tom Newman – Hearing Officer
August 29, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Carole Cheney

ID# 22683

Dear Citizens for Carole Cheney:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Heidi Dalenberg	2/2/2012	\$1000	2/10/2012	1	\$500
Barack Echols	1/19/2012	\$1000	2/10/2012	11	\$500
Victoria Reed	1/23/2012	\$1000	2/10/2012	9	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$150, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of Kendall)

STATE BOARD OF ELECTIONS
12 JUL -1 AM 11:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Carole Cheney)
Respondent(s).)

Case No. 12 AM030

22683

APPEAL AFFIDAVIT

I, Carole Cheney, the Candidate of the
(Name) (Chairman/Treasurer)
Citizens for Carole Cheney
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Before January 2012, the Committee paid an individual in Springfield to handle and file the Committee's campaign disclosures. In mid-January 2012, the Committee hired a professional fund raising consultant. The Committee understood that the fund raising consultant would from that time forward handle the filing of all disclosures. It was discovered, however, that the A-1 disclosures that are the subject of this matter had not been timely filed. As soon as this inadvertent oversight was discovered, the Committee worked with the consultant to clarify and resolve the matter and the A-1s were filed. The Committee endeavored at all times to comply with its disclosure obligations, and did not at any point intentionally delay filing its disclosures. Please note that the Candidate has made attempts to reach the Treasurer, but has been unsuccessful in this attempts. Further, the Chairman did not have knowledge of the events discussed above. For this reason, the Candidate has completed this Affidavit. Thank you, in advance, for your consideration.

Signed and Sworn to by:
Carole Cheney
before me this 21st Day of
June 2012
Heather Steeves
Notary Public

Carole Cheney
(Signature of Chairman/Treasurer)
Candidate

OFFICIAL SEAL
HEATHER STEEVES
Notary Public - State of Illinois
My Commission Expires Nov 29, 2015

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MA 054

Citizens for Foster
Respondent

ID# 22935

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the March 2012 Quarterly Report and Failure to File a Schedule A-1 report.

The Quarterly Report was received by the Board on May 1, 2012, 11 days late, resulting in a civil penalty assessment of \$275. Additionally, the Committee received a \$1,000 contribution on 2/23/12 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500. The total assessment is \$775.

Lynn Foster, the Chairman of the Committee, filed a Request for a Hearing. After failing to come to the Hearing, I contacted the Committee and Ms. Foster indicated she intended to file a Waiver of Appearance rather than a Request for a Hearing. She submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Foster states the Committee forgot to file both reports. She adds that this was due to personal health issues and family events. She requests since the Committee has a very small balance that the Board will reduce the amount of the fine.

While I am sympathetic to Ms. Foster's health issues, I recommend the appeal be denied for lack of an adequate defense. However, in regards to the A-1 violation, since there is no indication the violation was anything other than inadvertent and unintentional and since this is the first A-1 violation for this Committee, I also recommend the penalty be reduced to 10% of the original assessment or \$50. If this recommendation is accepted by the Board, the \$325 civil penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$7.52.)



Kim Patrick – Hearing Officer

August 22, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Foster

ID# 22935

Dear Citizens for Foster:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on May 1, 2012, 11 day(s) late. As such, this committee has been assessed a fine of \$275.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Frerichs	2/13/2012	\$1000	*	49	\$500

The committee is fined a **total** of \$500 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the ?? delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10, 50, 100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$325.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed April 4. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

State of Illinois)
County of : VERMILION)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

12 JUN 18 PM 3:26

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS FOR FOSTER)
Respondent(s).)

Case No. 12MA054

APPEAL AFFIDAVIT

I, LYNN FOSTER, the CHAIRMAN + TREASURER of the
(Name) (Chairman/Treasurer)
CITIZENS FOR FOSTER
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I DO NOT DISPUTE MY FAILURE TO FILE AN A-1 OR MY LATE QUARTERLY FILING
I HAVE HAD A COMMITTEE OFF AND ON FOR 21 YEARS AND HAVE NEVER HAD AN
ISSUE OR COMPLAINT. I FILED LATE DUE TO PERSONAL HEALTH ISSUES
AND FAMILY EVENTS, BOTH OF WHICH ECLIPSED THE REQUIRED DATES.
AS YOU CAN SEE, THERE IS ONLY A VERY SMALL BALANCE IN MY
CAMPAIGN TREASURY. I SINCERELY REGRET MY ERRORS AND HOPE
THAT YOU WILL BE ABLE TO REDUCE MY FINE AMOUNT.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

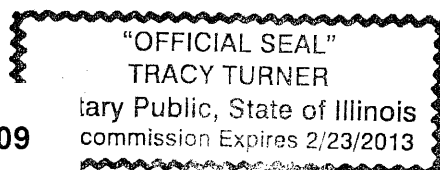
LYNN FOSTER

before me this 15th Day of JUNE, 2012.

Notary Public
(seal)

[Signature]

209



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 DQ 219

Friends of Wendell Mosby

ID# 22944

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the December 2011 Quarterly Report

The Report was received by the Board on March 5, 2012, 32 days late, resulting in a civil penalty assessment of \$1,600. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, unpaid) for delinquently filing the June 2011 Quarterly Report and a \$75 civil penalty (not appealed, unpaid) for delinquently filing the December 2010 Semi Annual Report. The total assessment is \$1,725.

Kelli Bell Cousin, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Cousin states she was delinquent filing the Quarterly report due to medical complications which resulted in emergency surgery.

While I am sympathetic to Ms. Cousin's medical issues, I must recommend the appeal be denied for lack of an adequate defense. If the recommendation is accepted by the Board a civil penalty of \$1,725 will be due and owing.

(As of 6/30/12, this Committee reported a funds available balance of \$4.13.)



Kim Patrick – Hearing Officer

August 23, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Wendell Mosby

ID# 22944

Dear Friends of Wendell Mosby:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2011 through December 31, 2012
Filing Period:	January 2, 2012 through January 17, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on March 5, 2012, 32 day(s) late. As such, this committee has been assessed a fine of \$1600.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31, 2010	Semi-Annual	\$75
April 1 through June 30, 2011	Quarterly	\$50
TOTAL AMOUNT NOW DUE		\$1725

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
County of Cook)

STATE BOARD OF ELEC
12 JUL -5 AM 11:11

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs. Friends of Wendell)

Case No. 12 DQ219

~~Kelli Bell Cousin~~ Mosby)

22944 Respondent(s).)

APPEAL AFFIDAVIT

I, Kelli Bell Cousin, the Treasurer of the
(Name) (Chairman/Treasurer)

Friends of Wendell Mosby

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

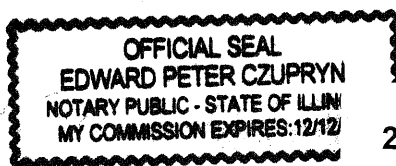
The delinquency filing of the Quarterly Report of Campaign Contribution and Expenditures for the report period of October 1, 2011 through December 31, 2012 was due to the treasurer, Mrs. Kelli Bell Cousin, post medical complications as a result of an emergency C-Section on December 6, 2011. Approximately two weeks after giving birth Mrs. Cousin experienced a condition called Dehiscence. This is when the C-Section incision pulls apart and leaves a gap. In Mrs. Cousin's case the incision re-opened from top to bottom. As a result Mrs. Cousin had to undergo another surgery, thus prolonging the recovery time from the initial emergency C-Section. These sequential emergency situations had a direct inference with the timely filing of the Quarterly Report. The Friends of Wendell Mosby Committee is requesting that the Illinois State Board of Election waive the civil penalty set forth due the medical emergencies explained above. It is also the understanding of the committee, that the Semi Annual Report for July 1, 2010 through December 31, 2011 and the Quarterly Report for April 1, 2010 to June 30, 2011 were already successfully appealed. Thus, making this incident the first time that the committee was late with filing the campaign report.

Signed and Sworn to by:

before me this 3RD Day of
JULY, 2011

Edward Peter Czupryn
Notary Public

Kelli Bell Cousin
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

11 SQ 183

New Progress Party (ID 23190)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the 3rd Quarterly Report of 2011

The report was received on November 13, 2011, 13 days late, resulting in a \$650 civil penalty. In addition, the Committee was assessed a \$400 civil penalty (not appealed, stayed) for delinquently filing the 2nd Quarterly Report of 2011. The total assessment is \$1,050.

Francine Anderson, Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on February 14, 2012.

Anderson indicated situations beyond her control, contributed to the late filing. Anderson testified in September of 2011, she lost her job and during the filing period, two of her cousins passed away. Anderson tended to family responsibilities in Alabama during the month of October. She presented a copy of the funeral programs to corroborate her statements. Anderson stated the committee was inactive after the slate lost the 2011 Consolidated Election. The committee closed and filed a Final Report on 1/4/12.

I am sympathetic to Ms. Anderson's circumstances and understand how loss of employment and loved ones impacts life's responsibilities. Anderson was the Chairman of the Committee and not ultimately responsible for the Committee's filings. It is unfortunate the Treasurer or other members of the organization were unable to accept the filing responsibilities during this time. I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, \$1,050 will be due. Since this Committee has filed a Final Report, I recommend the assessment will be abated two years following the effective date of the Final Board Order if this Committee remains dissolved until that time without forming a successor committee pursuant to Rules and Regulations 100.110(b) (The Final Report filed on 1/4/12 reported a funds available balance of \$ \$0.00)



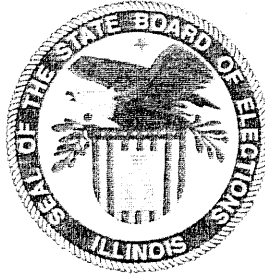
Tara Molnar – Hearing Officer

March 22, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 5, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

New Progress Party ID# 23190
Darrick Thompson
2151 217th Place
1606 216th St
Sauk Village, IL 60411

Dear New Progress Party:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2011 through September 30, 2011
Filing Period:	October 3, 2011 through October 17, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on November 3, 2011, 13 days late. As such, this committee has been assessed a fine of \$650.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 4, you forfeit the right to contest this assessment.**

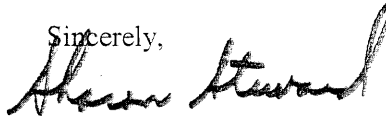
If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order (January 4). You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
April 1 through June 30, 2011	Quarterly	\$400
<i>TOTAL AMOUNT NOW DUE</i>		<i>\$1050</i>

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

CHICAGO

State of Illinois)
County of Cook)

12 JAN -4 PM 1:57
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
New Progress Party)
Respondent(s).)

Case No. 11SQ 183

23190

APPEAL AFFIDAVIT

I, Francine Anderson, the Chairman of the
(Name) (Chairman/Treasurer)
New Progress Party ID # 23190
(Name of the Committee)

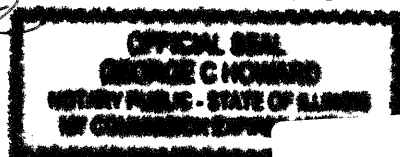
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

① The Committee collected no additional funds from the previous quarterly report. ② The Committee only have ~~seven~~ dollars + 44 cents at the end of previous quarterly ③ because of personal issues + life events this chairman forgot to ensure the completion of the report such as 2 deaths within 30 days of close family members, unexpected job loss, emergency hospitalization of sibling.

Signed and Sworn to by:

Francine Anderson
before me this 4th Day of
January, 2012
George C. Howard
Notary Public

Francine Anderson
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 AJ 132

Donna Leoni Peluso and Jay Reyes for Triton College
Respondent

ID# 23477

REPORT OF HEARING OFFICER

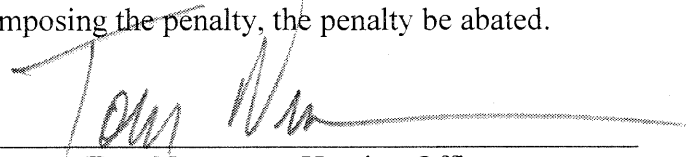
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$2,000 contribution on 5/26/11, a \$2,500 contribution on 6/1/11, and contributions of \$2,500, \$1,500, \$2,500 and \$1,718.79 on 6/30/11, and reported all these on a Schedule A-1 received by the Board on 7/12/11, between 26 and 2 days late, resulting in a civil penalty assessment of \$6,359.40. Additionally, the Committee had previously been assessed a \$125 civil penalty (not appealed, stayed) for delinquent filing of the September 2011 Quarterly Report. The total assessment is \$6,484.40.

Adele Smarto, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Smarto states that the Committee Treasurer was diagnosed with cancer during the time she held the position. She recently finished chemotherapy and is also undergoing radiation treatments. Ms. Smarto says that, combined with the Treasurer's mother also recently being diagnosed with cancer, led to confusion in regards to the filings.

While I am sympathetic to the health and personal problems experienced by the Treasurer, I must recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I also recommend the penalty be reduced to 10% of the assessed amount, or \$636. If these recommendations are accepted by the Board, the stay would be lifted from the earlier assessment and the total penalty of \$761 will be due and owing. However, since the Committee filed a Final Report on 10/24/11, I also recommend that should the Committee remain dissolved for a period of two years from the date of the Final Board order imposing the penalty, the penalty be abated.



Tom Newman – Hearing Officer
July 24, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 19, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Donna Leoni Peluso and Jay Reyes for Triton College
Adele Smarto
660 Winston Dr
Melrose Park, IL 60160

ID# 23477

Dear Donna Leoni Peluso and Jay Reyes for Triton College:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Bomark Cleaning Service	6/30/2011	\$2500	7/12/2011	2	\$1250
Kusper & Raucci Chartered	6/30/2011	\$1500	7/12/2011	2	\$750
Bradley A Stephens Committeeman Fund	6/30/2011	\$2500	7/12/2011	2	\$1250
Darlene Trevino & Ron Boscaccy for Veterans Park District	5/26/2011	\$2000	7/12/2011	26	\$1000
Friends of Mark Stephens	6/1/2011	\$2500	7/12/2011	23	\$1250
Cabildo Consulting	6/30/2011	\$1718.79	7/12/2011	2	\$859.40

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$4359.40 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$436, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 18, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July1 through September 30, 2011	Quarterly	\$125
TOTAL AMOUNT NOW DUE		\$561

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

12 JUL -5 AM 11:37

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
DONNA LEONI PELUSO AND JAY)
REYES FOR TRITON COLLEGE)
23477 Respondent(s).)

Case No. 11AJ132

APPEAL AFFIDAVIT

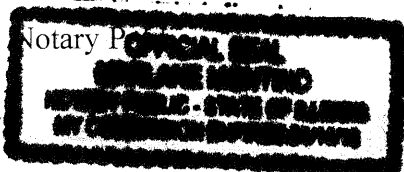
I, ADELE SMARTO, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
DONNA LEONI PELUSO AND JAY REYES FOR TRITON COLLEGE
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHED

Signed and Sworn to by:
Marlane Montino
before me this 29 Day of
June, 2012

Adele Smarto
(Signature of Chairman/Treasurer)



IN THE MATTER;

ILLINOIS STATE BOARD OF ELECTIONS

Complainant

Vs.

Donna Leoni Peluso and Jay Reyes
for Triton College
Respondent

Reasons and defenses are:

The committee assumed that an appeal was filed due to the Treasurer, Carlene Greifelt, making several phone calls regarding this matter to the State Board of Elections, Chicago office. Each time Ms. Greifelt called the Chicago office, she was told that she did not have to file a written appeal because the committee was closed and the civil penalty will be removed within a year.

Carlene Greifelt was diagnosed with breast cancer during the time she held the position of the committee Secretary. She recently finished chemo-therapy and is undergoing radiation treatment. Her mother has also recently been diagnosed with breast cancer. Unfortunately, her situation has caused confusion regarding the correction of this matter.

When candidate Donna Leoni Peluso spoke with Sharon Steward, Ms. Steward informed her that a formal written appeal was never filed. Ms. Peluso requested an opportunity to appeal the Final Order which was granted.

Thank you in advance for your consideration of this matter.

Donna Leoni Peluso
1018 N. 10th Avenue
Melrose Park, Illinois 60160
708-602-9989

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 SQ 264

Friends of Billy Earl ID# 23527
Respondent

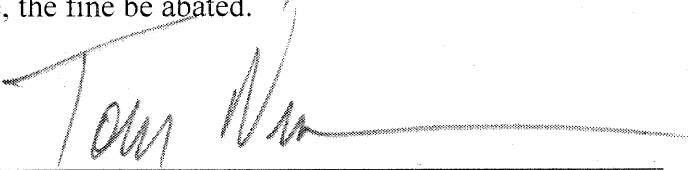
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
October 2011 Quarterly Report

The Report was received by the Board on April 18, 2012, 123 days late, resulting in a civil penalty assessment of \$5,000. Additionally, the Committee had previously been assessed a \$25 civil penalty (not appealed, stayed) for delinquently filing the June 2011 Quarterly Report. The total assessment is \$5,025.

William R Earl, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Earl states that he thought he had filed a Final Report in April of 2011. He adds that he was involved in a serious motorcycle accident in July of 2011, and did not realize that he had made a mistake in regards to his Committee's filings until January of 2012.

I recommend the appeal be denied for lack of an adequate defense. The Report filed by the Committee in April 2012 still showed an ending funds balance of \$220, so could not have been accepted as a Final Report. Then, even by his own admission, after realizing the Committee was still required to file reports, it took Mr. Earl several months more to file a Final Report to close the Committee. If this recommendation is accepted by the Board, the stay would be lifted from the earlier assessment and the total civil penalty of \$5,025 will be due and owing. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain out of existence for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated.



Tom Newman – Hearing Officer
August 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Rupert T. Borgsmiller
June 4, 2012

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Bryan A. Schneider
Charles W. Scholz

Friends of Billy Earl

ID# 23527

Dear Friends of Billy Earl:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 2011
Filing Period:	October 3, 2011 through October 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 18, 2012, 123 day(s) late. As such, this committee has been assessed a fine of \$5000.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30, 2011	Quarterly	\$25
TOTAL AMOUNT NOW DUE		\$5025

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: SANGAMON)

STATE BOARD OF ELECTIONS
12 JUL -3 AM 10:52

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS OF BILLY SAW)
Respondent(s).)
23527

Case No. 11SQ264

APPEAL AFFIDAVIT

I, William R. Earl, the Chairman of the
(Name) (Chairman/Treasurer)
FRIENDS OF BILLY SAW
(Name of the Committee)

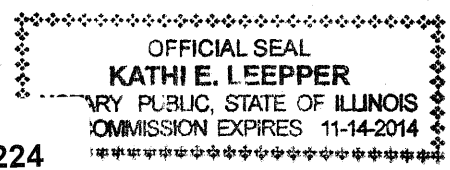
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I THOUGHT I FILED A FINAL IN APRIL 2011. I MUST OF MADE A
MISTAKE. THEN ON JULY 23 2011 I WAS INVOLVED IN A MOTORCYCLE
ACCIDENT WHERE I WAS BADLY HURT. I REALIZED IN JANUARY 2012
I MADE A MISTAKE.

William R. Earl
Signature of Chairman/Treasurer

Signed and Sworn to by: Kathie E. Leeper
before me this 3rd Day of JULY, 2012

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 AM 049

Friends to Elect James A Wright
Respondent

ID# 23843

REPORT OF HEARING OFFICER

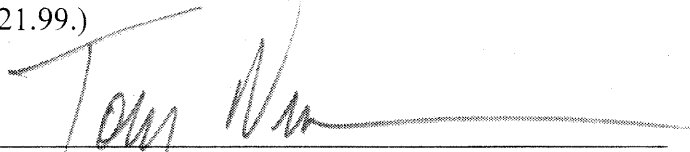
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received an \$8,000 contribution on 2/4/12 and a \$2,000 contribution on 2/17/12, and reported both of these on a Schedule A-1 received by the Board on March 12, 2012, 19 and 9 days late respectively, resulting in a civil penalty assessment of \$5,000.

Stan Ryniewski, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Ryniewski admits the Committee failed to promptly file the A-1s, but says it was not deliberate or intentional. He says as this was the candidate's first political campaign, the Committee did not realize that the contributions, from the candidate's wife, were subject to reporting requirements. As a result, he was not made aware of the two contributions until after the reporting deadline. Mr. Ryniewski adds that once he was advised on the contributions, the A-1 was filed.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$500. If these recommendations are accepted by the Board, the \$500 civil penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$421.99.)



Tom Newman – Hearing Officer

July 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
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Fax: 217/782-5959

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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

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Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends to Elect James A Wright

ID# 23843

Dear Friends to Elect James A Wright:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Ann Marie Wright	2/4/2012	\$8000	3/12/2012	19	\$4000
Ann Marie Wright	2/17/2012	\$2000	3/12/2012	9	\$1000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$5000 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of: COOK)

CHICAGO

2012 JUL -6 PM 2:52

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

FRIENDS TO ELECT JAMES A. WRIGHT)

Respondent(s).)

Case No. 12 AM049

APPEAL AFFIDAVIT

I, Stan Ryniewski, the **Treasurer** of the
(Name) (Chairman/Treasurer)

Friends to Elect James A. Wright

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- 1) This was Judicial Candidate James A. Wright's first run for political office. As such, his campaign was late starting, fast-paced, and organizationally-deficient at times;
- 2) Mr. Wright initially, yet erroneously believed that the contributions in question from his wife, Ann Marie Wright, were not subject to the reporting requirements since it was essentially an intra-family monetary exchange;
- 3) Based upon the above-mentioned facts, Mr. Wright did not timely apprise the campaign of the contributions in question. However, upon advising the Campaign's Treasurer, the appropriate forms were filed promptly on behalf of the Campaign;
- 4) Accordingly, the failure to promptly file the requisite schedules for these contributions was in error and not intentional or deliberate. Mr. Wright and the Campaign offers its apology for failing to timely meet the disclosure requirements set forth in the Illinois Campaign Disclosure Act, and humbly and respectfully request that the State Board of Election waive any and all civil monetary penalty(ies) and assess a warning against any future violation(s).

Stan Ryniewski
(Signature of Chairman/Treasurer)

Signed and Sworn to by:

Cheryl Lynn Cabiness
before me this 3rd Day of July, 2012

Notary Public
(seal)

Cheryl Lynn Cabiness
CHERYL LYNN CABINESS
Notary Public - State of Illinois
My Commission Expires Jan 21, 2015

July 3, 2012

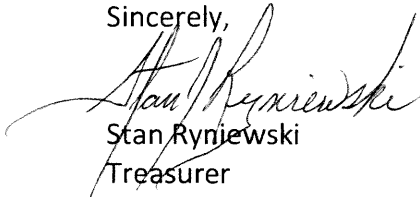
STATE BOARD OF ELECTIONS
STATE OF ILLINOIS
CAMPAIGN DISCLOSURE DIVISION
JAMES R. THOMPSON CENTER
100 West Randolph, Suite 14-100
Chicago, Illinois 60601

Re: **Appeal Affidavit and Waiver of Appearance for Assessment of Civil Penalty
Against Friends to Elect James A. Wright for Violation of Illinois Campaign
Disclosure Act**

Dear Director Sharon Steward,

Attached please find the Appeal Affidavit and Waiver of Appearance for the assessment of civil penalty against Friends to Elect James A. Wright for failure to timely file Schedules A-1s report of Campaign contributions of \$1000 or more. If you have any questions or desire additional information, please contact me at 312.972.0442 or via email at sryniewski@sbcglobal.net. In addition, you may contact also the candidate, James A. Wright, directly at 773.209.8742 or via email jamesa.wright_esq@yahoo.com. Thank you for your consideration.

Sincerely,



Stan Ryniewski
Treasurer

Friends to Elect James A. Wright

Encl: Appeal Affidavit
Waiver of Appearance

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 053

Citizens to Elect Terry Gallagher,
also known as John T Gallagher

ID#23880

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing Schedule A-1 Reports

The Committee received a total of four contributions: \$1,758.65 on 2/10/12, \$4,007.54 on 3/8/12, \$4,634 on 3/16/12 and \$2,244 on 3/21/12 and reported all four on a Schedule A-1 received by the Board on 3/30/12, 27, 14, 8 and 2 days late respectively resulting in a civil penalty assessment of \$6,322.09.

Alice Gallagher, the Chairman/Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Gallagher states the contributions were made by the Candidate as loans to his own Committee. She erroneously listed herself as the contributor.

It is Board policy to award a one-time amnesty to Candidates when making loans in the amount of \$1,000 or more to their own Committee if they are not aware of their filing requirement. The filing history of this Committee indicates they are aware of the requirement for Candidates to file Schedule A-1s when loaning money in the amount of \$1,000 or more to their own committee. Therefore I must recommend the appeal be denied. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment or \$632. If these recommendations are accepted by the Board, the \$632 civil penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$4,261.32.)



Kim Patrick – Hearing Officer
August 29, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens to Elect Terry Gallagher, also known as John T Gallagher ID# 23880

Dear Citizens to Elect Terry Gallagher, also known as John T Gallagher:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Alice Gallagher	2/10/2012	\$1758.65	3/30/2012	27	\$879.32
Alice Gallagher	3/8/2012	\$4007.54	3/30/2012	14	\$2003.77
Alice Gallagher	3/16/2012	\$4634	3/30/2012	8	\$2317
Alice Gallagher	3/21/2012	\$2244	3/30/2012	2	\$2244

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$6322.09 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$632, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

STATE BOARD OF ELECTIONS
12 JUL -5 AM 10:49

State of Illinois

County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Citizens to Elect Terry Galla)

Respondent(s).)

Case No. 12 AM 053

APPEAL AFFIDAVIT

I, Alice Gallagher, the Chairman and Treasurer of the
(Name) (Chairman/Treasurer)

Citizens to Elect Terry Gallagher, aka John T. Gallagher

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Some expenditures were made by credit card payment by my husband, Terry Gallagher, the Candidate. I did not become aware of these expenditures until I received either the bill from the vendor or the credit card statement. Once I was aware of the expenditures and the corresponding loan made via the credit card payment, I immediately recorded the expense and loan and filed the A-1 Report. March was a very hectic and chaotic month, and I did my best to keep up with the expenses. If an error occurred, it was unintentionally made.

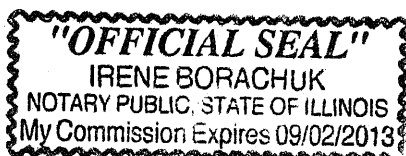
Thank you for your time.

Signed and Sworn to by:

Irene Borachuk
before me this 3rd Day of

July 2012
Irene Borachuk
Notary Public

Alice F. Gallagher
(Signature of Chairman/Treasurer)



Alice Fitch Gallagher

4209 Ellington Avenue
Western Springs, IL 60558

708.296.9616

708.784.0801

Gall784@gmail.com

Target National Bank
P.O. Box 59231
Minneapolis, MN 55440

Re: Target Account Number ending in 6048

To Whom It May Concern:

Please be advised I am the initial signer on the above-referenced Target Visa account. I am writing to ask you provide me with written proof that my husband, John T. Gallagher, is also an authorized signer on this account.

Thank you for your time in this matter.

Sincerely,



Alice F. Gallagher

Cc: Kim Patrick

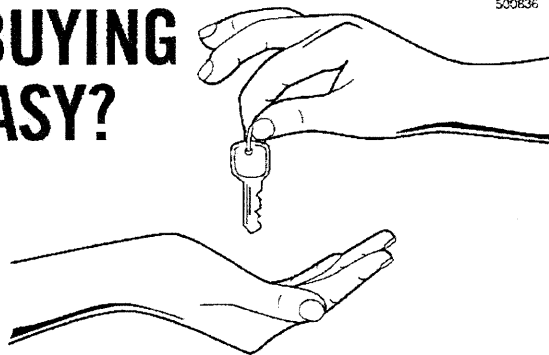
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Page 1 of 3
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Feb. 09 - Mar. 08, 2012 29 Days in Billing Cycle

Visa Platinum

NEW BALANCE **MINIMUM PAYMENT** **DUE DATE**
[REDACTED] [REDACTED] Apr 05, 2012

PLEASE PAY AT LEAST THIS AMOUNT

Credit Limit: [REDACTED] Cash Advance Credit Limit: [REDACTED]
Available Credit: [REDACTED] Available Credit for Cash Advances: [REDACTED]

LATE PAYMENT WARNING: If we do not receive your minimum payment by your due date, you may have to pay a late fee of up to \$35.00.

Previous Balance	Payments and Credits	Fees and Interest Charged	Transactions	New Balance
[REDACTED]	[REDACTED]	\$0.00	[REDACTED]	[REDACTED]

TRANSACTIONS

PAYMENTS, CREDITS & ADJUSTMENTS FOR JOHN T GALLAGHER # [REDACTED]

1 05 MAR CAPITAL ONE AC11 CARD PAYMENT [REDACTED]

TRANSACTIONS FOR JOHN T GALLAGHER # [REDACTED]

1	[REDACTED]	[REDACTED]
2	[REDACTED]	[REDACTED]
3	[REDACTED]	[REDACTED]
4	[REDACTED]	[REDACTED]
5	[REDACTED]	[REDACTED]
6	[REDACTED]	[REDACTED]
7	[REDACTED]	[REDACTED]
8	[REDACTED]	[REDACTED]
9	[REDACTED]	[REDACTED]
10	[REDACTED]	[REDACTED]
11	[REDACTED]	[REDACTED]
12	[REDACTED]	[REDACTED]
13	[REDACTED]	[REDACTED]
14	[REDACTED]	[REDACTED]
15	[REDACTED]	[REDACTED]

Transactions continue on page 2

REWARDS INFORMATION

PREVIOUS AVAILABLE REWARDS BALANCE [REDACTED]
REWARDS EARNED THIS PERIOD [REDACTED]
(reflects transactions posted during this billing cycle)
AVAILABLE BALANCE AS OF 03/08/2012 [REDACTED]

For up-to-date rewards tracking, visit
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or simply call 1-800-228-3001

NoHassle rewards

INTEREST CHARGE CALCULATION

Your Annual Percentage Rate (APR) is the annual interest rate on your account.

Type of Balance	Annual Percentage Rate (APR)	Balance Subject to Interest Rate	Interest Charge
Purchases	15.65% D	\$0.00	\$0.00
Cash Advances	24.90% D	\$0.00	\$0.00

P.L.D./F = Variable Rate. See reverse of page 1 for details

PLEASE RETURN PORTION BELOW WITH PAYMENT OR LOG ON TO WWW.CAPITALONE.COM TO MAKE YOUR PAYMENT ONLINE.

1 4003447017195522 08 7482331788490074003



Account Number: 4003-4470-1719-5522

Due Date	New Balance	Minimum Payment	Amount Enclosed
Apr 05, 2012	[REDACTED]	[REDACTED]	[REDACTED]

PLEASE PAY AT LEAST
THIS AMOUNT

JOHN T GALLAGHER
4209 ELLINGTON AVE
WESTERN SPRINGS, IL 60556-3240



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www.capitalone.com

Feb. 09 - Mar. 08, 2012 29 Days in Billing Cycle

Visa Platinum

NEW BALANCE	MINIMUM PAYMENT	DUE DATE
		Apr 05, 2012

Credit Limit:
Available Credit:
Cash Advance Credit Limit:
Available Credit for Cash Advances:

Previous Balance - Payments and Credits + Fees and Interest Charged + Transactions = New Balance

TRANSACTIONS CONTINUED

TRANSACTIONS FOR JOHN T GALLAGHER # (CONTINUED)

16		
17		
18		
19		
20		
21		
22		
23		
24		
25		
26		
27		
28	07 MAR KEVRON PRINTING AND MAIL 708-229-7725	\$4,007.54

Total Transactions This Period

FEES	Total Fees This Period	\$0.00
INTEREST CHARGED	Total Interest This Period	\$0.00
TOTALS YEAR TO DATE	Total Fees This Year	\$0.00
	Total Interest This Year	\$0.00

Important Notice Capital One's automatic payment service is in effect for your account. This valuable service makes managing your finances simpler and more convenient. As requested, the New Balance shown on this statement will be debited directly from your designated bank account on your Payment Due Date. You do not need to send a separate payment. If you have any questions, please contact one of our Customer Relations associates for assistance.

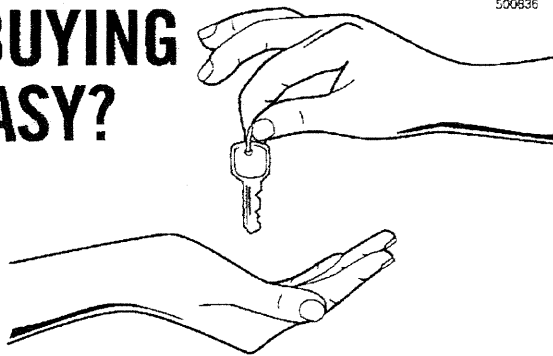
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Page 1 of 3
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www.capitalone.com

Mar. 09 - Apr. 08, 2012 31 Days in Billing Cycle

Visa Platinum

NEW BALANCE [REDACTED] **MINIMUM PAYMENT** [REDACTED] **DUE DATE** May 05, 2012

PLEASE PAY AT LEAST THIS AMOUNT

Credit Limit: [REDACTED] Cash Advance Credit Limit: [REDACTED]
Available Credit: [REDACTED] Available Credit for Cash Advances: [REDACTED]

LATE PAYMENT WARNING: If we do not receive your minimum payment by your due date, you may have to pay a late fee of up to \$35.00.

Previous Balance [REDACTED] - Payments and Credits [REDACTED] + Fees and Interest Charged [REDACTED] + Transactions [REDACTED] = New Balance [REDACTED]

TRANSACTIONS

PAYMENTS, CREDITS & ADJUSTMENTS FOR JOHN T GALLAGHER # [REDACTED]

1 [REDACTED] [REDACTED]
2 [REDACTED] [REDACTED]
3 [REDACTED] [REDACTED]

TRANSACTIONS FOR JOHN T GALLAGHER # [REDACTED]

1 [REDACTED] [REDACTED]
2 [REDACTED] [REDACTED]
3 [REDACTED] [REDACTED]
4 [REDACTED] [REDACTED]
5 [REDACTED] [REDACTED]
6 [REDACTED] [REDACTED]
7 [REDACTED] [REDACTED]
8 [REDACTED] [REDACTED]
9 [REDACTED] [REDACTED]
10 [REDACTED] [REDACTED]
11 [REDACTED] [REDACTED]
12 [REDACTED] [REDACTED]
13 [REDACTED] [REDACTED]
14 [REDACTED] [REDACTED]

Transactions continue on page 2

REWARDS INFORMATION

PREVIOUS AVAILABLE REWARDS BALANCE [REDACTED]
REWARDS EARNED THIS PERIOD [REDACTED]
(reflects transactions posted during this billing cycle)
AVAILABLE BALANCE AS OF 04/08/2012 [REDACTED]

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or simply call 1-800-228-3001

INTEREST CHARGE CALCULATION

Your Annual Percentage Rate (APR) is the annual interest rate on your account.

Type of Balance	Annual Percentage Rate (APR)	Balance Subject to Interest Rate	Interest Charge
Purchases	15.65% D	\$0.00	\$0.00
Cash Advances	24.90% D	\$0.00	\$0.00

P.L.D.F. = Variable Rate. See reverse of page 1 for details

PLEASE RETURN PORTION BELOW WITH PAYMENT OR LOG ON TO WWW.CAPITALONE.COM TO MAKE YOUR PAYMENT ONLINE.

1 4003447017195522 08 5877597482330058003



Account Number: 4003-4470-1719-5522

Due Date May 05, 2012 New Balance [REDACTED] Minimum Payment [REDACTED] Amount Enclosed [REDACTED]

PLEASE PAY AT LEAST
THIS AMOUNT

JOHN T GALLAGHER
4809 ELLINGTON AVE
WESTERN SPRINGS, IL 60558-1260
|||

BE SAFE!

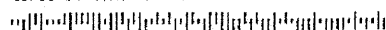
Your trash could be an identity thief's gold. Manage your account online and end the paper trail.

Sign up at www.capitalone.com



400009

Capital One Bank (USA), N.A.
P.O. Box 6492
Carol Stream, IL 60197-6492



Please make checks payable to Capital One Bank (USA), N.A. and mail with this coupon in the enclosed envelope.



Take Control with Online Statements

Managing your account online is easy:

- Check your balance and view recent activity
- View and print copies of past statements
- Pay your bill online

Sign up at: www.capitalone.com



Page 2 of 3
Customer Service 1-800-955-7070
www.capitalone.com

Mar. 09 - Apr. 08, 2012 31 Days in Billing Cycle

Visa Platinum

NEW BALANCE	MINIMUM PAYMENT	DUE DATE
		May 05, 2012

Credit Limit:
Available Credit:
Cash Advance Credit Limit:
Available Credit for Cash Advances:

Previous Balance - Payments and Credits + Fees and Interest Charged + Transactions = New Balance

TRANSACTIONS CONTINUED		
TRANSACTIONS FOR JOHN T GALLAGHER # (CONTINUED)		
15		
16		
17		
18	15 MAR KEYRON PRINTING AND MAIL 708-229-7725 IL	\$4,634.00
19		
20		
21		
22		
23		
24		
25		
26		
27		
28		
29		
30	19 MAR ELECT SYSTEMS INC 773-2813900 IL	\$2,244.06
31		
32		
33		
34		
35		
36		
37		
38		
39		
40		
41		
42		
43		
44		
45		
46		
47		
48		
49		
50		
51		
52		
53		
54		
▶ Total Transactions This Period		
FEES		
	Total Fees This Period	\$0.00
INTEREST CHARGED		
	Total Interest This Period	\$0.00

TOTALS YEAR TO DATE

Total Fees This Year \$0.00
Total Interest This Year \$0.00

Important Notice Capital One's automatic payment service is in effect for your account. This valuable service makes managing your finances simpler and more convenient. As requested, the New Balance shown on this statement will be debited directly from your designated bank account on your Payment Due Date. You do not need to send a separate payment. If you have any questions, please contact one of our Customer Relations associates for assistance.

Target Visa Card Account Number [REDACTED]
Account Identification Number: [REDACTED]
ALICE F GALLAGHER
4209 ELLINGTON AVE
WESTERN SPRINGS, IL 60558126009

Summary of Account Activity

Previous Balance	[REDACTED]
Payments and Other Credits	[REDACTED]
Purchases and Other Debits	[REDACTED]
Cash Advances	[REDACTED]
Fees Charged	[REDACTED]
Interest Charged	[REDACTED]
New Balance	[REDACTED]
Total Credit Limit	[REDACTED]
Cash Limit	[REDACTED]
Available Credit	[REDACTED]
Portion Available for Cash	[REDACTED]
Cash Limit is a portion of the Total Credit Limit	
Statement Closing Date	2/27/2012
Days in Billing Cycle	31

Payment Information

New Balance: [REDACTED]
Minimum Payment Due: [REDACTED]
Payment Due Date: 3/24/2012

Late Payment Warning: If we do not receive your minimum payment by the date listed above, you may have to pay up to a \$35.00 late fee

Minimum Payment Warning: If you make only the minimum payment each period, you will pay more in interest and it will take you longer to pay off your balance. For example:

If you make no additional charges using this card and each month you pay...	You will pay off the balance shown on this statement in about...	And you will end up paying an estimated total of...
Only the minimum Payment	28 years	\$40,947
\$590	3 years	\$21,240 (Savings=\$19,707)

If you would like information about credit counseling services, call 1-800-991-8433.

For questions, an address change or to report a lost or stolen card, go online or call us:

Manage My REDCard Target.com/redcard
Target Credit Services 1-800-755-5856
TDD/TDY 1-800-347-5842
Outside the U.S. 1-612-307-8622 (Call Collect)
Calling will not preserve your billing-error rights.

Important Messages

Your periodic rate(s) and corresponding Annual Percentage Rate(s) for purchases and cash advances may vary.

There is a Minimum Charge of \$1.00 for any billing period in which an interest charge is imposed.

FOR YOUR INFORMATION

Your next Automatic Payment will be on 03-24-2012 for \$335.00. Payments and other credits made between your statement date and payment due date may affect your Automatic Payment amount. At no time will an Automatic Payment result in a credit balance to your account.

Transactions

Trans Date	Description of Transaction or Credit	Location	Amount
Payments And Other Credits			
2/24/2012	AUTO PAYMENT		[REDACTED]
TOTAL PAYMENTS AND OTHER CREDITS FOR THIS PERIOD			[REDACTED]
Purchases			
1/26/2012	[REDACTED]	[REDACTED]	[REDACTED]

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 DQ 223

Friends of Naomi Davis ID# 23892
Respondent

REPORT OF HEARING OFFICER

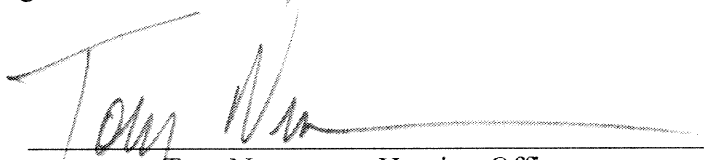
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2011 Quarterly Report

The Report was received by the Board on March 19, 2012, 42 days late, resulting in a civil penalty assessment of \$1,050.

Edgar P Lucas, Jr, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Lucas states that the Committee lost its campaign paperwork while in the process of moving offices. He says once the move was complete and the paperwork was found, the Report was filed.

I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. Additionally, since the Committee has filed a Final Report, I recommend that should the Committee remain dissolved for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated.



Tom Newman – Hearing Officer
August 2, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

Friends of Naomi Davis

ID# 23892

Dear Friends of Naomi Davis:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2011 through December 31, 2011
Filing Period:	January 2, 2012 through January 17, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on March 19, 2012, 42 days late. As such, this committee has been assessed a fine of \$1050.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of : COOK)

CHICAGO

2012 JUL -5 AM 9:50

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Naomi Davis)
Respondent(s).)

Case No. 12 DQ 223

APPEAL AFFIDAVIT

I, Edgar P. Lucas, Jr., the Campaign Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Naomi Davis
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

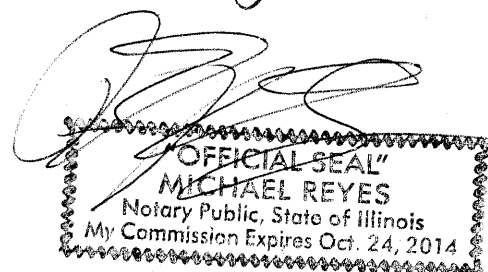
We were in the process of moving our offices and
our campaign paperwork was lost during the
move - We found the paperwork after the move
and filed the quarterly report.

Edgar P. Lucas, Jr.
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 3rd Day of July, 2012

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 054

Friends of Chris Benson

ID#23896

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received two \$5,000 contributions on 3/20/12 and a \$1,153.60 contribution on 3/30/12 and reported all three on a Schedule A-1 received by the Board on 4/12/12, 12 and 4 days late respectively resulting in a civil penalty assessment of \$5,576.80.

Chris Torres, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Torres states at the time the contributions were received, she was out of the country. The Candidate, Chris Benson was in charge of the paperwork in her absence. When Ms. Benson entered the contributions and validated them, she thought this was the final step.

I recommend the appeal be denied for lack of an adequate defense. There is no indication the violation was anything other than inadvertent and unintentional, and since these are the first set of A-1 violations for the Committee, I recommend the penalty be reduced to 10% of the original assessment or \$558. If this recommendation is accepted by the Board, the total civil penalty of \$558 will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$2,205.96.)



Kim Patrick – Hearing Officer
August 24, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Chris Benson

ID# 23896

Dear Friends of Chris Benson:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Costello for Congress	3/20/2012	\$5000	4/12/2012	12	\$2500
Foresight Energy Services	3/20/2012	\$5000	4/12/2012	12	\$2500
Personal PAC	3/30/2012	\$1153.60	4/12/2012	4	\$576.80

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$5576.80 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$558, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)

County of : LaSalle)

STATE BOARD OF ELECTIONS

12 JUL -5 AM 10:49

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Friends of Chris Benson)

Respondent(s).)

Case No. 12 AM 054

APPEAL AFFIDAVIT

I, Chris Torres, the Treasurer of the
(Name) (Chairman/Treasurer)

Friends of Chris Benson

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

As the Treasurer of this committee I am normally
the individual responsible for the reporting. At
the time the contributions were received I was
out of the country on a personal trip. Chris
Benson received the contributions while I was
away. Since you are not able to load the

Chris Torres
Signature of Chairman/Treasurer

Signed and Sworn to by:

Linda Ness
before me this 3rd Day of July, 2012

Notary Public
(seal)



program on more than one computer for lack of committee she acquired my computer to process the paperwork and report the contributions. When I processed the quarterly report the A-1's for the listed contributions were sitting in the dock ready to be sent/processed to the State Board of Elections. When Chris entered the contributions and then validated them she thought this was the final step.

We would like to take this opportunity to thank you for the chance to explain and appeal. We would also like to thank you for the reduction in fines as contributions are hard to acquire and needed for campaign. If you are in need of any other information or have questions for Chris Benson or myself please feel free to contact us at (815) 343-9510.

Thank You,
Chris Benson, Treasurer
Friends of Chris Benson

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 MQ 255

African Political Action Committee 24083

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing the March 2012 Quarterly Report

The March 2012 Quarterly Report was received by the Board on 4/23/12, 5 days late, resulting in a civil penalty assessment of \$125. The total assessment is \$125.

Dr. Ewa Ewa, the treasurer of the Committee, appeared on August 2 for the appeal hearing.

Dr. Ewa Ewa was advised that the Committee was not required to file reports with the State Board of Elections for the Committee had not received nor spent \$3,000. Dr. Ewa Ewa did not realize that once the Statement of Organization was filed the Committee would be required to file. As soon as he was made aware that he was required to file the report, it was immediately filed.

The committee filed the D-1, Statement of Organization, on 1/18/12 and listed a creation date of 1/3/12. Although the committee had not met the \$3,000 threshold, it became a political committee and agreed to abide by the Campaign Financing Act when it filed the D-1, Statement of Organization. It is unfortunate that Dr. Ewa Ewa did not understand the filing requirements but as an officer of his Committee he has a responsibility to know and understand the statute. Therefore, I recommend that the appeal be denied. As a first violation, the penalty is stayed. (As of 6/30/12, this Committee reported a funds available balance of \$1,442.48.)



Andy Nauman – Hearing Examiner

August 3, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

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Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

African Political Action Committee

ID# 24083

Dear African Political Action Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 23, 2012, 5 days late. As such, this committee has been assessed a fine of \$125.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of : _____)

CHICAGO

2012 JUN -7 AM 11:43

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs. African Political Action
Committee

Case No. 12MQ255

Respondent(s).

24083

APPEAL AFFIDAVIT

I, Dr Eng I. Eng, the Chairman of the
(Name) (Chairman/Treasurer)

African Political Action Committee (APAC)

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

During filling, we were advised that the maximum amount to file was \$3,000 (three thousand dollar). So we wanted to conduct a fund raising to make up to three thousand before renewal. That was the reason. But when I called they advised to file asap and that given that this was our first offence, this would be waived.

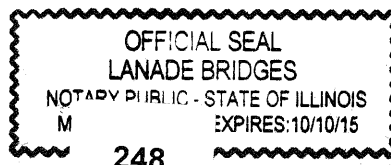
Signature of Chairman/Treasurer

Signed and Sworn to by:

Lanade Bridges

before me this 7 Day of May, 2012

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 AM 070

Friends of Tim Whelan ID# 24102
Respondent

REPORT OF HEARING OFFICER

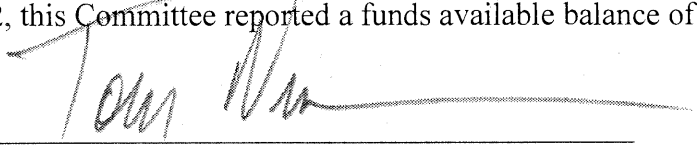
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$2,500 contribution on 1/9/12 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$1,250. Additionally, the Committee had previously been assessed a \$475 civil penalty (not appealed, stayed) for delinquently filing the December 2011 Quarterly Report. The total assessment is \$1,725.

Randall Smith, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Smith says the \$2,500 contribution, from Timothy Whelan Law Associates, was actually disclosed by the Committee when it filed its Statement of Organization on 1/9/12. He says the \$2,500 amount was listed as the opening balance for the Committee on the D-1 Form.

The Committee's Statement of Organization does show a \$2,500 cash available figure, but as of 12/16/11, rather than the 1/9/12 reported for the contribution on the Committee's Quarterly Report. In any case, disclosing only the amount of funds available is not the same as disclosing the details of a contribution, and does not meet the Act's disclosure requirements for contributions of \$1,000 or more. I therefore recommend the appeal be denied. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$125. If these recommendations are accepted by the Board, the stay would be lifted from the earlier penalty and the total assessment of \$600 would be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$3,410.38.)



Tom Newman – Hearing Officer
July 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

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Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Tim Whelan

ID# 24102

Dear Friends of Tim Whelan:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Timothy Whelan Law Associates	1/9/2012	\$2500	*	61	\$1250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1250 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$125, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
October 1 through December 31 2011	Quarterly	\$475
TOTAL AMOUNT NOW DUE		\$600

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of: DuPage)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

12 JUL -9 PM 3:12

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS of Tim Whelan)
Respondent(s).)

Case No. 12AM070

APPEAL AFFIDAVIT

I, RANDALL SMITH, the Chairman of the
(Name) (Chairman/Treasurer)

FRIENDS OF TIM WHELAN #24102
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

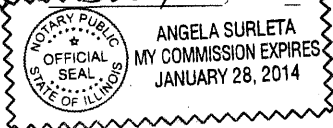
The complaint seems to be the failure to
disclose the \$2500 contribution by Trinity
Whelan Law Associates, but the amount was
disclosed when we first filed for creation
of the committee & the opening balance on the
D-1 Form on creation date

Randall Smith
Signature of Chairman/Treasurer

Signed and Sworn to by:

Angela Surleta
before me this 30th Day of July, 2012

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MQ 267

Monroe County Young Democrats

ID# 24157

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the March 2012 Quarterly Report

The Quarterly Report was received by the Board on May 10, 2012, 18 days late, resulting in a civil penalty assessment of \$450.

Dan Schumer, the Treasurer of the Committee, initially requested a Hearing but he contacted our office and changed the request to a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Schumer states he did not timely file the report because his mother in law suffered a stroke and this resulted in Mr. Schumer forgetting to file it. He also adds that when he did try to file the report he had problems with the IDIS software. He contacted the Board on May 7th and found that the IDIS software was not properly installed on his computer. This caused further delay of the report not being filed until May 10th.

I recommend the appeal be denied for lack of an adequate defense. However, I would further recommend the Committee be given credit for attempting to file on the 7th. This would make the report 15 days late, resulting in a civil penalty of \$375. As a first offense the penalty is stayed. (As of 6/30/12, this Committee reported a funds available balance of \$645.05)



Kim Patrick – Hearing Officer
August 23, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

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100 West Randolph, Suite 14-100
Chicago Illinois 60601
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Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

Monroe County Young Democrats

ID# 24157

Dear Monroe County Young Democrats:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on May 10, 2012, 18 days late. As such, this committee has been assessed a fine of \$450.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: Monroe)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

12 JUL -9 AM 8:03

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Monroe County Young Democrats)
Respondent(s).)

Case No. 12 MQ 267

APPEAL AFFIDAVIT

I, Daniel E. Schumer, the Treasurer of the
(Name) (Chairman/Treasurer)
Monroe County Young Democrats
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See Attached

Daniel E. Schumer
Signature of Chairman/Treasurer

Signed and Sworn to by
Catherine Mikolay
before me this 3rd Day of JULY, 2012

Notary Public
(seal)



Appeal Affidavit

The late quarterly report was the first we ever filed since our committee was just recently formed. I intended to file the report in a timely manner, but my mother-in-law suffered a stroke at about the time it was due. Her stroke and the attention and care she required as a result occupied my attention and time such that I did not remember to timely file the report. Once I did, I promptly tried to file the report electronically. However, I encountered many problems doing this. Eventually I discovered that the IDIS software was not properly installed on my computer. Once I realized this, I contacted the ISBE and corresponded with Kim Patrick to attempt to file the report which I was eventually able to do. (See e-mails attached.) Despite contacting ISBE, I was delayed in filing the report because I work full-time during the ISBE's work hours and I do not have access to my home computer (which has the IDIS software installed) while I am working. I hope that you will waive our fine since this was our first violation, we have not tried to evade responsibility, and our actions were in good faith.

Daniel E. Schumacher
Treasurer

SIGNED AND SWORN TO BY
Catherine Mikolay
before me this 3rd Day of July, 2012



Dan.

First and foremost, you can call me anytime with any questions. that is what we are here for!

I know exactly how to fix this. Give me a call and I will help you get this taken care of. I will be here until 1:30 today, call me at your convenience! 217-782-1552.

Thanks,

Kim

From: Daniel Schumer [mailto:d_schumer@hotmail.com]
Sent: Wednesday, May 09, 2012 8:32 PM
To: Patrick, Kim
Subject: RE: Urgent Help in filing state reports

Kim, I am new at this and don't want you to think I am giving you the run around. I really want to get this report filed sooner rather than later and don't want any trouble with the Board of Elections. I am getting an error message when I attempt to file. Please see the screen shots I have attached. I would like to be able to have you walk me through this unfortunately I work all day and I do this on my home computer. Any guidance you can provide me would be appreciated. Thanks!

From: KPatrick@elections.il.gov
To: d_schumer@hotmail.com
Date: Mon, 7 May 2012 14:40:52 -0500
Subject: RE: Urgent Help in filing state reports

You need to call me to get a username and password, my # is at the bottom of this email.

From: Daniel Schumer [mailto:d_schumer@hotmail.com]
Sent: Monday, May 07, 2012 2:39 PM

To: Patrick, Kim
Subject: RE: Urgent Help in filing state reports

Monroe County Young Democrats.

From: KPatrick@elections.il.gov
To: d_schumer@hotmail.com
Date: Mon, 7 May 2012 13:45:48 -0500
Subject: RE: Urgent Help in filing state reports

What is the name of your committee?

From: Daniel Schumer [mailto:d_schumer@hotmail.com]
Sent: Monday, May 07, 2012 12:45 PM
To: Patrick, Kim
Subject: Urgent Help in filing state reports

Kim, your name was given to me by Catherine Mikiloy for assistance on filing the IDIS reports. I was not aware I had to file a report until I received a notice as we have only been in existence since January 1. About the time I received the notice my mother-in-law had a stroke and I allowed this to fall off my radar. The trouble I am having is that when I click the button to file an error message says that we are not registered in the system. What do I need to do in order to be registered in the system? Any guidance you can provide would be appreciated.

Dan Schumer

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 MA 048

United for Rudy Lozano Jr. (ID 21656)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for the Delinquent Filing of Schedule A-1 Reports

On December 30, 2011, the Committee received a \$14,000 contribution and reported it on a Schedule A-1 Report filed on January 17, 2012, 5 days late resulting in a \$7,000 civil penalty assessment. As a first Schedule A-1 violation, the fine is reduced to \$700, or 10% of the above-referenced amount.

During the 1st Quarter of 2012, the Committee received four In-Kind Contributions valued at \$1,000 or more, totaling \$9,835.09 and reported them on delinquently filed Schedule A-1 Reports, resulting in a \$4,917.54 civil penalty assessment. As a second Schedule A-1 violation, the fine is reduced to \$2,459 or 50% of the above-referenced amount. The total assessment is \$3,159.

Celia Lozano, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 2, 2012.

Celia Lozano appeared on behalf of the Respondent. Mrs. Lozano stated that the \$14,000 contribution was a loan repayment from Citizens for Munoz. She testified that she contacted Board staff for assistance reporting the loan repayment and was directed to report it on Schedule A, part 4, Other Receipts on the Committee's Quarterly Report. She stated upon entering the receipt, IDIS generated the Schedule A-1 Report and it was filed the same day. In regards to the In-Kind contributions, Mrs. Lozano testified that during the quarter she received an overwhelming number in-kind notification forms through facsimile, e-mail and regular mail from SEIU Illinois Council PAC Fund, Unite Here Local 1 PAC and Unite Here TIP State & Local Fund. Due to the fact that the notifications arrived at rapidly in duplicates and from different sources sharing similar sounding names, she was unable to sort the information to prepare the Schedule A-1 reports in a timely manner. She stated the campaign is closed and she intends to file a final report.

Under the Act, a loan repayment is not considered a contribution and not required to be reported on a Schedule A-1 Report. Therefore, I recommend the appeal be granted only in relation to the \$700 civil penalty assessment related to the loan receipt from Citizens for Munoz.

Mrs. Lozano indicated she was unable to keep track of the in-kind contribution records which resulted in delinquent filing of Schedule A-1 reports. This is an internal matter, as it is the duty of the Treasurer to maintain accurate records as required by 10 ILCS 5/9-7. I recommend that the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, \$ 2,459 will be due. (As of 6/30/12, this Committee reported a funds available balance of \$2,366.95).

A handwritten signature in cursive script, reading "Tara Molnar", written in dark ink. The signature is fluid and stylized, with the first and last names being clearly legible.

Tara Molnar – Hearing Officer

August 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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Springfield, Illinois 62704
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Chicago Illinois 60601
312/814-6440
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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

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Judith C. Rice
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Charles W. Scholz

United for Rudy Lozano Jr

ID# 21656

Dear United for Rudy Lozano Jr:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the 2011 fourth quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Munoz	12/30/2011	\$14,000	1/17/2012	5	\$7000

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$7000 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$700, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the 2012 first quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
SEIU Illinois Council PAC Fund	3/23/2012	\$4495.58	4/16/2012	11	\$2247.79
Unite Here Local 1 PAC	3/26/2012	\$2746.63	4/16/2012	10	\$1373.31
Unite Here TIP State & Local Fund	3/26/2012	\$1224.88	4/16/2012	10	\$612.44
SEIU Illinois Council PAC Fund	3/22/2012	\$1368	4/16/2012	12	\$684

Your committee is subject to a fine of \$4917.54 for delinquent filing Schedule A-1 reports.

State of Illinois)

County of: Cook)

CHICAGO

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

2012 JUL -5 PM 12:30
STATE BOARD OF ELECTIONS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12 MA 048

United for Rudy Lozano Jr)
Respondent(s).)

APPEAL AFFIDAVIT

I, Celia Lozano, the Treasurer of the
(Name) (Chairman/Treasurer)

United for Rudy Lozano Jr.
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

see attached statement (2 pages) and request for
hearing.

Celia Lozano
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]
before me this 5th Day of July, 2012

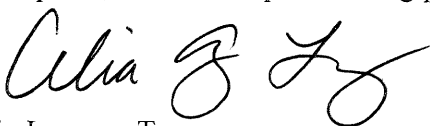
Notary Public
(seal)




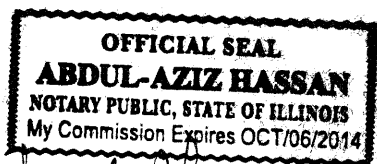
Appeal Affidavit Attachment

Regarding: United for Rudy Lozano Jr.

The first late A-1 was due to confusion on how to record repayment of a loan. After speaking with the disclosure office on January 3, 2012 the committee was instructed to record the payment in the IOU/debts and obligation section of IDIS. Later, while finishing the 1st quarter report, upon having trouble figuring out how to enter it in the IOU section of IDIS, a second call was made to the disclosure office at which point we were now directed to record it as a receipt. It was at this point, after the required filing period, that we informed an A-1 was required.



Celia Lozano, Treasurer

 7/6/2012


Appeal Affidavit Attachment

Regarding: United for Rudy Lozano Jr.

The late in-kind filings from March were completely unintentional. It became challenging to keep up with all of the donations and in-kind notifications coming through various channels. Information was coming through so many channels (fax, email, mail, delivery), even at times in duplicate, that it was difficult to sort through and keep up with. To add to the mix, many of the donors had similar sounding names and things became somewhat confusing. The late filings were completely accidental and made without any negative intentions. The committee is truly sorry for this oversight and respectfully requests leniency.



Celia Lozano, Treasurer

 7/5/2012

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 AM 072

Citizens in Support of West Northfield School Dist 31 Tax Referendum 24113

Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1's

This Committee received an in-kind contribution on 1/20/12 and reported it on a Schedule A-1 received by the Board on 4/15/12, 53 days late, resulting in a \$3,250 civil penalty. This Committee also received a \$1,000, a \$3,000, and a \$4,000 contribution on 1/26/12 and reported them on a Schedule A-1 received by the Board on 2/8/12, 4 days late, resulting in assessments of \$500, \$1,500, and \$2,000 respectively. The total assessment is \$7,250.

Julie O'Connor, the treasurer of the Committee, appeared on August 2, 2012 for the appeal hearing.

Julie O'Connor indicated that the Andy Nebel Co. started working on video services for the Committee in January and continued the work through March. Ms. O'Connor did not know the value of this in-kind until she was notified that the services performed were approximately \$6,500 in an email on April 13th. The Committee filed a Schedule A-1 for this contribution two days later and reported the receipt date as when the company started working on video services rather than when they were notified of the in-kind value. In relation to the other three violations Ms. O'Connor indicated that the Committee had a lack of internal communication between the chairman who deposited the contributions and the treasurer who actually files the reports. The contributions were deposited a few days before the treasurer was made aware that the contributions were actually received. Once Ms. O'Connor obtained knowledge of the contributions a Schedule A-1 was filed.

In relation to the Andy Nebel Co. \$6,500 in-kind contribution I recommend the appeal be granted. The Committee filed a Schedule A-1 within 5 business days of notification. Furthermore, I also recommend the Board order the Committee to amend its March 2012 Quarterly Report and its Final Report to reflect the receipt date of this contribution to be 4/13/12 within 30 days of the Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000. In relation to the other three violations I recommend that the appeal be denied for lack of an adequate defense. Failure in communication between the offices of the Committee is an internal matter. However, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessments, or \$400. If these recommendations are accepted by the Board, the total assessment is \$400. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing a fine, the fine be abated.


Andy Nauman – Hearing Officer
August 3, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Rupert T. Borgsmiller
June 4, 2012

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Citizens in Support of West Northfield School Dist 31 Tax Referendum ID# 24113

Dear Citizens in Support of West Northfield School Dist 31 Tax Referendum:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Andy Nebel Co	1/20/2012	\$6500	4/15/2012	53	\$3250
West Northfield PTC	1/26/2012	\$4000	2/8/2012	4	\$2000
Illinois Federation of Teachers	1/26/2012	\$3000	2/8/2012	4	\$1500
Michael & Suzanne Kahn	1/26/2012	\$1000	2/8/2012	4	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$7250 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$725, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

12 JUL -5 AM 11:37

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs. Citizens in Support of)
West Northfield School Dist 31 Tax)
24113 Respondent(s). Referendum)

Case No. 12AM072

APPEAL AFFIDAVIT

I, Julie M. O'Connor, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens in Support of West Northfield School District 31 Tax Referendum
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

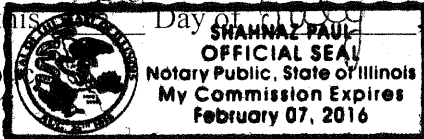
there was an error made in reporting the date of contribution by
the Andy Nebel Co. on the March Quarterly Report. Mr. Nebel's in-kind
contribution (video services) was ongoing through March and should have
been reported as received at the end of March rather than on
January 20, 2012. This difference should reduce the amount of
our fine. Additionally all four late
A-1 filings were due to my own inexperience and ignorance. They were absolutely not
intentional.

Julie M. O'Connor
Signature of Chairman/Treasurer

Signed and Sworn to by: Shahnaaz Paul

before me this 10th Day of July 2012

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 MA 069

Committee to Elect Cathy Cawiezel

ID# 24131

Respondent

REPORT OF HEARING OFFICER

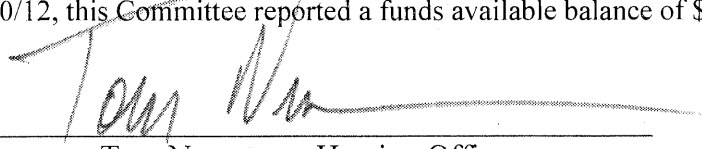
Appeal of Civil Penalty Assessment for Delinquent Filing of the
Statement of Organization and a Schedule A-1 Report

The Statement of Organization was received by the Board on January 17, 2012, 58 days late, resulting in a civil penalty assessment of \$2,900. Additionally, the Committee received a \$1,086.36 contribution on 10/4/11, and reported it on a Schedule A-1 received by the Board on January 17, 2012, 63 days late, resulting in a civil penalty assessment of \$543.18. The total assessment is \$3,443.18

Cathy Cawiezel, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Cawiezel states that both delinquent filings resulted from a misunderstanding on her part. She believed that reporting for a Committee began after it reached the \$3,000 threshold, but that nothing needed to be filed until the deadline date for its first quarterly report. She says she learned too late that the D-1 and A-1 reports had separate deadlines, unrelated to a quarterly report. Ms. Cawiezel adds that in regard to the late A-1 Report, the \$1,086.36 from the Committee to Elect Mark Boyle was not really a contribution, but a reimbursement for printed materials the two candidates purchased jointly and for which she paid the total bill at the time of the purchase.

In regards to the late D-1 filing, I recommend the appeal be denied for lack of an adequate defense. As for the A-1, the expenditure was reported by the Committee to Elect Mark Boyle and described as a reimbursement for printing expenses, just as asserted by Ms. Cawiezel. The money therefore does not actually represent an influx of new, outside funds. The two committees had agreed to make the printing purchase jointly, but for the sake of convenience, had just one committee pay the bill even though each could have paid their share at the time of the purchase. The only mistake made by Ms. Cawiezel's Committee was to report the receipt as a transfer in rather than in Part 4 – Other Receipts, where I would recommend reimbursement receipts like this be reported in the future. I therefore recommend the appeal be granted in regards to the delinquent A-1 filing. If these recommendations are accepted by the Board, the \$2,900 civil penalty for the late Statement of Organization would be stayed as a first violation. (As of 6/30/12, this Committee reported a funds available balance of \$1,547.24.)



Tom Newman – Hearing Officer

August 2, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
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Chicago Illinois 60601
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Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

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Bryan A. Schneider
Charles W. Scholz

Committee to Elect Cathy Cawiezel

ID# 24131

Dear Committee to Elect Cathy Cawiezel:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 17, 2012, 58 day(s) late. As such, this committee has been assessed a fine of \$2900.

In addition, this committee failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Committee to Elect Mark Boyle	10/4/2011	\$1086.36	1/17/2012	63	\$54

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$543.18 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$54, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all new assessments is \$2954

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

State of Illinois)

County of : DuPage)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 12 JUN 28 PM 1:13
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12MA069

Committee to Elect Cathy Cawiezel)
Respondent(s).)

24131

APPEAL AFFIDAVIT

I, Cathy Cawiezel, the Chairman of the
(Name) (Chairman/Treasurer)

Committee to Elect Cathy Cawiezel
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

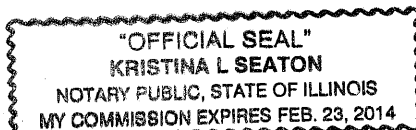
Please see letter on separate attached sheet

Cathy Cawiezel
Signature of Chairman/Treasurer

Signed and Sworn to by:

Kristina L Seaton
before me this 27th Day of June, 2012

Notary Public
(seal)



Committee to Elect Cathy Cawiezel – ID# 24131

1011 Division St., Lisle, IL 60532
630-969-3685

June 27, 2012

State Board of Elections, State of Illinois
2329 S. MacArthur Blvd.
Springfield, IL 62704

RE: Your Letter of June 4, 2012: Civil Penalty and Fine

Dear State Board of Elections:

Your letter of June 4, 2012 advises me of a fine of \$2,900 for the late filing of D-1 Statement of Organization, and of \$54 for late filing of Schedule A-1 Report of Campaign contributions of \$1,000, for total fines of \$2,954.

I am very sorry that I did not file these two reports on time. It was never my intention not to comply with code. It was my understanding - rather my misunderstanding - that all reporting was due at the same time: quarterly on the 15th day of the month following the end of the quarter, starting when the threshold of \$3,000 in either expenses or revenue was reached.

The April 2011 election was my very first venture into politics beyond accepting an appointment by the Mayor of Lisle to complete the last 18 months of an unexpired term that ended that month. My more experienced colleagues on the board counseled me that responsibility for quarterly filings begins when \$3,000 of either revenue or expense is reached. When I reached the threshold, and the 15th day of the month following the end of that quarter approached, I began to prepare for my responsibilities for reporting by perusing the instructions on your website. It was only then that I discovered – too late, much to my dismay - that some forms, including the D-1 and A-1, have deadlines separate from and earlier than the first quarterly deadline.

Also, with regard to the A-1, please understand that the amount reported is a \$1,086.36 transfer from the Committee to Elect Mark Boyle. This was not a contribution, it was a reimbursement. Trustee Boyle and I campaigned together. I made payment of invoices to a vendor who printed materials for both of us to ensure the vendor was paid right away. Trustee Boyle reimbursed my campaign for his portion of the expenses by means of this transfer some time later. The largest actual contribution my campaign received was \$500.

I ask the Board please to consider waiving the fines. It was never my intention to be out of compliance, and I am very sorry for my mistake. I have already personally absorbed almost \$400 of campaign expenses that exceeded the total funds I was able to raise, so I would have to pay any fine you impose from my own pocket as well. I sought the position of Trustee for the Village of Lisle in an effort to be of service to the community in which I have enjoyed living my whole life. The position is unpaid other than a \$1,200 annual stipend intended to help defray some of the costs trustees incur in serving.

Thank you very much for your consideration of this matter.

Sincerely,



Cathy Cawiezel

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

12 MQ CL005

Friends for Eugene Williams (ID 19769)
Respondent

REPORT OF HEARING EXAMINER


Appeal of Civil Penalty Assessment for a Violation of Contribution Limits

During 2012 election cycle, the Committee received a \$17,600 contribution from Stough Group Inc., exceeding the \$10,000 contribution limit from a corporation to a Candidate Political Committee, resulting in a required escheatment of \$7,600 and a civil penalty assessment of \$11,400. Since this is the first contribution limit violation, the fine is reduced to \$1,140 or 10% of the above- referenced amount. As a first violation, the civil penalty is stayed.

Eugene Williams, Candidate/Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on August 23, 2012.

Eugene Williams and counsel Dennis Gianopolus appeared on behalf of the Respondent. Mr. Williams stated he was unaware of the contribution limit provision of the Act. Upon receiving the assessment notice, the Committee issued a refund to Stough Group Inc. on 6/8/12 in the amount of \$7,600. Mr. Gianopolus indicated it was impossible for the Committee to refund the overage amount within 15 business days since Mr. Williams was unaware of the \$10,000 limit until they received the Board's notice. In support of this claim, Mr. Gianopolus submitted the refund check to Stough Group Inc dated 6/8/12. Mr. Gianopolus stated the Committee reimbursed the Stough Group for the amount in excess of the limit within 30 days of receiving notification from the Board and the Committee has amended the 2012 June Quarterly Report to reflect this expenditure.

I recommend the appeal be granted. The Respondent supported his claim that the Committee returned the contribution overage amount as required by 10 ILCS 5/9-8.5(j) as amended by Public Act 97-0766. (As of 3/31/12, this Committee reported a funds available balance of \$7,894.69.)


Tara Molnar – Hearing Officer
August 27, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
May 31, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Eugene Williams
Eugene Williams
19633 Lake Park Dr.
Lynwood, IL 60411

ID# 19769

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 - 3/20/12 election cycle as defined in Section 5/9-1.9(1):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Stough Group Inc	1/17/12	\$5000		
Stough Group Inc	2/27/12	\$2600		
Stough Group Inc	8/8/11	\$10000	\$10000	\$7600
	TOTAL	\$17600	\$10000	\$7600

The committee did not return the portion of the contribution exceeding \$10000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$11400.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$1140.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures. As a first violation, this penalty will be stayed.

The total amount of \$8740.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, **only** the escheated amount of \$7600.00 must be paid within 30 days of the issuance of the Order.

State of Illinois)
)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
FRIENDS OF EUGENE WILLIAMS)
Respondent(s).)

Case No. 12MQ-CL005

APPEAL AFFIDAVIT

I, EUGENE WILLIAMS, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
FRIENDS OF EUGENE WILLIAMS
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

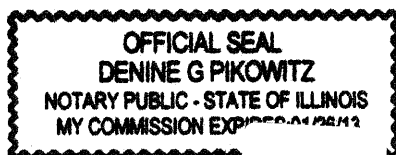
WILL PRESENT CASE AT HEARING.

Signed and Sworn to by:

before me this 6th Day of
JUNE, 2011/12

Denine G. Pikowitz
Notary Public

Eugene Williams
Signature of Chairman/Treasurer



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MQ-CL 007

Friends for Proco Joe Moreno ID# 20809
Respondent

REPORT OF HEARING OFFICER

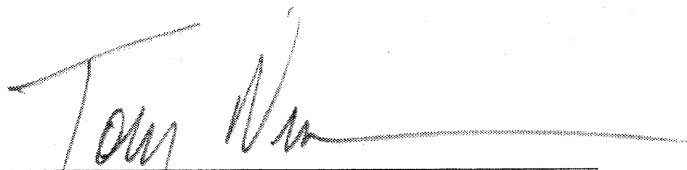
Appeal of Civil Penalty Assessment for Violation of Contribution Limits

The Committee received a \$4,000 contribution from John De Carrier on 7/14/11 and a \$1,500 contribution from John De Carrier on 3/9/12. The total contribution was therefore \$5,500, exceeding the \$5,000 contribution limit from an individual and resulting in a required escheatment of \$500 and a civil penalty assessment of \$750.

Proco Joe Moreno, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Moreno states that the second contribution, on 3/9/12, was actually a corporate check from Solano-De Carrier Management Company. He says the receipt was incorrectly reported by the Committee and will be amended. Mr. Moreno includes a copy of the cancelled check as evidence.

Mr. De Carrier is an owner and operator of Solano-De Carrier Management Company, under disclosure law the first contribution from him is considered from an individual, but based on the evidence provided the second contribution is from a corporation. Therefore no violation of contribution limits occurred, and I recommend the appeal be granted. In regards to the Committee's reporting, I further recommend the Committee be ordered to amend its Quarterly Report for the period 1/1/12 – 3/31/12 to reflect the correct source, date and amount of the second contribution. An amended Report filed by the Committee on 7/24/12, does show a contribution from Solano-De Carrier Management Co, but with a date of 2/21/11 and in the amount of \$1,000. Based on the cancelled check, the date and amount listed on the Quarterly Report are therefore incorrect. If these recommendations are accepted by the Board, the amended Report would be due within 30 days of the date of the Final Board Order in this matter. (As of 6/30/12, this Committee reported a funds available balance of \$13,276.77.)



Tom Newman – Hearing Officer
August 31, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
May 31, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends for Proco Joe Moreno
Proco Joe Moreno
1330 N Leavitt St
Chicago, IL 60622

ID# 20809

RE: Violation of Contribution Limits

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 7/1/11 - 2/26/13 election cycle as defined in Section 5/9-1.9(4):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
John De Carrier	7/14/11	\$4000		
John De Carrier	3/9/12	\$1500	\$500	\$500
	TOTAL	\$5500	\$5000	\$500

The committee did not return the portion of the contribution exceeding \$5000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$750.00, (150% of the overage amount). However, since this is the second violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$375.00, (50% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

The total amount of \$875.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid within 30 days of the issuance of the Board Order.

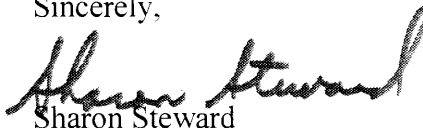
Enclosed please find Section 10 of ILCS 5/9-8.5(j) of the Act, Section 100.75(d-g) of the Rules and Regulations, and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. Appeal forms may also be found on our website, www.elections.il.gov. Notice of Appeal must be filed within 30 days of the date of this assessment letter for it to be considered.

If the above listed violation is not appealed, and since this is a subsequent violation of the contribution limits, the previously stayed fine(s) for violation of the contribution limits is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Contributed By</u>	<u>Civil Penalty</u>	<u>Escheatment</u>	<u>Total Due</u>
Wrigleyville Rooftops	\$525	\$2900	\$3425

If you have any questions regarding the appeal procedure, please call Sue Klos at 217/782-0608. If you have questions regarding the Act or the contribution limits themselves, please call the Campaign Disclosure Division at 217/782-4141.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: sk

Enclosures: appeal packet

State of Illinois)
County of Cook)

CHICAGO

2012 JUN 27 AM 10:49

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Friends for Proco Joe Moreno)
Respondent(s).)

Case No. 12 MQ-CL007

APPEAL AFFIDAVIT

I, Louisa Keefe, the Treasurer of the
(Name) (Chairman/Treasurer)

Friends for Proco Joe Moreno
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I would like to request to amend the A-1 contribution
dated 3/9/12 in the amount of \$1,500 from John DeCarrier.
The contribution was actually from the corporation
Solano De Carrier Co., LLC. We have a cancelled check
and documentation. Listing John DeCarrier as the contributor is clerical error.

Signed and Sworn to by:

Louisa Keefe

Before me this 27th Day of

June 2012

Carmen Rios
Notary Public

Louisa Keefe
Signature of Chairman/Treasurer



State of Illinois)
)
County of _____)

STATE BOARD OF ELECTIONS

12 JUN 29 AM 9:10

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12 MQ-CLOOT

FRIENDS FOR PROJOE
Respondent(s). Moreno

APPEAL AFFIDAVIT

I, Pro Joe Moreno, the Chairman of the
(Name) (Chairman/Treasurer)

FRIENDS FOR PROJOE MORENO
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

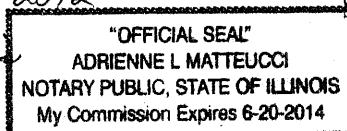
The check in question, ~~was~~ ~~not~~ ~~for~~ ~~John De Carrier~~ ~~\$1500~~
3/9/12 ~~was~~ actually a corporate check
I have included a copy

Signed and Sworn to by:

updated, I was a reporting mistake

before me this 26 Day of
June, 2012

Adrienne L. Matteucci
Notary Public



Signature of Chairman/Treasurer

I can be reached at

278

8.221.8999

for M. neuro

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

12 MQ CL-010

Jessica O'Brien for Judge (ID 22487)
Respondent

REPORT OF HEARING EXAMINER


Appeal of Civil Penalty Assessment for a Violation of Contribution Limits

During 2012 election cycle, the Committee received a \$7,231.20 contribution from Ronald Forman, exceeding the \$5,000 contribution limit from an individual to a Candidate Political Committee, resulting in a required escheatment of \$2,321.50 and a civil penalty assessment of \$3,482.25. Since this is the first contribution limit violation, the fine is reduced to \$348.00 or 10% of the above- referenced amount. As a first violation, the civil penalty is stayed.

Ronald Forman, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 3, 2012.

Attorney James P. Nally appeared on behalf of the Respondent. Ronald Forman appeared by teleconference. Mr. Forman stated on March 7, 2012 and March 9, 2012 the Committee ordered campaign materials from Capital Promotions Inc totaling \$7,231.20. The vendor required payment in full prior to processing the order. The Committee did not have access to its credit/debit card at the time the order was placed. Mr. Forman used his personal credit card to expedite the service in the effort to receive and use the materials prior to Election Day. He paid his credit card and waited to be reimbursed from the Committee. Mr. Forman did not consider the expenditure as a contribution to the Committee, however he was instructed by Board staff to report the amount charged to his credit card as a loan received. He reported the contribution as instructed and filed a Schedule A-1 Report, however, he had no knowledge the expense would be considered a contribution and the amount in violation of the limit. Mr. Forman stated in early June he received a notice from the Board of the campaign contribution violation and the Committee reimbursed Mr. Forman \$2,400, the amount in excess of the \$5,000 limit. This check was dishonored, as another check was cashed without Mr. Forman's knowledge. A new check was written and cashed on July 31, 2012. Mr. Nally submitted Respondent Exhibit 1, Committee's bank account detail from Citibank. Mr. Nally stated the Committee reimbursed Mr. Forman for the amount in excess of the limit within 30 days of receiving notification from the Board.

I recommend the appeal be granted. The Respondent supported his claim that the Committee made a good faith effort to return the contribution as required per 10 ILCS 5/9-8.5(j). (As of 3/31/12, this Committee reported a funds available balance of \$1,760.35.)


Tara Molnar – Hearing Officer
August 22, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
May 31, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Jessica O'Brien for Judge Committee
POB 64776
Chicago, IL 60664

ID# 22487

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 - 3/20/12 election cycle as defined in Section 5/9-1.9(1):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Ronald Forman	3/4/12	\$300		
Ronald Forman	3/7/12	\$6346		
Ronald Forman	3/9/12	\$585.20	\$5000	\$2321.20
	TOTAL	\$7231.20	\$5000	\$2321.20

The committee did not return the portion of the contribution exceeding \$5000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$3482.25, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$348.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures. As a first violation, this penalty will be stayed.

The total amount of \$2669.50 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, *only* the escheated amount of \$2321.50 must be paid within 30 days of the issuance of the Order.

State of Illinois)

County of COOK)

CHICAGO

2012 JUN 29 PM 4:46

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. _____

22487 JESSICA O'Brien FOR Judge
Respondent(s). Committee

APPEAL AFFIDAVIT

I, RONALD FORMAN, the Treasurer of the
(Name) (Chairman/Treasurer)

JESSICA O'Brien FOR Judge Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- 1- inadvertent charge to my credit card which was
supposed to be switched to the committee's pending
credit card that was requested; (SEE EX. A)
- 2- I was also under the wrong impression that
COMMITTEE was not considered a contribution for
PURPOSES of the \$5K contribution limit; & more

Signed and Sworn to by:

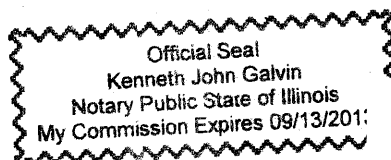
Ronald Forman
before me this 29th Day of

June, 2012

Kenneth John Galvin
Notary Public

Ronald Forman

(Signature of Chairman/Treasurer)



Account Details & Activity

Print

- [Account summary](#)

Click a column heading below to sort transactions.

07-31-2012 CHECK 1151 [view image](#) \$ 2,400.00

06-19-2012 RETURNED CHECK \$ 2,400.00 \$ 1,464.17

06-19-2012 OTHER DECREASE DELUXE CHECK CHECK/ACC. \$ 20.95

06-19-2012 OTHER DECREASE \$ 35.00

06-18-2012 CHECK 2021 [view image](#) \$ 2,400.00

Balance \$ -879.88

O'BRIEN COMM. EX. 1

200 YEARS **citi**[Print a Copy](#)[Close](#)

ATM/Debit Card: XXXX-XXXX-XXXX-1659

As of 08-03-2012 13:22 EDT

Check Details

Account	Check #	Post Date	Amount
Business Checking: 5664	2021	06/18/2012	\$ 2,400.00

**Images are available only for checks that have been paid.**

If you require a copy of this check, call 1-800-374-9700, or text telephone (TTY): 1-800-788-0002.

If you require a copy of this check, call 1-800-374-9700, or text telephone (TTY): 1-800-788-0002.

Security Tip: Check Image files may be automatically saved on the hard drive of this computer. If other people use this computer you should delete these files so that no one will have access to your check images and account information. [Learn more](#)

200 YEARS citi

Print a Copy

Close

ATM/Debit Card: XXXX XXXX-XXXX-1659

As of 08-03-2012 13:24 EDT

Check Details

Account	Check #	Post Date	Amount
Business Checking: 5664	1151	07/31/2012	\$ 2,400.00



2-7080/2710 1151

JESSICA O'BRIEN FOR JUDGE COMMITTEE

Date 7-31-12

Pay to the order of Ronald J. J. J. \$ 2,400.00

Two thousand four hundred and 00/100 Dollars

citibank

CITIBANK N.A. 60 WALL STREET
NEW YORK, NY 10038

payment to loan [Signature]



DO NOT WRITE IN THESE SPACES

ENDORSE HERE

Ronald J. J. J.

STATE OF ILLINOIS

THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

12MQ-CL014

Joy Cunningham for Justice ID# 23691
Respondent

REPORT OF HEARING EXAMINER


Appeal of Civil Penalty Assessment for a Violation of Contribution Limits
Received during the March 2012 Quarterly Reporting Period

This committee received an in-kind contribution on 9/20/11 for \$5,000 and a \$500 contribution on 2/8/12 which exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$5,000 to the contributor or donate the same amount to a charity within 15 days as required by statute, resulting in a required escheatment of \$500 and a civil penalty assessment of \$750.

The Respondent was represented by attorney Richard Means at the August 15th appeal hearing. Also in attendance was the treasurer of the Committee, Vance DuRivage.

The Respondents explained that Delores Jordan the mother of the famous basketball player Michael Jordan gave the committee an in-kind contribution in the form of an autographed basketball by her son. The value of the basketball was estimated to be around \$5,000 and it was later determined at auction the market value was actually \$2,000. The Committee amended the September 2011 Quarterly Report to reflect the market value of the basketball on 7/16/12. Taking into account the market value that was determined at auction (\$2,000) together with the \$500 that Delores Jordan contributed it can be determined that the contributor did not exceed contribution limits.

Mrs. Jordan gave an autographed basketball to the Committee that was signed by her son, Michael Jordan. She speculated the ball to be worth \$5,000 and at auction the basketball sold for \$2,000. The Committee amended their September 2011 Quarterly Report to reflect the price the basketball sold for rather than the speculated value of the ball. Taking into consideration that the in-kind contribution amount has been amended to \$2,000, the amended amount is equal to the amount the item was sold for at an auction, and this amended amount plus Mrs. Jordan's other contribution does not exceed contribution limits, I recommend the appeal be granted. If the Board accepts this recommendation the escheatment to the General Revenue Fund would not be required.



Andy Nauman – Hearing Officer
August 15, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
May 31, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Joy Cunningham for Justice
Vance DuRivage
1429 W Catalpa Ave
Chicago, IL 60640

ID# 23691

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 - 3/20/12 election cycle as defined in Section 5/9-1.9(1):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Deloris Jordan	9/20/11	\$5000		
Deloris Jordan	2/8/12	\$500	\$5000	\$500
	TOTAL	\$5500	\$5000	\$500

The committee did not return the portion of the contribution exceeding \$5000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$750.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$75.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures. As a first violation, this penalty will be stayed.

The total amount of \$575.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, **only** the escheated amount of \$500.00 must be paid within 30 days of the issuance of the Order.

State of Illinois)
) SS.
County of Cook)

STATE BOARD OF ELECTIONS

12 JUL -1 AM 10:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:

Illinois State Board of Elections,)
Complainant)

Vs.)

Case No. 23691

Joy Cunningham For Justice (ID# 23691),)
Respondent)

APPEAL AFFIDAVIT, REQUEST FOR HEARING

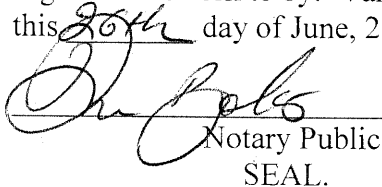
I, Vance du Rivage, the Chairman of Joy Cunningham for Justice committee, after first being duly sworn deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty issued on May 31, 2012 and that such reasons and defenses are:

The contributor in question, Deloris Jordan, is the mother of the famous basketball player Michael Jordan. Mrs. Jordan made an in-kind contribution of a basketball autographed by her son. She speculated that the contribution had a market value of \$5000. On September 20, 2011, Respondent Committee filed an A-1 showing a contribution in that amount. Recently, the Committee had a fundraiser in the form of an auction and the basketball was sold but only realized \$2000. We therefore determined that the true market value was \$2000 and we amended the third quarter 2011 D-2 report to more accurately reflect the value of Mrs. Jordan's contribution. Taken together with Mrs. Jordan's \$500 contribution received on February 8, 2012, Mrs. Jordan has not contributed in excess of her \$5000 limit during the Primary Election Cycle and the appeal should therefore be granted and the civil assessment should be dismissed.

The Committee respectfully requests a hearing at which to present its evidence of the above-detailed facts.


(Signature of Chairman)

Signed and sworn to by: Vance du Rivage
this 20th day of June, 2012


Notary Public
SEAL.



BEFORE THE ILLINOIS STATE BOARD OF ELECTIONS

Illinois State Board of Elections

)
Complainant,)

vs.)

) Case #: _____
)

Joy Cunningham For Justice)

)
Respondent.)

Appearance

I, Richard K. Means, a Illinois licensed attorney, hereby enter my appearance as attorney for the Respondent, **Joy Cunningham For Justice**, in the above-captioned case.

I agree to accept service of documents by facsimile and/or email. Email is preferred.

I agree to waive the necessity of formal service of any documents in exchange for actual in-hand delivery to me or a member of my staff.



Richard K. Means

June 27, 2012

Contact information for service and notices pursuant to Board Rules:

Richard K. Means

ARDC Attorney #01874098

Cook County Attorney #27351

24 hour 7 day contact information:

Email: Rmeans@RichardMeans.com

Web site: www.RichardMeans.com

806 Fair Oaks Avenue

Oak Park, Illinois 60302

Telephone: (708) 386-1122

Facsimile: (708) 383-2987

Cellular (312) 391-8808

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

12 MQ-CL 018

Citizens for Chester Slaughter
Respondent

ID# 24279

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Violation of Contribution Limits

The Committee received a \$4,000 contribution from Frieda Williams on 3/6/12 and a \$5,000 contribution from Frieda Williams on 3/11/12. The total contribution was therefore \$9,000, exceeding the \$5,000 contribution limit from an individual and resulting in a required escheatment of \$4,000 and a civil penalty assessment of \$6,000.

Chester Slaughter, the Candidate of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Slaughter states that Frieda Williams is his mother. He includes an affidavit from his mother affirming her contributions and married name, as well as a copy of a birth certificate and a photo id to verify the claim.

Section 100.75(i) of the Board's Rules and Regulations states, "*For purposes of Section 9-8.5(h), contributions or loans from a public official or a candidate, or a public official's or candidate's immediate family, to the public official's or candidate's political committee shall not be subject to the contribution limits found in Section 9-8.5.*" Section 9-8.5(h) defines immediate family as the spouse, parent or child of a public official or candidate. Therefore, as the parent of the candidate, the contributions from Frieda Williams to this Committee are not subject to contribution limits. I recommend the appeal be granted. (As of 6/30/12, this Committee reported a funds available balance of \$ 51.41.)



Tom Newman – Hearing Officer
August 31, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
May 31, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Chester Slaughter
7318 S Cottage Grove Ave
Chicago, IL 60619

ID# 24279

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 - 3/20/12 election cycle as defined in Section 5/9-1.9(1):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Frieda Williams	3/6/12	\$4000		
Frieda Williams	3/11/12	\$5000	\$5000	\$4000
	TOTAL	\$9000	\$5000	\$4000

The committee did not return the portion of the contribution exceeding \$5000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$6000.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$600.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures. As a first violation, this penalty will be stayed.

The total amount of \$4600.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, **only** the escheated amount of \$4000.00 must be paid within 30 days of the issuance of the Order.

State of Illinois)

County of)

Coak

CHICAGO

2012 JUN 28 PM 2:24

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12 MQ-CL018

Citizens For Chester Slaughter
Respondent(s).

APPEAL AFFIDAVIT

I, Chester Slaughter, the Chairman of the
(Name) (Chairman/Treasurer)

Citizens For Chester Slaughter
(Name of the Committee)

Committee, first being duly sworn deposes and states that ~~he~~ she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The committee has been following all the rules and regulation pursuant to 10 ILCS 5/9-8.5 of the Election Code, but was not aware that the candidate's mother was prohibited from donating the amount that she gave him, she considered the donation to be a gift

Signed and Sworn to by:

before me this 27 Day of
June, 2012

Sedonia Phillips
Notary Public

Chester Slaughter
Signature of Chairman/Treasurer

OFFICIAL SEAL
SEDONIA PHILLIPS
Notary Public - State of Illinois
My Commission Expires 1

AFFIDAVIT OF FREIDA WILLIAMS

1. Freida Slaughter being first duly sworn and on oath states that she was born on January 6 1925, in Chicago, Illinois to the union of George and Corrine Slaughter.
2. That Freida Slaughter is the second oldest child of five brother and sisters.
3. That Freida Slaughter has only one son born to her, his name is Chester Slaughter.
4. That Freida married George Williams on March 20, 1970.
5. That as a result of that marriage she took the surname of Williams.
6. That during the January 1, 2011- March 20, 2012 election cycle, Freida Williams the mother of Chester Slaughter ran for the office of Judge of the Second Judicial Sub-Circuit.
7. That on March 6, 2012 Frieda Williams made a contribution of \$5,000.00 to the campaign of Chester Slaughter.
8. That on March 11, 2012 Freida Williams made an additional contribution to Chester Slaughter in the amount of \$4,000.00.
9. That Freida Williams was not aware of the fact that her contribution of \$4,000.00 was in violation of the contribution limits provided in 10 ILCS 5/9-8.

AFFIANT FURTHER SAYETH NAUGHT

Freida Williams

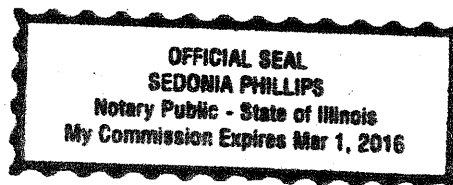
FREIDA WILLIAMS

SUBSCRIBED AND SWORN before me

this 19th day of June 2012

Sedonia Phillips

Notary Public



AUG 27 1969

STATE OF ILLINOIS, /
County of Cook, / ss.

I, EDWARD J. BARRETT, County Clerk of the County of Cook, in the State aforesaid, and Keeper of the Records and Files of said County, do hereby certify that the attached is a true and correct copy of the original Record on file, all of which appears from the records and files in my office.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the Seal of the County of Cook, at my office in the City of Chicago, in said County.

Edward J. Barrett

County Clerk

Illinois

Jesse White - Secretary of State

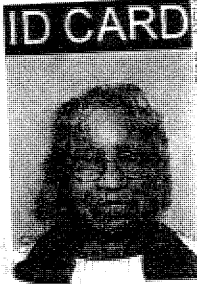
NUMBER ISSUED
4522-5725-606W11-01-01

EXPIRES
99-99-99

ID CARD

FREIDA S WILLIAMS
49 WEST 83RD STREET
CHICAGO IL 60620

Birthdate 01-06-25 SS#
Female 5'00" 115 lbs BRN Eyes
Type Class
LIFETIME



Freida S. Williams

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, IL 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: September 6, 2012

Listed below are committees that have been assessed a civil penalty for the delinquent filing of the March 2012 Quarterly report and "multi-assessments". These violations were not appealed & should be issued a Final Board Order.

March 2012 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Prev. Violations
22906	Citz for Tom Caravette	250	None
23812	Friends of Robert Reyes	50	1 q
MA "Multi-assessments" (Quarterly & A-1)			
Cmte No	Cmte Name	Amt of Fine	% Reduction
1152	Independent Finance PAC	800/2670	267
MA "Multi-assessments"(Quarterlys)			
Cmte No	Cmte Name	Amt of Fine	Prev. Violations
21057	1 st Ward Dem Committeeman Fund	30,400	D1, semi

(** - DENOTES CMTE.S THAT HAVE FILED A FINAL REPORT)

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

To: Rupert T. Borgsmiller, Executive Director, Members of the Board
Re: Payment of Civil Penalties - Informational
Date: 9/6/2012

The following committees have made payment of outstanding civil penalties for the period
of 8/13/2012 to 9/6/2012

Cmte ID	Cmte Name	Amt of Check
23691	Joy Cunningham for Justice	\$2,750.00
7054	Tax Accountability	\$900.00
21503	Citizens for Lofchie	\$25.00
12697	Citizens for Thaddeus Jones	\$525.00
23773	Mike Smiddy for State Representative	\$2,042.00
17239	Citizens for Officer	\$1,775.00
22175	Friends of Jim Moody	\$50.00
822	NISMACNA Contractors Assn Inc	\$965.37
822	NISMACNA Contractors Assn Inc	\$509.64
14153	Citizens for Lyle	\$250.00
22764	Friends of Sherman Jones	\$625.00
23715	Committee to Elect Nathaniel R Howse	\$200.00
4320	Citizens For Davis	\$3,950.00
23280	Citizens for Tim Durkee	\$1,700.00
24210	Voters for Mary Brigid Hayes	\$1,300.00
24128	Citizens to Elect Judge Tommy Brewer	\$2,287.00
22097	Brady PAC - Illinois	\$125.00
17243	Teamsters Joint Council No 65	\$100.00
24081	Citizens for Anthony Martin	\$825.00
23811	Friends of Winston Taylor	\$535.00
21676	Citizens for Deyon Dean	\$2,500.00
23872	Citizens to Elect William S Boyd	\$1,525.00
14286	Illinois Roofing Contractors PAC	\$300.00
23286	Citizens to Elect Kenneth Harris	\$400.00

Civil Penalties Paid for this Period: \$26,164.01

Escheatment Payments

23774 Pliura for Senate \$994.00

Anonymous Donation

23681 Friends of Judge Carolyn Smoot \$10.00

Total amount collected for this period: \$27,168.01

Year to Date Totals:

12/30/11 to 2/1/12: \$69,380.71
2/2/2012 to 3/7/2012: 12,444.50
3/8/12 to 4/9/12: \$11,077.00
4/10/12 to 5/8/12: \$52,537.67
5/18/12 to 6/7/2012: \$5,743
6/8/12 to 7/2/12: \$48,968.79
7/3/12 to 8/13/12: 35876.82
8/13/12 to 9/6/12: \$27,168.01

Payment Plans:

945 Cook County Democratic Women
14153 Citizens for Lyle
20809 Friends for Proco Joe Moreno
21676 Citizens for Deyon Dean

STATE BOARD OF ELECTIONS

2329 South MacArthur Blvd.

Springfield, Illinois 62704

217/782-4141

Sharon Steward

Director, Division of Campaign Disclosure

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss, General Counsel

Re: Proposed Rule Changes

Date: September 5, 2012

Attached are proposed changes to Parts 100 and 125 of Board Rules and Regulations governing Campaign Disclosure. Some changes are non-substantive, but most result from Public Act 97-0766, which was effective August 6, 2012, and from other issues that have arisen since the implementation of prior legislative changes dealing with election cycles, contribution limits, committee designations, and audit procedures.

TITLE 26: ELECTIONS
CHAPTER I: STATE BOARD OF ELECTIONS

PART 100
CAMPAIGN FINANCING

Section	
100.10	Definitions
100.20	Official Forms
100.30	Forwarding of Documents (Repealed)
100.40	Vacancies in Office – Custody of Records
100.50	Multiple Filings by State and Local Committees (Repealed)
100.60	Filing Option for a Federal Political Committee
100.70	Reports of Contributions and Expenditures
100.75	Limitation on Campaign Contributions
100.80	Report Forms
100.85	Independent Expenditures
100.90	Provision Circumvention
100.100	Proof of Identification; Application for Inspection and Copying (Repealed)
100.110	Loans by One Political Committee to Another
100.120	Receipt of Campaign Contributions
100.125	Receipt by Mail of Quarterly Reports of Campaign Contributions and Expenditures
100.130	Reporting by Certain Nonprofit Organizations (Repealed)
100.140	Prohibited Contributions – State Property
100.150	Electronic Filing of Reports
100.160	Good Faith
100.170	Sponsoring Entity
100.175	Audit Findings for Political Committees
100.180	Business Entity Registration Procedures
100.185	Assessment of Civil Penalties
100.APPENDIX A	Contributions Allowed Per Election Cycle
100.TABLE A	Contribution Limits Per Election Cycle
100.TABLE B	Election Cycles

AUTHORITY: Implementing Article 9 of the Election Code [10 ILCS 5/Art. 9] and authorized by Section 9-15(3) of the Election Code [10 ILCS 5/9-15(3)].

SOURCE: Amended at 5 Ill. Reg. 1337, effective January 30, 1981; amended at 5 Ill. Reg. 12115, effective October 26, 1981; codified at 6 Ill. Reg. 7211; amended at 7 Ill. Reg. 225, effective December 16, 1982; amended at 14 Ill. Reg. 10824, effective June 22, 1990; amended at 16 Ill. Reg. 6982, effective April 21, 1992; amended at 18 Ill. Reg. 14707, effective September 9, 1994; amended at 21 Ill. Reg. 10044, effective July 21, 1997; emergency amendment at 23 Ill. Reg. 719, effective January 4, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 6796, effective May 24, 1999; emergency amendment at 24 Ill. Reg. 13039, effective August 9, 2000, for a maximum of 150 days; emergency expired January 5, 2001; amended at 24 Ill. Reg. 14214, effective September 11, 2000; amended at 29 Ill. Reg. 18785, effective November 7, 2005; amended at 30 Ill. Reg. 10261, effective June 1, 2006; amended at 30 Ill. Reg. 17496, effective November 3, 2006; amended at 31 Ill. Reg. 7142, effective May 1, 2007; emergency amendment at 33 Ill. Reg. 332, effective January 1, 2009, for a maximum of 150 days; emergency expired May 30, 2009; emergency amendment at 33 Ill. Reg. 9809, effective June 29, 2009, for a maximum of 150 days; emergency expired November 25, 2009; amended at 34 Ill. Reg. 274, effective December 15, 2009; amended at 34 Ill. Reg. 10521, effective July 9, 2010; amended at 35 Ill. Reg. 2295, effective February 4, 2011; amended at 35 Ill. Reg. 12973, effective July 19, 2011

Section 100.10 Definitions

a) General Definitions

"Article 9" means Article 9 of the Election Code (campaign disclosures, contributions and expenditures).

"Board" means the Illinois State Board of Elections.

"Election Code" or "Code" means 10 ILCS 5.

"File", "Filed" or "Filing" means:

The statement, report or document being filed is in apparent and substantial conformity with the requirements of the Election Code. Apparent and substantial conformity requires that the filing contain the following:

The signature of the person making the filing;

Completion of all applicable sections of the report; and

Attachment of all appropriate schedules.

Inadvertent error or omission of a de minimus nature in the completion of a report, statement or document shall not be deemed to be a "willful failure to file or a willful filing of false or incomplete information" under Section 9-26 of the Election Code.

"Immediate Family" means the spouse, parent or child of the public official, candidate or any other person referred to in this Part. A parent shall include a stepparent or adoptive parent. A child shall mean a biological, adopted or stepchild.

"Labor Union" means any organization of any kind, or any agency or employee representation committee or plan, in which employees participate and that exists for the purpose, in whole or in part, of bargaining with employers concerning grievances, labor disputes, wages, rates of pay, hours of employment or conditions of work.

"Public Office" means, among other things, an elective office. The term includes the political party offices of state central, county, ward, township and precinct committeeman.

"Signature" or "Signed", as used in Article 9 and this Part, includes electronic signatures attached and made a part of electronic records submitted to the State Board of Elections pursuant to Section 9-28 of the Election Code.

"Submit" or "Submitting", as used in Section 9-11 of the Election Code, means actually filing a report with the Board through the following methods:

uploading a report electronically or, if accomplished at a Board office or with the assistance of Board staff, the committee representative is present and/or authorizing the report filing;

using the U.S. Postal Service, overnight delivery, or any other delivery service;

hand delivering a report to the Board; or

faxing a Schedule A-1 to the Board.

With the exception of the chairman or the treasurer, the person submitting the report on behalf of the committee must list himself or herself as having submitted the report.

b) Definitions Interpreting Specific Sections of the Election Code

1) Assets

- A) Reference: This definition of assets interprets or applies to Section 9-5 of the Election Code.
- B) An asset is an item of property, other than cash or services, of any kind, tangible or intangible, that has either a fair market or salvage value in excess of \$150.

2) Candidate

- A) Reference: This subsection (b)(2) interprets or applies to Section 9-1.3 of the Election Code.
- B) "Candidate", as that term is defined in Section 9-1.3 of the Election Code, shall include, but not be limited to:
 - i) A person who circulates or authorizes the circulation of nominating petitions on his or her behalf for public office;
 - ii) An individual who receives contributions or makes expenditures or gives consent for any other person to receive contributions or make expenditures for the purpose of bringing about his or her nomination for election or re-election to any office;
 - iii) Any judicial incumbent who qualifies for retention.

3) Contributions and Anything of Value

- A) Reference: This subsection (b)(3) interprets or applies Sections 9-1.4, 9-1.5, 9-1.6, 9-1.8, 9-1.9, 9-1.10, 9-1.12, 9-1.14 and 9-1.15 of the Election Code [10 ILCS 5/9-1.4, 9-1.5, 9-1.6, 9-1.8, 9-1.9, 9-1.10, 9-1.12, 9-1.14 and 9-1.15].
- B) The term "anything of value", as used in Sections 9-1.4, 9-1.5 and 9-1.12 of the Election Code, means any item, thing, service or goods, regardless of whether valued in monetary terms according to ascertainable market value.
- C) "Anything of value" that does not have an ascertainable market value may be reported by describing the item, thing, service or goods contributed; however, nothing in this subsection (b)(3) relieves a committee or a contributor of the duty to provide as accurate an assessment of value as possible.
- D) Interest, other investment income, earnings or proceeds, and refunds and returns shall not be reported as a contribution, but shall be reported as a receipt according to this subsection (b)(3). For purposes of reporting campaign receipts and expenses, income from investments shall be included as receipts during the reporting period they are actually received. The gross purchase price of each investment shall be reported as an expenditure at time of purchase. Net proceeds from the sale of an investment shall be reported as a receipt. During the period investments are held, they shall be identified by name and quantity of security or instrument on each quarterly report during the period. The value of each instrument as of the day the reporting period closes shall be included for each

asset held as an investment.

- E) In addition to the items expressly excluded in the Election Code, the terms "anything of value" and "contribution" shall not be deemed to include:
- i) Any unreimbursed payments for travel or living expenses related to travel made by an individual who volunteers services on behalf of a candidate or political committee;
 - ii) Any news story, commentary, endorsement or editorial of any broadcasting station, newspaper, magazine or other periodical publication;
 - iii) Any publication by a membership association or corporation to its officers, employees, members, stockholders, or members of the immediate families of these persons, so long as the membership association or corporation is not organized primarily for the purpose of influencing the nomination for election, election, or retention of any candidate, or supporting or opposing any question or questions of public policy;
 - iv) The occasional use of real property of a person or whoever, as defined in Section 9-1.6 of the Election Code, and as defined in Section ~~100.10(g)~~ 100.10(b)(4) of this Part, for the purpose of conveying information to officers, employees, members or stockholders of an association or a corporation, and the immediate families of these persons, including but not limited to the use of the premises for the purpose of a candidate communicating directly with officers, employees, members or stockholders and the immediate families of these persons;
 - v) Unrealized appreciation or loss of value of investments during the period they are held.
- F) A loan of money from a bank, credit union, or other financial institution to a candidate or public official, or his or her political committee, shall not be listed as a contribution from that institution. However, the loan must still be reported on political committees' quarterly reports. Security for a loan, if provided by a person other than the candidate or the candidate's political committee, does qualify as a contribution and shall be reported as having come from the person who provided it.
- G) Independent expenditures are not contributions, as that term is defined in Section 9-1.4 of the Election Code. Independent expenditures are those made for the purpose of electioneering communication, as that term is defined in Section 9-1.14, or that expressly advocates the election, nomination or defeat of a public official or candidate or for or against any question of public policy to be submitted to the voters and that is not made in cooperation, concert or consultation with, or at the request or suggestion of, the public official or candidate. Communications that expressly advocate the election, nomination or defeat of a public official or candidate or for or against any question of public policy to be submitted to the voters are those that unequivocally state in the communication that the public official or candidate ought to be elected, nominated or defeated or the question of public policy ought to be approved or defeated. These communications typically contain the terms "vote for", "elect" or, in the case of expressly advocating the defeat of a candidate, "vote against",

"vote no", "defeat", etc.

- H) "Clearly identifiable candidate" means the candidate's name (first name and surname) but does not necessarily have to include the candidate's middle name or middle initial. A clearly identifiable candidate can also be one that is described in such a way as to exclude any other candidate so as to leave no doubt in the mind of the person being communicated to as to whom the communication is referring. For example: "The Democratic Party's candidate for Mayor", "Congressman Jones", or "the former Republican candidate for Congressman who was defeated at the most recent General Election". A clearly identifiable candidate can also be described by use of a photograph or other visual image or likeness.
 - I) A communication by a corporation, a limited liability company, or an association to its members or stockholders and executive or administrative personnel, or the immediate families of these persons, is not a contribution. For purposes of this Part, a corporation is one that is registered with the Business Services Division of the Illinois Secretary of State or is similarly registered with any other state in compliance with that state's laws or that operates as or holds itself out as a corporation so that it would be required to register with the Illinois Secretary of State, regardless if it has taken affirmative action to so register. For purposes of this Part, an association is defined broadly to include any group of persons or entities that have a common purpose and that have an organizational structure with an existing membership roster and governing by-laws or other similar rules. An association includes those that are both for-profit and not-for-profit (however the entity does not necessarily have to be organized under the laws of this or any other state) and includes a labor union as that term is defined in subsection (a).
 - J) A voter registration campaign or other Get Out The Vote (GOTV) activity is not deemed to be "anything of value" or a "contribution", so long as the campaign or activity makes no mention of any clearly identified candidate, public question, political party, group or combination of these entities.
- 4) Person or Whoever
- A) Reference: This subsection (b)(4) interprets or applies Section 9-1.6 of the Election Code.
 - B) The terms "other organizations" and "groups of persons" as defined in Section 9-1.6 of the Election Code shall include, but not be limited to, all corporations, labor unions, trade associations or other such groups, religious organizations, fraternal societies, luncheon and dinner organizations, etc.
- 5) Political Committee
- A) Reference: This subsection (b)(5) interprets or applies Sections 9-1.8 and 9-1.9 of the Election Code.
 - B) A person or whoever, as defined in Section 9-1.6 of the Election Code and in subsection (b)(4), of this section does not qualify as a political committee pursuant to Article 9 of the Election Code by simply making a contribution from his or her personal income or profits, regardless of the amount of the donations. If an entity, other than a natural person, makes an independent expenditure or expenditures in aggregate within a 12 month period in excess of \$3,000 supporting or opposing public officials or candidates, then the entity qualifies as a political committee.

- C) If a person or whoever solicits or receives funds for political purposes, he or she would, in fact, become a political committee and would have to comply with all provisions of Article 9 of the Election Code. The provisions of this subsection (b)(5) shall not apply to those persons who accept contributions from at least 5 individuals as provided in Section 9-6 of the Code.
- D) Political committees shall include candidate committees, political party committees, political action committees, and ballot initiative committees, and independent expenditure committees as those terms are defined in Section 9-1.8 of the Election Code. Candidates who form a new political party under Section 10-2 of the Code may collectively form a political party committee to support their candidacy or each candidate may individually form candidate committees. Groups of candidates who run as either independents under Section 10-3 of the Code, or as non-partisan candidates by virtue of the office being non-partisan pursuant to statute, may collectively form a political action committee to support their candidacy, or may individually form a candidate committee. Candidates of established political parties may collectively form a political action committee to support their candidacy. Candidates who exercise the option of forming a political action committee may not include the names of any of the candidates in the name of the political action committee. A political action committee must, however, include the name of the office that the candidates are seeking and the name of the political subdivision or unit of local government to which the office pertains. In all cases except political party committees, political committees are limited to those that accept contributions or make expenditures or independent expenditures in an aggregate amount exceeding \$3,000 on behalf of or in opposition to candidates, or, in the case of a ballot initiative committee, in support of or opposition to questions of public policy.
- i) Political Party Committees referred to in Section 9-1.8(c) of the Election Code include "legislative caucus committees" and are defined as caucuses that are established by either 5 or more members of the same caucus in the Senate or 10 or more members of the same caucus in the House of Representatives. These committees shall include any caucus declared by its membership to be a caucus. If the number of caucus members of a given caucus committee decreases below the designated threshold (5 Senate/10 House members), the caucus committee shall become a political action committee, as that term is defined in Section 9-1.8 of the Code, and be subject to the contribution limits pertaining to political action committees established in Section ~~9-8.6(d)~~ 9-8.5(d), unless the caucus committee either fills the vacancy or dissolves within 5 business days after the date the vacancy occurred.
- ii) A committee formed by a ward or township committeeman of a political party shall be designated as a political party committee. Pursuant to 10 ILCS 5/7-8(b), only ward committeemen in the city of Chicago and township committeemen in Cook County qualify for such designation. Nothing in this paragraph shall be construed to limit the ability of a ward or township committeeman from forming a candidate committee in support of his own candidacy.
- ~~ii)~~ iii) For purposes of Section 9-1.9 of the Code, a judicial candidate running for retention subsequent to his or her first retention candidacy following the candidate's election shall be subject to the election cycle established in Section 9-1.9(3), except that the period shall begin on January 1 following the candidate's retention (as opposed to his or her

election) and extending to the day the candidate files his or her next declaration to seek retention and the period beginning after that day and extending to December 31 following the candidate's retention election. This judicial retention election cycle is subject to the fundraising restrictions contained in Canon 7 of Rule 67 of the Rules of the Illinois Supreme Court (committees established to support judicial candidates may not solicit contributions more than 1 year preceding the election in which the candidate is seeking judicial office or retention thereto, and no later than 90 days following such election).

iii)iv) Any corporation, labor organization, political committee or association that acts as a conduit in facilitating the delivery of dues, levies or similar assessments to a political action committee as provided in Section 9-8.5(i) of the Code shall not be considered to be a political action committee within the meaning of the disclosure and regulation requirements of Article IX of the Code.

iv)v) For purposes of Section 9-1.9(4) of the Code, the election cycle for a candidate political committee organized to support a candidate to be nominated or elected at a consolidated primary election or elected at a consolidated election, or municipal or runoff election in cities of 1,000,000 or more population occurring on the date of the regularly scheduled consolidated primary or consolidated election, shall run from:

- the period beginning July 1 following the consolidated election for which the candidate seeks nomination or election and ending on the day of the next consolidated primary election for that office; or
- the period beginning the day after a the consolidated primary election for the office to which the candidate seeks nomination or election and through June 30 following that the consolidated election held that year.

These election cycles apply regardless of whether the candidate only appears on either the consolidated primary ballot or the consolidated election ballot.

vi) If a candidate political committee established for multiple offices elected at different elections changes its election cycle pursuant to Section 9-2(b) of the Code, the committee shall be subject to the new election cycle established under Section 9-1.9, and to the contribution limits for the new election cycle contained in Section 9-8.5(b) of the Code. Contributions received by the committee prior to the date of the establishment of the new election cycle will be counted towards the contribution limit for each contributor, with the following exception: such contributions shall not be considered to have been received in excess of contribution limits if the limit was exceeded solely because of the establishment of the new election cycle. However, for the remainder of the new election cycle, the committee would be considered to have received the maximum allowable contribution from that contributor for that election cycle, and would be prohibited from receiving any additional contributions from that contributor during the remainder of the new election cycle.

E) If an entity forming a political action committee under Section 9-2(d) is not a

clearly identifiable trust, partnership, committee, association, corporation or other organization, but rather a group of persons lacking any formal organizational structure, the name of the political committee shall include the name (first and last) of the person or persons responsible for its formation or its continuing operation.

F) The name of a ballot initiative committee must include a brief description of the question or questions and whether the committee is organized to support or oppose the question or questions. The name shall not exceed 70 characters (based on U.S. Post Office restrictions applicable to mailing labels) and shall include keywords that would provide a reasonable person with a general understanding of the subject matter of the question or questions and whether the committee was formed to support or oppose the question or questions.

G) A political committee that fails to make the designation required by Section 9-2 of the Code on or before December 31, 2010 may be subject to a complaint filed by the Board or a third party. The complaint shall be filed under the provisions of Sections 9-20 and 9-21 of the Code.

G) A candidate political committee of a former officeholder or supporting a now deceased candidate or officeholder may, subject to the applicable contribution limits, maintain the committee as a candidate committee, close the committee and dispose of any remaining funds as indicated on its D-1 Statement of Organization, or convert the committee to a political action committee by filing an amended D-1 Statement of Organization amending the committee type, as well as the name, purpose of the committee, and any other information that has changed.

6) Statement of Organization

A) Reference: This subsection (b)(6) interprets Section 9-3 of the Election Code.

B) A committee officer must, in filling out the Form D-1, use the name that appears on his or her birth certificate, baptismal record, voter's registration card, statement of candidacy or nominating petition, or any other name by which the officer is commonly known in the community in which the officer resides. Aliases created for the purpose of filing under Article 9 of the Election Code may not be used.

C) The prohibitions contained in Section 9-3(d)(iii) and Section 9-3(d-5)(iii) of the Election Code against making contributions from a ballot initiative committee or an independent expenditure committee to a candidate or candidates for nomination for election, election or retention to public office shall not include refunds of contributions to the candidate so long as the refund does not exceed the amount the candidate originally contributed.

D) For the purpose of this subsection (b)(6), the term "person" contained in the definition of "sponsoring entity" shall not include a political committee. The term "sponsoring entity" is defined in Section 100.170.

E) A complaint for willfully filing a false or incomplete Statement of Organization shall be subject to the provisions of Sections 9-20 and 9-21 of the Code.

(Source: Amended at 35 Ill. Reg. 2295, effective February 4, 2011)

Section 100.20 Official Forms

- a) Reference: This Section interprets or applies Sections 9-7.5, 9-10(a) and 9-15(1) of the Election Code.
- b) Political committees are required to use only the official forms or photostatic copies of official forms and appropriate schedules approved by the State Board of Elections when filing any disclosure reports, except as otherwise permitted under Section 100.80. Alternative methods of reporting are prohibited unless prior written approval has been received by the political committee from the State Board of Elections. Prior written approval will be given based on the compatibility of alternative methods with the Board's present system.

(Source: Amended at 30 Ill. Reg. 17496, effective November 3, 2006)

Section 100.40 Vacancies in Office - Custody of Records

Reference: This Section interprets or applies Sections 9-2 9-3, 9-5, 9-7, 9-10, 9-13 and 9-15 of the Election Code.

- a) **Death**
Upon the death of the treasurer of a committee, the candidate or, if the candidate is unable or unwilling to act, the remaining officers of the committee shall appoint a new treasurer and amend the Statement of Organization (Form D-1) within 10 days after the date of death of the treasurer. In the event there is no candidate or remaining officers of the committee, the person or persons who succeed to the interests of the committee in its funds shall be responsible for filing all appropriate reports until such time as new officers are chosen or the committee terminates.
- b) **Removal from Office**
In the case of a single candidate related committee whose officers were originally named by the candidate, the candidate shall have the right to remove any and all officers of his or her committee, provided the removal be done in writing and that the candidate comply with all requirements of the Act in the absence of officers for the candidate related committee. If a candidate removes from office any or all officers of the committee, all records related to the committee shall be maintained by the candidate. If former officers request, the candidate shall allow them access to records and provide reasonable opportunity to make copies.
- c) **Resignation**
If the treasurer and all other officers resign and no new officers are appointed, the former treasurer and officers or, in the case of candidate related committees, the candidate shall be responsible for terminating the committee. When an individual vacates the position of treasurer, he or she shall verify the accuracy of his or her records to the succeeding treasurer. The succeeding treasurer shall not be held responsible for the veracity or accuracy of the records of the predecessors.
- d) **Inability to Sign**
All reports shall be verified, dated and signed by either the treasurer of the political committee making the statement or the candidate on whose behalf the statement is made. However, should it be impossible for the political committee to obtain the signature of the treasurer or candidate prior to the filing deadline, then another may sign for the treasurer, provided that the treasurer submits a letter within 30 days after the filing indicating that the substituted signature is authorized and the treasurer accepts responsibility as if he or she had signed. The substituted signature shall read, "treasurer's name, by name of person signing". If the treasurer failed to submit a letter within 30 days, then the report filed shall be considered a nonfiling.
- e) All reports, original reports, and other campaign documents required to be kept by a political committee under Article 9 of the Election Code remain the property of the political committee. No chairman, treasurer or candidate shall have any proprietary or possessory interest in the

documents in derogation of the rights of the committee itself.

- f) If any political committee changes any officers, all records, statements and reports in the possession of the outgoing officers shall be transferred within 10 days following the change to the person or persons newly responsible for the maintenance of those records and/or the filing of reports.
- g) If any outgoing officer fails to turn over the records in his or her care to a successor, in accord with this Section, or if any officer attempts to withhold records from other officers of the committee, the committee chairman, the treasurer, or the candidate may file a complaint before the Board requesting a turnover order.
- h) A committee that fails to preserve the records and accounts required by Section 9-7 of the Election Code or by this Part for the periods required by statute or rule may be required to reconstruct its records and accounts if doing so is necessary to the audit of its records. If a committee is required to reconstruct its records, it must pay all of the costs and charges, including bank or accountants fees, for the reconstruction of the records.

(Source: Amended at 35 Ill. Reg. 2295, effective February 4, 2011)

Section 100.70 Reports of Contributions and Expenditures

- a) Reference: This Section interprets or applies Sections 9-6, 9-10 and 9-11 of the Election Code.
- b) All contributions, as that term is defined in Section 9-1.4 of the Election Code, of \$1,000 or more, including loans and in-kind contributions, must be reported to the State Board of Elections on a Schedule A-1 within 5 business days after receipt, except that, if the contribution is received within 30 days prior to an election, the contribution must be reported on a Schedule A-1 within 2 business days after receipt. In order to determine whether the report shall be filed within 2 business days rather than 5 business days after receipt, any contribution of \$1,000 or more that is received within 30 days prior to an election shall be reported within 2 business days. The requirement to file a Schedule A-1 Report within 2 business days shall only apply to those committees organized to support or oppose candidates, public officials or a public question that is on the ballot at that election, committees that spend in excess of \$500 on a public official, candidate or public question that is on the ballot at that election, or committees organized to support or oppose a candidates who has filed a declaration of intent to be a write-in candidate at that election.
- c) An expenditure to a payee who is in whole or in part only a conduit for payment to another, such as a political consultant or a credit card issuer, must include by way of detail or separate entry the amount of funds passing to each vendor, business entity or person to receive receiving funds from the payment, together with the reason for each disbursement and the beneficiary of the disbursement. This shall not apply to a political consultant if the amount paid to that consultant is less than \$3000 in aggregate during a quarterly reporting period. Nothing in this Section shall be construed to impose a reporting obligation on any person not otherwise required to report under Article 9 of the Election Code or to require the itemization of expenditures not otherwise required to be itemized under Article 9.
- d) Quarterly and A-1 Reports
 - 1) Every active political committee must file quarterly reports, as required by Section 9-10(b) of the Election Code.

- 2) Every active political committee must file Schedule A-1 reports, as required by Section 9-10(c) of the Election Code when a contribution of \$1,000 or more from a single source is received within a single quarterly reporting period.
 - A) The reports must be filed within 2 business days after receipt if the contribution is received within 30 days prior to an election and:
 - i) The political committee is, by the terms of its Form D-1 Statement of Organization, organized to support or oppose a candidate or a public question on the ballot at the next election; or
 - ii) The political committee makes expenditures in excess of \$500, including expenditures for in-kind contributions and electioneering communications, or for independent expenditures, made on behalf of or in opposition to any candidate or public question on the ballot at an election.
 - B) All other A-1 reports must be filed within 5 business days after receipt.
- e) A committee that, having determined that it will not participate in an election, subsequently makes an expenditure or an independent expenditure in excess of \$500 or expends or has expended an aggregate amount in excess of \$500 on behalf of or in opposition to a candidate or candidates or on behalf of a question or questions of public policy that will appear on the ballot at the next election shall, beginning with the date of that expenditure, report contributions of \$1,000 or more received, as defined in Section 9-10(d) of the Election Code, by the chairman, treasurer or candidate, within 30 days prior to the election, within 2 business days after receipt by that person.
- f) The authorization of persons to collect contributions on behalf of a political committee (see Section 9-6(a) of the Election Code) shall be in writing; shall state that the person is empowered to accept contributions on behalf of the committee; and shall include the signature of the officer or candidate granting the authorization. The authorization shall be provided to the person prior to acceptance of any contributions on behalf of the committee.
- g) Pursuant to Section 5/9-11(f) of the Election Code, a political committee is required to provide a description of the amended information on each amended quarterly report. Such description must be specific enough to alert a reasonable person as to what has been amended, but does not have to individually address each item that has been amended, added, or deleted.

(Source: Amended at 35 Ill. Reg. 2295, effective February 4, 2011)

Section 100.75 Limitation on Campaign Contributions

Political committees are limited in the amount of contributions that they can accept. Appendix A, Table A lists the 4 5 types of political committees (Candidate, Political Party, Political Action, and Ballot Initiative, and Independent Expenditure Committees) and the specific contribution limitations applicable to each. Appendix A, Table B lists the election cycles for Candidate Committees (subdivided according to the office sought), Political Party Committees, Political Action Committees, and Ballot Initiative Committees, and Independent Expenditure Committees. A political party committee that does not intend to make contributions to candidates to be nominated at a general primary election or consolidated primary election may file a Statement of Nonparticipation for the specified primary election with the Board. The Statement shall be filed with the Board no earlier than July 1 immediately prior to the first day candidates may begin circulating nominating petitions and ending on the day before the date candidates may begin circulating nominating petitions for that primary election. The Statement shall include a verification signed by the chairperson and the treasurer of the committee and shall state that:

- a) A political party committee that does not intend to make contributions to candidates to be nominated at a general primary election or consolidated primary election may file a Statement of Nonparticipation for the specified primary election with the Board. The Statement shall be filed with the Board no earlier than July 1 immediately prior to the first day candidates may begin circulating nominating petitions and ending on the day before the date candidates may begin circulating nominating petitions for that primary election. The Statement shall include a verification signed by the chairperson and the treasurer of the committee and shall state that:
- a)1) The committee will not make contributions or coordinated expenditures in support of or opposition to a candidate or candidates to be nominated at the specified general primary election or consolidated primary election.
 - b)2) The political party committee may accept unlimited contributions from candidate political committees and political party committees, provided that the political party committee accepting the contributions does not make contributions or coordinated expenditures to a candidate or candidates to be nominated at the primary election.
 - e)3) Failure to abide by the requirements of subsections (a) (1) and (b) (2) shall deem the political party committee to be in violation of Article 9 and subject to a fine of no more than 150% of the total contributions and/or coordinated expenditures made by the committee in violation of that Article.
- b) A candidate political committee formed by a candidate who seeks nomination at a general primary election or a consolidated primary election is subject to limitations as to what amount it may receive in contributions from a political party committee during a primary election cycle. For this purpose the primary election cycle begins with the day the candidate may begin circulating petitions and ends on the day of the primary election.
- c) Political committees on file with the FEC that file pursuant to Section 100.60 of Board Rules and Regulations, may not make contributions to other political committees that are on file with the State Board of Elections exceeding the limits set forth in Section 9-8.5 of the Election Code, except as provided in Section 9-8.5(c).
- d) For political committees referred to in Section 9-1.8 (candidate, political party and political action), any contribution or transfer received in violation of Section 9-8.5(a) through (d) shall be disposed of within ~~15~~ 30 days of being sent notice by the Board by:
- 1) Returning the amount of the contribution or transfer that exceeds the contribution limit, or an amount equal to ~~the contribution or transfer~~ that amount, to the contributor or transferor; or
 - 2) Donating the amount of the contribution or transfer that exceeds the contribution limit, or an amount equal to ~~the contribution or transfer~~ that amount, to a charitable organization.
- e) If a political committee is determined to have received a contribution or transfer in violation of Section 9-8.5(a) through (d), the Board shall send, by first class mail, a notice to the committee and its officers of the apparent violation. The notice shall identify the contribution(s) at issue, along with the Committee's options under Section 9-8.5(j) of the Code and paragraph (d) of this subsection for disposing of the contribution(s) (returning the amount to the contributor or donating the amount to a charity), and informing the Committee that whatever disposal action it takes must be completed within 30 days of the date on the notice.
- e)f) If a political committee fails to dispose of the contribution or transfer as provided in subsection (d):

- 1) The amount of the contribution or transfer that exceeds the contribution limit shall escheat to the State's General Revenue Fund within 30 days after the expiration of the ~~45~~ 30 day period provided in subsection (d); and
- 2) The political committee shall be deemed to be in violation of this Section and be subject to a civil penalty not to exceed 150% of the ~~total~~ amount of the contribution that exceeds the contribution limit.

g) When considering the amount of the civil penalty to be imposed, the Board will consider all relevant factors, including, but not limited to, the following:

- 1) Whether in the Board's opinion the violation was committed inadvertently, negligently, knowingly or intentionally;
- 2) Whether any attempt was made by the committee to return the contribution or transfer; and
- 3) Past violations of ~~Article 9~~ Section 9-8.5 of the Election Code. Past violations of any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the committee currently being assessed a civil penalty shall be considered relevant factors when considering the amount of the civil penalty to be imposed.

g)h) When a contribution is determined to have been received by a political committee in violation of Section 9-8.5(a) through (d); and it is further determined that the committee has not taken the remedial action required by Section 9-8.5(j) of the Code and paragraph (d) of this subsection, the Board will send, by first class mail, notice of violation to the chairman and the treasurer of each political committee, and by certified mail to the address of the committee, together with an order assessing a civil penalty calculated in accord with this subsection ~~(g) (f)~~. The notice of violation and order shall also be sent to any candidate listed by name on that committee's Statement of Organization. The notice of violation shall state that the Board has assessed a civil penalty that will be final unless the committee shows cause as to why the penalty should not be assessed. The provisions of 26 Ill. Adm. Code 125.425 relating to procedures to appeal civil penalty assessments shall apply to penalties assessed under this Section.

h) i) For purposes of adjusting the amounts of contribution limitations under Section 9-8.5(g) of the Election Code, the Board will base the adjustments on the Consumer Price Index for All Urban Consumers – US City Average (Not Seasonally Adjusted), as provided by the United States Department of Labor. Adjustments shall be calculated:

- 1) On January 1, or the first business day following January 1, of each odd-numbered year, whichever comes first;
- 2) As a percent change, rounded to the nearest tenth, in the index point level of the 24 month period immediately preceding the most current month for which data is available. The change will then be applied to the existing contribution limits as of December 31 of the year immediately preceding the adjustment and rounded to the nearest \$100.

i) For purposes of Section 9-8.5(b), an election cycle ending on the date of the consolidated primary election shall end on that date even if no consolidated primary election is held in that jurisdiction. The election cycle for the consolidated election shall begin on the day after the date of the consolidated primary election, even if no consolidated primary election is held in that jurisdiction. The election cycle for the consolidated election shall begin on the day after the date of the consolidated primary election and end on June 30th of that year even if the candidate was only on the ballot at the consolidated primary election and not on the ballot at the consolidated election.

- i) For purposes of Section 9-8.5(h), contributions or loans from a public official or a candidate, or a public official's or candidate's immediate family, to the public official's or candidate's political committee shall not be subject to the contribution limits found in Section 9-8.5.
- k) For purposes of Section 9-8.5(h):
- 1) Contributions or loans from a public official or a candidate, or a public official's or candidate's immediate family, to the public official's or candidate's political committee shall not be subject to the contribution limits found in Section 9-8.5;
 - 2) The official notice required by this Section shall be made via certified US Postal mail. No candidate is permitted to accept contributions in excess of any contribution limits imposed by 10 ILCS 5/9-8.5(b) unless and until they receive the official notice from the Board. Such candidate shall be deemed to have received notice on the received date indicated on the certification card that has been returned to the State Board of Elections;
 - 3) "Candidate for the same office" shall be determined by candidate petition filings. Prior to the actual filing of petitions for a particular office, a candidate for that office wishing to receive official notice of a Self-funding Notification from the Board must inform the Board in writing of his intention to seek nomination to the office in question; and
 - 4) The removal of contribution limits resulting from the filing of a Notification of Self-funding shall last through the end of the election cycle, as defined by 10 ILCS 5/9-1.9, in which the Notification of Self-funding is required to be filed. However, if a candidate who has filed a Notification of Self-funding withdraws his candidacy or otherwise ceases to be a candidate for the office in question, the contribution limits imposed by 10 ILCS 5/9-8.5(b) shall be immediately reinstated from that point forward for all other candidates for the same office, provided that no other candidate for that office has also filed a Notification of Self-funding.
 - 5) The removal of contribution limits resulting from the filing of an Independent Expenditure Committee Disclosure Form or a Natural Person Independent Expenditure Disclosure Form filed when independent expenditures are made supporting or opposing a particular public official or candidate in an aggregate amount of more than (i) \$250,000 for statewide office or (ii) \$100,000 for all other elective offices in an election cycle, pursuant to 10 ILCS 5/9-8.5(h-5), shall last through the end of the election cycle, as defined by 10 ILCS 5/9-1.9, in which either of these two forms are required to be filed. However, if the candidate supported or opposed by such expenditures, withdraws his candidacy or otherwise ceases to be a candidate for the office in question, the contribution limits imposed by 10 ILCS 5/9-8.5(b) shall be immediately reinstated from that point forward for all other candidates for the same office, provided that no other independent expenditure committee or natural person has filed such form supporting or opposing any other candidate for that same office.
- l) For purposes of reporting of contributions, a contribution made via a check or other similar written instrument with more than one individual's name imprinted on it but containing only one signature shall be reported as coming solely from the signer, unless the instrument is accompanied by a written statement signed by each individual making the contribution, declaring that the contribution was meant to be given by each of the undersigned individuals and declaring the amount of the contribution to be attributed to each of such individuals.
- m) For purposes of determining contribution limits under Section 9-8.5, the term "corporation, labor union or association" shall include any "business entity" organized as either for profit or not for profit, and shall include, but not be limited to a partnership, sole proprietorship, limited liability company or partnership.

(Source: Added at 35 Ill. Reg. 2295, effective February 4, 2011)

Section 100.85 Independent Expenditures

- a) When determining whether a natural person making an independent expenditure or expenditures has exceeded the \$3,000 threshold triggering the requirement to file a written disclosure with the Board, the phrase "a public official or candidate" shall also include a slate of candidates. An independent expenditure made by a natural person shall be reported if the expenditure exceeds \$3,000, regardless of how many public officials or candidates are supported or opposed by the expenditure. The report shall list the total amount expended and the names of all the public officials and candidates covered by the expenditure. The natural person shall not prorate the amount of the expenditure based on the number of covered public officials or candidates.
- b) An expenditure or expenditures in excess of \$3,000 made by an entity supporting or opposing a public official or candidate shall cause that entity to establish as a political committee regardless of how many public officials or candidates are supported or opposed by the expenditure. The entity shall not prorate the amount of the expenditure based on the number of covered public officials or candidates when determining whether it has to organize as a political committee.
- c) After the filing of the initial written disclosure, ~~each time an additional independent expenditure in excess of \$150 is made~~ a natural person has a continuing obligation to report any independent expenditure made in support of or in opposition to the public official or candidate, ~~in \$1,000 increments~~ on an additional written disclosure ~~shall be filed within 2 business days, until the natural person has not made any independent expenditures in support of or opposition to that public official or candidate for a period of 12 months until the election.~~
- d) The written disclosure must include:
 - 1) If a natural person, the name, address, occupation and each employer of the natural person.
 - 2) The name and address of the public official, candidate, or each candidate listed on the slate of candidates.
 - 3) The date and amount of each independent expenditure.
 - 4) The nature/description of each independent expenditure.

(Source: Added at 35 Ill. Reg. 2295, effective February 4, 2011)

Section 100.120 Receipt of Campaign Contributions

- a) Every person or political committee that contributes goods or services to a committee shall provide to the treasurer of the political committee, within 5 business days after making the contribution, a detailed account of the contribution, including the name and address of the person or political committee making the contribution; a description and the market value of the goods or services; and the date on which the contribution was made. The ascertainable market value of goods and services assigned by the contributor or, if the contributor fails to provide the information to the recipient committee, by the recipient committee, shall be prima facie correct unless rebutted by clear and convincing evidence.
- b) An entity defined by Section 9-1.6 of the Election Code or a political committee as defined by Sections 9-1.8 of the Election Code shall acknowledge, to the donor, receipt of any notice it receives under subsection (a). No committee shall retain an in-kind contribution it has knowingly received unless it also receives the information from the contributor required by subsection (a) unless return of the contribution is impossible. If the contributor does not comply with subsection

(a) and if the in-kind contribution cannot be returned, the beneficiary political committee shall nonetheless have the responsibility to report the in-kind contributions or expenditures from the contributor if it actually knows or reasonably should have known from the facts available to it that an in-kind contribution had been made in its behalf.

- c) A monetary contribution is any contribution other than a gift of goods or services. The receipt date of the contribution is determined as follows:
- 1) A monetary contribution to a political committee is deemed to have been received on the date the contribution was deposited in a bank, financial institution or other repository of funds for the committee.
 - 2) If not deposited into a bank, financial institution or other repository of funds, a monetary contribution is deemed to have been received as follows:
 - A) A cash contribution that is not deposited into a bank, financial institution or other repository of funds is deemed to be received on the date that the cash is given to any employee or agent of the committee.
 - B) A contribution in the form of a business check, personal check, money order, or cashier's check that is not deposited into a bank, financial institution, or other repository of funds is deemed to be received on the date the check is cashed and the cash becomes available to the committee. A contribution by credit card or other implement used for processing a monetary contribution that was deposited in a bank, financial institution or other repository of funds for the committee is deemed to be received on the date the committee received notice of the deposit.
- d) A contribution of goods or services (in-kind contributions) possession of which is not actually obtained by the recipient committee is deemed received on the date the public official, candidate or political committee received the notification of contribution of goods or services as required under Section 9-6(b) of the Election Code and subsection (a) of this Section. If no notification has been received, the in-kind contribution is deemed received on the date the candidate, chairman or treasurer of the recipient committee, or the public official, obtains knowledge of the in-kind contribution, including its value. A contribution of goods actually received by the committee is deemed to be made on the date the goods are transferred to the possession of the recipient. A contribution of services is deemed to be made on the date the services are actually performed.

(Source: Amended at 35 Ill. Reg. 2295, effective February 4, 2011)

Section 100.125 Receipt by Mail of Pre-Election and Semiannual Quarterly Reports of Campaign Contributions and Expenditures

- a) Quarterly reports of campaign contributions and expenditures must be received by the Board within the filing periods set forth in Section 9-10 of the Election Code. Subject to subsections (b) and (c) of this Section, if the reports are filed by mail and received by the Board after the filing deadline, they shall be considered delinquent and subject to penalties as provided in Section 9-10 and 26 Ill. Adm. Code 125.425. However, pursuant to Section 9-10(b), if the envelope containing the reports bears a postmark showing that the envelope was mailed at least 72 hours prior to the due date, the reports shall be considered timely filed, regardless of when received in the office of the State Board of Elections.
- b) If the envelope containing the quarterly report is not received by the Board, the envelope is received but does not have a postmark printed by the United States Postal Service, or if the postmark is illegible, the report will either be deemed to have not been received or be deemed to have been received on the date the envelope officially arrives in the office of the State Board of

Elections. However, if the political committee is assessed a civil penalty for failing to file or delinquently filing the report and, as part of the committee's appeal of the civil penalty assessment, it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received.

- c) When the committee raises the defense described in subsection (b) as part of its appeal for any subsequent civil penalty assessments, the appeal affidavit shall be accompanied by a certificate issued by the United States Postal Service showing the date on which the envelope was deposited with the United States Postal Service. The Board shall not consider this defense as valid in the absence of the certificate.
- d) ~~When a political committee raises the defense described in subsection (b) at any time after an appeal has been granted pursuant to subsection (b), that defense will be denied without consideration by the Board unless a certificate, issued by the United States Postal Service, verifying the date upon which the transmitting envelope was deposited with the United States Postal Service, is attached to the appeal affidavit. If the certificate is attached to the appeal affidavit, the Board will hear and determine the appeal as it deems appropriate.~~

(Source: Amended at 35 Ill. Reg. 2295, effective February 4, 2011)

Section 100.175 Audit Findings for Political Committees

- a) The Board may order a political committee to conduct an audit of its financial records based upon criteria outlined in Section 9-13(b) of the Election Code. These criteria are limited to a situation in which:
 - 1) there is a discrepancy between the committee's ending and beginning balances contained in 2 or more successive reports;
 - 2) there is a failure to account for a previous investment or loan in a subsequent report or reports; and
 - 3) there is a willful pattern of nonreconciliation of contributions received from or expenditures made from one political committee to another political committee, such that the reported amounts of the one committee do not correspond with the reported amounts of the other committee.
- b) Conditions Precedent to Requirement for an Audit Pursuant to Section 9-13(b) of the Election Code
 - 1) Prior to ordering an audit pursuant to subsection 100.175(a), the Board will send to the committee address, the committee chairman, the committee treasurer, and any candidate designated on the Form D-1 Statement of Organization as being supported by the committee a notice in the form of a pre-audit letter stating that the Board intends to order the committee to conduct an audit based upon reasons outlined in the letter.
 - 2) Prior to conducting an audit pursuant to subsection 100.175(a), the committee will be afforded an opportunity for a closed preliminary hearing to give reasons why the committee should not be ordered to conduct an audit, and the committee will be given an opportunity to correct the deficiencies or omissions that gave rise to the issuance of the pre-audit letter. At the conclusion of the closed preliminary hearing, a recommendation will be issued stating whether grounds exist to order the audit.

- 3) If, after the closed preliminary hearing, the Board determines that there are insufficient grounds upon which to order an audit, no further action will be taken.
 - 4) If, after the closed preliminary hearing, the Board determines that there are sufficient grounds upon which to order an audit, the committee will be ordered to conduct an audit as provided in Section 9-13 of the Election Code.
 - 5) The procedures contained in 26 Ill. Adm. Code 125, Subparts A and B shall apply to the closed preliminary hearing to the extent that they are not inconsistent with the provisions of Section 9-13.
- c) Selection of Committees for **Auditing Random Audit**
- 1) In each calendar year, the Board shall randomly select no more than 3% of the registered political committees to conduct an audit. No later than December 31 of the year preceding the selection, the Board will decide, based on staff recommendations, what percentage of political committees will be selected for audits in the year of the selection. The selection:
 - A) shall be made no later than the first business day in **February May**; and
 - B) shall be made from all political committees on file with the Board whose status is active at the time of the random selection.
 - 2) The method of selection shall be the same method that the Board uses to select the 5% of the total number of precincts in a given election authority jurisdiction for the purposes of conducting a post-election retabulation as provided in Sections 24A-15, 24B-15 and 24C-15 of the Election Code. Once a committee has been selected to conduct an audit based on the random selection provided in subsection (c)(1), the Board shall send to the committee address, the committee chairman, the committee treasurer, and any candidate designated on the Form D-1 as being supported by this committee, a notice that the committee has been selected to conduct an audit. The provisions in subsection (b) relating to the closed preliminary hearing shall not apply to committees that have been randomly selected to conduct an audit; however, the committee may be excused from conducting an audit pursuant to subsection (h).
- d) Auditor
- 1) A political committee that has been ordered to conduct an audit pursuant to **this section subsection (a)** shall hire an entity qualified to perform the audit, i.e., a licensed certified public accountant (CPA) or other person qualified to perform an audit. The auditor shall:
 - A) have the proper training and experience to perform a financial analysis of campaign finance committees. Such training may be the result of possession of a CPA license, possession of a degree in accounting from an accredited accounting or business school and/or experience as an accountant gained from past employment; and
 - B) not have contributed to the political committee during the 4 year period immediately preceding the order of the audit.
 - 2) If the person selected by the committee to perform an audit is not a CPA, the committee shall, prior to the person conducting the audit, submit to the Board for approval the person selected. The committee shall include with the submission any information regarding the qualifications of the person to perform an audit that would inform the

Board of the qualifications. The committee may appear before the Board to argue the selected person's qualifications. The Board shall provide written notice to the committee stating whether the selected person is approved and, if not approved, the reasons for denial of approval. If the Board does not approve of the person selected, the committee shall, within 10 business days after the date of the nonapproval notice, select another person to conduct the audit and submit that person for Board approval in accordance with this subsection (d).

- e) Any audit ordered by the Board shall include and cover all financial records required to be maintained by the committee as provided in Section 9-7 of the Election Code. The audit shall be conducted in such a way as to ensure compliance with the contribution limitations set forth in Section 9-8.5 and the reporting requirements set forth in Sections 9-3 and 9-10. The records shall include any and all financial records in the possession or under the control of the committee or the financial institution in which the committee's funds are held, including, but not limited to:
 - 1) Bank statements;
 - 2) Deposit slips;
 - 3) Internal registers or ledgers; and
 - 4) Records maintained and stored in any type of electronic medium.
- f) The audit shall only cover the 2 year period immediately preceding the order of the audit or the period of time since the committee was last ordered to conduct an audit, whichever is shorter. However, if any portion of these time periods covers a time in which the contribution limits provision of Article 9 of the Election Code was not in effect, those limits shall not be included in the scope of the audit.
- g) If the Board determines that the committee is in violation of Sections 9-3, 9-8.5 or 9-10, it may assess a penalty for non-compliance consistent with the penalty provisions contained in those Sections and 26 Ill. Adm. Code 125.425. However, no additional penalty shall be imposed by the Board for any violation found as a result of a Board ordered audit if the Committee has previously been assessed a penalty for that violation.
- h) ~~Excused~~ Excusal from Random Audit
 - 1) Any political committee, other than a state central committee or a county central committee, ordered by the Board to conduct ~~an a random~~ audit whose chairman, treasurer or candidate on whose behalf the committee was formed, that states under oath, in a signed and notarized affidavit, that the committee lacks the financial means to hire a CPA or other qualified person may, in lieu of conducting an audit, dissolve as a political committee and file a final report with the Board within 60 calendar ~~10 business~~ days following the date of the notice of audit. The committee must remain dissolved for a period of at least 4 years.
 - 2) If the committee fails to dissolve within ~~10 business~~ 60 calendar days after the date of the notice of audit, the Board staff shall contact the committee within 2 business days and inform it that the option of excusing itself from conducting an audit will not be available to the committee unless it dissolves within 5 business days after being informed. If the committee does not dissolve within the 5 business days, the committee shall be required to conduct the audit under the provisions of Section 9-13 of the Code and Section 100.175 of this Part.
 - 3) In order to be excused from conducting ~~an a random~~ audit, the committee must have a funds balance that does not exceed the cost of hiring a CPA or other qualified person,

such cost being typical for the county in which the committee is located. The committee must submit with its affidavit a written cost estimate from at least one CPA or other qualified person located in the county in which the chairman, treasurer or candidate resides. The provisions of subsection (d) pertaining to restrictions on CPAs or other qualified persons chosen to conduct an audit shall also apply to the CPA or other qualified person whose fee is used as a basis to determine the financial ability of the committee to pay the cost of a CPA or other qualified person.

- 4) If a political committee dissolves as a result of its financial inability to conduct an audit, as provided in subsection (h)(1), and then reactivates during the 4 year period it was required to remain dissolved, as a condition of its reactivation, within 60 days after reactivation, the committee must conduct an audit covering the 2 year period immediately prior to the committee's dissolution.

(Source: Added at 35 Ill. Reg. 2295, effective February 4, 2011)

Section 100.180 Business Entity Registration Procedures

- a) This Section and Section 100.185 are adopted to comply with Public Act 95-971, as amended by Public Act 96-848, **Public Act 97-411**, and **Public Act 97-895**. Any business entity whose existing State contracts, whose bids and proposals on State contracts or whose bids and proposals on State contracts combined with the business entity's existing State contracts in aggregate annually total more than \$50,000 shall register with SBEL in accordance with Section 9-35 of the Election Code [10 ILCS 5/9-35]. Those business entities that wish to submit a bid or proposal on a State contract must register with SBEL prior to submitting their bid or proposal. SBEL will provide a certificate of registration upon successful completion of the registration process.
- b) Definitions
 - 1) For purposes of this Section, the terms "business entity", "contract", "State contract", "contract with a State agency", "State agency", "affiliated entity", "affiliated person", and "executive employee" shall have the meanings ascribed to those terms in Section 50-37 of the Illinois Procurement Code [30 ILCS 500/50-37] (Procurement Code).
 - 2) The term "annually", as used in Section 20-160 of the Procurement Code, when referring to the aggregation of State contracts, shall mean the calendar year in which the contracts are bid on or awarded.
 - 3) Unless otherwise indicated, any time frame involving a certain number of days shall refer to business days. Business days shall be those days in which the office of SBEL is open to the public for a minimum of 7 hours.
 - 4) The term "political committee" shall mean any political committee required to file as such under the provisions of Article 9 of the Election Code (campaign disclosure law), regardless of whether the committee has filed a Statement of Organization pursuant to Section 9-3 of the Election Code.
 - 5) The term "minor child" shall mean any affiliated person who has not attained 18 years of age as of the time of registration of the business entity with which the person is affiliated.
- c) Business entities shall register on a secure website provided by SBEL by first creating an on-line account. SBEL will verify the authenticity of that account at the time of registration.
- d) Registration Procedures

- 1) The following information must be supplied at the time of, and for the purpose of listing in, the registration:
 - A) The name and address of the business entity. The address shall be the office designated by the entity as its principal office or its headquarters.
 - B) The name and address of each affiliated entity of the business entity, including a description of the affiliation. The address shall be that of the principal office or headquarters of the affiliated entity.
 - C) The name and address of each affiliated person of the business entity, including a description of the affiliation. (Every affiliated person or persons within a business entity that is required to register electronically must be listed on the registration form. If there are no affiliated persons, the person whose position within the business entity comes closest to meeting the definition of affiliated person shall be listed on the registration form. The electronic registration system will not accept a blank entry where a name is required.) The name and address of a minor child who must be disclosed on the business entity's registration by virtue of the fact that such person falls under the definition of affiliated person shall not be posted on the SBEL website.
 - D) The Federal Employer Identification Number (FEIN), if the business has obtained such a number. If the business does not have a FEIN, an Illinois Business Tax Number (IBT) must be provided. If the business has neither of these numbers, it must provide an identifying number unique to that business that is capable of verification by SBEL. A sole proprietorship may use a social security number as a unique identifier if it does not have a FEIN or an IBT.
- 2) Registration shall be accomplished in one of the two following methods:
 - A) A web-based program through which information may be entered, saved and transmitted upon completion. Changes may be made by accessing the program, making the changes, and submitting those changes to SBEL via the program contained on SBEL's website.
 - B) A format, provided by SBEL, designed specifically for large business entities through which data may be submitted in lieu of completion of the web-based option. Though this method is geared toward larger business entities, any business entity may choose to use this method.
- e) The Board shall provide a certificate of registration to the business entity upon registration and upon any change of information submitted by the entity. The certificate shall be electronic and accessible to the business entity through the SBEL website and shall be password protected.
 - 1) Any business entity required to register under Section 20-160 of the Procurement Code shall provide a copy of the registration certificate, by first class mail, e-mail or hand delivery within 10 days after registration, to each affiliated entity and each affiliated person listed by the registrant.
 - 2) Any business entity required to register under Section 20-160 of the Procurement Code shall provide a copy of the registration certificate, by first class mail, e-mail or hand delivery within 10 days after the addition of any affiliated entity or affiliated person whose identity is required to be disclosed, to that affiliated person or entity. The delivery of the registration certificate to a minor child who is an affiliated person shall be accomplished by providing it as described in this Section to either parent or the legal

guardian of the minor child. The business entity shall document in writing the date of submission of the certificate of registration to the appropriate entities and persons.

- 3) Any business entity required to register under Section 20-160 of the Procurement Code shall notify each political committee to which it makes a contribution, in writing at the time of the contribution, that the business entity is registered with SBEL under Section 20-160. The business entity shall document in writing the date of submission of the notice of registration to the appropriate political committee. A copy of the certificate of registration may serve as the required written notice.
 - 4) Any affiliated entity or affiliated person of a business entity required to register under Section 20-160 of the Procurement Code shall notify each political committee to which it makes a contribution that it is affiliated with a business entity registered with SBEL under Section 20-160 and the business entity with which it is affiliated. The notification shall be in writing and shall occur at the time the contribution is made to the committee. The affiliated entities or persons shall document in writing the date of submission of the notice of registration to the appropriate political committee. A copy of the certificate of registration may serve as the required written notice.
 - 5) In the determination of a complaint alleging a failure to comply with any notification requirement contained in this subsection (e), the failure of a party responsible for providing the required notification to submit written documentation of compliance shall create a rebuttable presumption of noncompliance against that party.
- f) Pursuant to 30 ILCS 500/20-160, each bid submitted to and every contract executed by the State on or after January 1, 2009 shall contain:
- 1) A certification by the bidder or contractor that either:
 - A) the bidder or contractor is not required to register as a business entity with SBEL pursuant to this Section; or
 - B) the bidder or contractor has registered as a business entity with SBEL and acknowledges a continuing duty to update the registration; and
 - 2) A statement that the contract is voidable under Section 50-60 of the Procurement Code as a result of the bidder's or contractor's failure to comply with Section 20-160 of the Procurement Code.
- g) A business entity whose aggregate bids and proposals on State contracts annually total more than \$50,000, or whose aggregate bids and proposals on State contracts combined with the business entity's aggregate annual total value of State contracts exceed \$50,000, has a continuing duty to ensure that the registration is accurate during the period beginning on the date of registration and ending on the day after the contract is awarded. Any change of information, including but not limited to changes in affiliated entities or affiliated persons, must be reported to SBEL within 5 business days following the change or no later than a day before the contract is awarded, whichever date is earlier (see Section 100.185(a)).
- h) A business entity whose contracts with State agencies, in the aggregate, annually total more than \$50,000 has a continuing duty to ensure that the registration is accurate for the duration of the term of office of the incumbent officeholder awarding the contract or for a period of 2 years following the expiration or termination of the contract, whichever is longer.
- 1) Any change in information, including but not limited to changes in affiliated entities or affiliated persons, shall be reported to SBEL on a quarterly basis within 10 business days

following the final day of January, April, July and October of each year (see Section 100.185(c)).

- 2) If a business entity required to register under Section 20-160(d) of the Procurement Code has a pending bid or proposal on a State contract, then any change in information shall be reported to SBEL within 5 business days or no later than a day before the contract is awarded, whichever date is earlier (see Section 100.185(c)).
- i) Pursuant to 30 ILCS 500/20-160, a copy of the business entity's certificate of registration must accompany any bid or proposal for a contract with a State agency by a business entity required to register; as to any bid or proposal for a contract with a State agency, the chief procurement officer shall verify that the business entity is required to register and is in compliance with the registration requirements as of the date the bid or proposal is submitted. The chief procurement officer of the State agency shall not accept a bid or proposal unless:
 - 1) the certificate of registration is submitted to the agency with the bid or proposal the business entity is in compliance with the registration requirements as of the date the bid or proposal is submitted; or
 - 2) a statement that the bidder or contractor is not required to register as a business entity with SBEL is submitted to the agency with the bid or proposal.
- j) A registration, and any changes to a registration, must include the business entity's verification of accuracy.
- k) The requirements of this Section apply regardless of the method of source selection used in awarding the contract.
- l) SBEL will keep and maintain the paper registrations filed in accordance with P.A. 95-1038 and the emergency rules enacted by SBEL in its principal office in Springfield for a period of 3 years following the creation of the electronic registration system on August 1, 2009. The public may view these paper registration submissions of business entities at SBEL's principal office in Springfield during normal business hours. Copies of registrations of business entities submitted to SBEL shall also be available for public inspection at SBEL's principal office in Springfield. The searchable database provided for in Section 9-35 of the Election Code shall be accessible to the public at all times following its creation.
- m) Inactive Status
 - 1) The provisions of this subsection apply to a business entity required to register with SBEL under Section 20-160 of the Procurement Code, that had an existing contract or had bid on a contract within the time periods set out in subsection (h), and that had a duty to maintain the accuracy of its registration. A business entity that has registered with SBEL may change its status to "inactive" provided that:
 - A) the entity bid on a contract the value of which exceeded the \$50,000 qualifying threshold, but was not awarded that contract;
 - B) the entity had a combination of bids and contracts that exceeded the \$50,000 qualifying threshold; however, the entity was not awarded the contract and/or the two year period following the expiration of the contract has lapsed or the term of office of the officeholder responsible for awarding the contract has concluded; or

- C) the entity has contracts exceeding the \$50,000 qualifying threshold; however the two year period following the expiration of the contract has lapsed or the term of office of the officeholder responsible for awarding the contract has concluded.
- 2) Nothing in this Section shall affect the duty of a business entity to update its registration when required to do so, nor remove the entity from the prohibition against making contributions to the officeholder responsible for awarding the contract.
- 3) This "inactive" designation shall be determined by the business entity. The Board shall provide a form in an electronic format accessible on the SBEL website for the entity to indicate that it is currently in inactive status. The form shall include the name and address of the entity; the contract or bid that created the obligation to register with the SBEL, as well as the agency or office that was responsible for awarding the contract, or, if the entity was not required to register with the SBEL. A designation that registration was not required; and the beginning date on which the obligation to update the entity's registration relative to each contract or bid no longer existed. The form shall be signed by the Chief Executive Officer of the business entity or his/her designee, or a person who serves in that capacity, indicating that the signatory verifies that the entity qualifies to be in inactive status based on the criteria contained in subsection (m).
- 4) In the event a business entity that had previously declared its inactive status on the form prescribed by subsection (m)(3) submits a bid for a State contract whose value exceed \$50,000, the entity shall rescind its inactive status prior to submitting a bid, shall update its registration so that the information required by Section 9-35(b)(1), (2) and (3) of the Election Code is current. In addition, the entity shall abide by the contribution prohibitions contained in Section 50-37(b) and (c) of the Procurement Code. The rescinding of an entity's inactive status shall be on an electronic form accessible on the SBEL website.
- n) The complaint provisions contained in Sections 9-20 through 9-22 of the Election Code shall apply to complaints filed alleging a violation of this Section.

(Source: Amended at 35 Ill. Reg. 12973, effective July 19, 2011)

Section 100.185 Assessment of Civil Penalties

- a) The provisions of Sections 9-20 through 9-24 of the Election Code relating to complaints for violations of Article 9 of the Election Code shall apply to complaints for violations of Section 9-35(c) (failure to re-register electronically within 60 days following the establishment of the electronic registration system), Section 9-35(d) (failure to notify affiliated persons and entities of a business entity that the business entity is registered with the Board) and Section 9-35(e) (the intentional, willful or material failure to disclose required registration information and failure to update a registration), except that the complaint shall be directed to the registered agent of the business entity or its chief executive officer. In addition, the provision of Section 9-21 pertaining to the 60 day period prior to an election shall not apply to complaints filed under this Section. Willful or intentional failure to disclose material information on a business entity's registration shall subject that entity to a civil penalty assessed by the Board not to exceed \$5,000 per occurrence. If the Board determines that a business entity has intentionally, willfully or materially failed to disclose required information on its registration, it shall refer that determination to the chief procurement officer of the agency or agencies that accepted a bid or entered into a contract with that business. Failure to provide notice under Section 9-35(d) is a business offense, the penalty for which shall not to exceed \$1,001.
- b) The provisions of 26 Ill. Adm. Code 125, Subparts A, B and C shall apply to complaints filed against business entities.

- c) Failure to update a registration as required by Section 20-160(d) and (e) of the Procurement Code and Section 100.180(i)(1)(2) of this Part, (any change in information must be reported to the SEBL within 10 business days following the last day of the quarterly period or within 5 business days following that change or no later than a day before the contract is awarded, whichever date is earlier), will result in a \$1,000 per day penalty for each day the information remains unreported. For purposes of this Section, the information required to be updated is the information required of a business entity under Section 9-35(b) of the Election Code, including name and address of the business entity and any affiliated person or entity. **In the event a request is made to view a paper based Illinois Business Registration prior to its release to the requestor, SBEL will redact any information pertaining to minor children that is included on the paper based registration.**
- d) Any penalty assessed against a business entity by SBEL for violation of Section 9-35 of the Election Code shall be paid within 30 days after the assessment of the penalty. The 30 day period shall commence on the date the letter is sent by SBEL to the business entity assessing the penalty. Any assessed penalty that remains unpaid more than 30 days after the issuance of the final order assessing the penalty shall be posted on the SBEL website, indicating the name of the business entity owing the penalty and stating that the penalty remains unpaid.

(Source: Amended at 34 Ill. Reg. 274, effective December 15, 2009)

Section 100.APPENDIX A Contributions Allowed Per Election Cycle

Section 100.TABLE A Contribution Limits Per Election Cycle

- a) CANDIDATE POLITICAL COMMITTEE
 - 1) \$5,000 from an individual
 - 2) \$10,000 from a corporation, labor organization or association
 - 3) \$50,000 from a candidate political committee or political action committee
 - 4) No limits from political party committee except during an election cycle in which the candidate seeks nomination at a primary election
 - 5) During an election cycle in which the candidate seeks nomination at a primary election, a political party committee may contribute:
 - A) \$200,000 to a candidate for statewide office
 - B) \$125,000 to a candidate for Senate, Supreme or Appellate Court in Cook County, county-wide office in Cook County
 - C) \$75,000 to a candidate for House of Representatives, Supreme or Appellate Court outside of Cook County, county-wide office outside of Cook County, and local candidates within Cook County
 - D) \$50,000 to any other candidate
- b) POLITICAL PARTY COMMITTEE
 - 1) \$10,000 from an individual
 - 2) \$20,000 from a corporation, labor organization or association

- 3) \$50,000 from a political action committee
- 4) No transfers permitted between legislative caucus committees
- 5) Primary Election Only (petition circulation through election)
 - A) \$50,000 from a candidate political committee
 - B) \$50,000 from another political party committee
 - C) Not applicable if political party committee is not participating in election; a political party committee may accept contributions in any amount from a candidate committee or political party committee if the political party committee receiving the contribution filed a statement of nonparticipation in the primary
- 6) No limits on transfers between State political committee and federal political committee
- c) POLITICAL ACTION COMMITTEE
 - 1) \$10,000 from an individual
 - 2) \$20,000 from a corporation, labor organization, political party committee or association
 - 3) \$50,000 from a political action committee or candidate political committee
- d) BALLOT INITIATIVE COMMITTEE

No limits from any source – cannot contribute to any other type of committee

e) INDEPENDENT EXPENDITURE COMMITTEE

- 1) No limits from any source – cannot make direct contributions or coordinated expenditures

(Source: Added at 35 Ill. Reg. 2295, effective February 4, 2011)

Section 100.APPENDIX A Contributions Allowed Per Election Cycle

Section 100.TABLE B Election Cycles

- a) CANDIDATE POLITICAL COMMITTEE
 - 1) Support candidate to be elected at a general primary election or general election
 - A) Beginning January 1 following a general election for office to which candidate seeks nomination or election and ending on day of general primary election for that office, or
 - B) Beginning day after a general primary election for the office to which the candidate seeks nomination or election and through December 31 following the general election
 - 2) Support candidate for General Assembly

- A) Beginning January 1 following a general election and ending on day of next general primary election, or
 - B) Beginning day after a general primary election and ending on December 31 following a general election (2 year)
- 3) Support candidate for retention
 - A) Beginning January 1 following general election when candidate was elected through day candidate files declaration of intent to seek retention, or
 - B) Beginning day after candidate files declaration of intent to seek retention through December 31 following retention election
- 4) Support candidate to be elected at consolidated primary election or consolidated election (also applies to candidates in municipal or runoff elections in cities of 1,000,000 or more population, occurring on the dates of the regularly scheduled consolidated primary election or consolidated election.)
 - A) Beginning July 1 following a the consolidated election for the office to which the candidate seeks election and ending on the day of the next consolidated primary election for that office, or
 - B) Beginning the day after the consolidated primary election for the office to which the candidate seeks nomination or election and ending on June 30 following that the consolidated election held that year
- b) POLITICAL PARTY COMMITTEE

Beginning January 1 and ending on December 31 of each calendar year (1 calendar year)
- c) POLITICAL ACTION COMMITTEE

Beginning January 1 and ending on December 31 of each calendar year (1 calendar year)
- d) BALLOT INITIATIVE COMMITTEE

Beginning January 1 and ending on December 31 of each calendar year (1 calendar year)
- e) INDEPENDENT EXPENDITURE COMMITTEE

Beginning January 1 and ending on December 31 of each calendar year (1 calendar year)

(Source: Added at 35 Ill. Reg. 2295, effective February 4, 2011)

TITLE 26: ELECTIONS
CHAPTER I: STATE BOARD OF ELECTIONS

PART 125
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125.340	Notice of Hearing
125.350	Discovery Procedures
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125.370	Transcript of Proceedings
125.380	Official Record
125.390	Briefs and Oral Argument

SUBPART D: FINAL ORDERS

Section	
125.410	Hearing Examiners Officer's Report
125.420	Order of the Board; Civil Penalties
125.425	Civil Penalty Assessments
125.430	Enforcement Actions in the Circuit Court
125.440	Reconsideration

SUBPART E: INVESTIGATIONS, INQUIRIES AND HEARINGS PURSUANT TO SECTION 9-18

Section	
125.510	Applicability (Repealed)
125.520	Staff Review and Enforcement of Reporting Requirements
125.530	Compliance Conference
125.540	Staff Initiated Complaint (Repealed)
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SUBPART F: RULEMAKING AND NON-ADJUDICATIVE HEARINGS

Section	
125.610	Applicability
125.620	Adoption of Rules
125.630	Rulemaking Hearings
125.640	Notice of Hearing
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125.660	Examination of Witness
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SUBPART G: ADVISORY OPINIONS

Section	
125.710	Advisory Opinions
125.720	Reconsideration of Advisory Opinions
125.730	Public Availability of Advisory Opinion
125.740	Conflict Between this Part and the APA

SUBPART H: MISCELLANEOUS PROVISIONS

Section	
125.810	Ex Parte Communications
125.820	Effective Date (Repealed)
125.830	Interpretation
125.840	Severability

AUTHORITY: Implementing and authorized by Sections 1A-8(9), 9-15(3), 9-21 and 9-23 of the Election Code [10 ILCS 5/1A-8(9), 9-15(3), 9-21 and 9-23].

SOURCE: Adopted at 5 Ill. Reg. 12115, effective October 26, 1981; amended at 7 Ill. Reg. 230, effective December 16, 1982; amended at 7 Ill. Reg. 239, effective December 16, 1982; amended at 7 Ill. Reg. 15803 and 15810, effective November 9, 1983; codified at 8 Ill. Reg. 3278; amended at 9 Ill. Reg. 4050, effective March 14, 1985; amended at 14 Ill. Reg. 10832, effective June 22, 1990; amended at 16 Ill. Reg. 6986, effective April 21, 1992; amended at 19 Ill. Reg. 6546, effective May 1, 1995; emergency amendment at 23 Ill. Reg. 1122, effective January 7, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 6807, effective May 24, 1999; amended at 24 Ill. Reg. 14203, effective September 11, 2000; emergency amendment at 28 Ill. Reg. 1408, effective January 5, 2004, for a maximum of 150 days; emergency expired June 2, 2004; amended at 29 Ill. Reg. 18796, effective November 7, 2005; amended at 30 Ill. Reg. 6337, effective April 3, 2006; amended at 30 Ill. Reg. 10266, effective June 1, 2006; amended at 31 Ill. Reg. 16738, effective December 14, 2007; amended at 35 Ill. Reg. 2351, effective February 4, 2011.

SUBPART A: DEFINITIONS AND GENERAL PROVISIONS

Section 125.5 Applicability

This Subpart A shall apply to the practices and procedures of the State Board of Elections, and all proceedings conducted by the Board under Subpart A. This Part is not intended to apply to State Electoral Board hearings, or to proceedings under Subpart B of this Part (closed preliminary hearings) where any provisions of Subpart B makes a more specific or contradictory provision to anything contained in Subpart A.

(Source: Amended at 14 Ill. Reg. 10832, effective June 22, 1990)

Section 125.20 Documents Pertaining to Hearings

- a) All documents, including but not limited to complaints, notices and motions, permitted or required to be filed with the Board in connection with any proceeding before the Board shall be filed with the office of the General Counsel.
- b) All documents permitted or required to be filed with the office of the General Counsel may be filed either:
 - 1) by personal delivery to the Board's principal office located in Springfield, Illinois or the Board's permanent branch office located in Chicago, Illinois; ~~or~~
 - 2) by mail, postage prepaid with the United States Postal Service, addressed to the General Counsel at the Board's principal office or permanent branch office in Chicago, or
 - 3) by e-mail, if agreed to by all parties.
- c) All documents filed by mail shall be deemed filed as of the date and time the documents are actually received by the office of the General Counsel. If that office customarily and regularly utilizes a time-date stamp for the recording of the receipt of documents, the time and date stamp impression affixed to any filed document shall be prima facie evidence that the document was filed on the date and at the time shown by the stamp.

(Source: Amended at 35 Ill. Reg. 2351, effective February 4, 2011)

Section 125.40 Service of Documents

Except as provided in Section 125.240, whenever this Part requires any document to be served upon a party or other person, service shall be complete when the document is served by abode service as provided in the Civil Practice Law [735 ILCS 5/2-203(a)], in person upon the party or his or her attorney or designated representative, ~~or~~ deposited for mailing with the United States Postal Service, postage prepaid, registered or certified, addressed to the party at his or her last known address, or by e-mail, if agreed to by all parties.

(Source: Amended at 35 Ill. Reg. 2351, effective February 4, 2011)

Section 125.110 Motions

- a) Unless made orally on the record during a hearing, or unless the Hearing Officer directs otherwise, motions shall be in writing and accompanied by any affidavits or other matters relied upon. The original copy of all motions shall be served upon the Hearing Officer and copies shall be served upon all other parties to the proceeding and the General Counsel. In addition, motions may also be submitted by e-mail, if agreed to by all parties.
- b) A party may file a response in support of or in opposition to a motion within such time as the Hearing Officer directs. If no response is filed, the parties shall not be deemed to have waived objections to the motion. Service of a response shall be the same as provided in subsection (a).
- c) No oral argument will be heard on a motion unless the Hearing Officer directs otherwise.
- d) The Hearing Officer shall rule upon all motions, except that he or she shall have no authority to make a recommendation to the Board to dismiss or decide a hearing on the merits, without granting all parties to the proceeding a right to be heard and to establish a record.
- e) Unless otherwise ordered by the Board, the filing of a motion shall not stay the proceeding or extend the time for the performance of any act.
- f) A party may participate in the proceedings without waiving any jurisdictional objection.

(Source: Amended at 35 Ill. Reg. 2351, effective February 4, 2011)

SUBPART D: FINAL ORDERS

Section 125.425 Civil Penalty Assessments

- a) A report required to be filed within a specified time pursuant to Section 9-10 of the Election Code is delinquent if not received by the Board on or before the due date. Documents are deemed received by the Board as of the date stamped by Board staff on the documents submitted.
- b) If a report is or continues to be delinquent, it is subject to a civil penalty as set out in subsection (d) of this Section.
- c) When a report required by Section 9-10 of the Election Code is delinquent, the Board will send by first class mail, a notice of delinquency to the chairman and the treasurer of each delinquent political committee, together with an order assessing a civil penalty calculated in accord with subsection (d). The notice of delinquency and order shall also be sent by certified mail, to any candidate listed by name on that committee's address Statement of Organization. The notice of delinquency shall state that the Board has issued a civil penalty that will be final unless the committee shows cause in accord with subsection (e) why the penalty should not be assessed.

d) The Board will calculate the civil penalty as follows:

- 1) If the committee's total receipts, total expenditures, and balance remaining at the end of the reporting period for which the delinquent report was due are each \$5000 or less, and if the delinquent report is a quarterly report, the political committee shall be assessed a fine of \$25 per business day for the first violation, \$50 per business day for the second violation, and \$75 per business day for the third and each subsequent violation, to a maximum of \$5000. However, the civil penalty for any committee shall not exceed \$1000 for first time offense involving a filing that is less than 10 days late.
- 2) If the committee's total receipts, total expenditures, or balance remaining at the end of the reporting period for which the delinquent report was due exceeds \$5000, and if the delinquent report is a quarterly report, the political committee shall be assessed a fine of \$50 per business day for the first violation, \$100 per business day for the second violation, and \$200 per business day for the third and each subsequent violation, to a maximum of \$5000. However, the civil penalty for any committee shall not exceed \$1000 for a first time offense involving a filing that is less than 10 days late.
- 3) In the situation described in subsection (d)(1) or (d)(2), no civil penalty shall be assessed against a committee if the report is mailed and postmarked at least 72 hours prior to the filing deadline.
- 4) When considering the amount of the civil penalty to be imposed, the Board shall consider all relevant factors, including, but not limited to:
 - A) Whether, in the Board's opinion, the violation was committed inadvertently, negligently, knowingly or intentionally; and
 - B) Past violations of Article 9 of the Election Code by the committee. Past violations of any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the committee currently being assessed a civil penalty shall be considered relevant factors when considering the amount of the civil penalty to be imposed.
- 5) If the delinquently filed report is a Schedule A-1 (report of contributions of \$1000 or more), in the final disposition of any appeal of a penalty assessed by the Board for the delinquency, the Board will consider assessing a civil penalty as follows:
 - A) In the case of a willful or wanton violation, the Board shall impose a penalty of no less than 10% and no more than 150% of the total amount of the contributions that were delinquently reported.
 - B) When considering the amount of the civil penalty to be imposed under subsection (d)(5)(A), the Board shall consider the following factors:
 - i) the number of days the contribution was reported late; and
 - ii) past violations of Sections 9-3 and 9-10 of the Election Code by the committee. Past violations of any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the committee currently being assessed a civil penalty shall be considered relevant factors when considering the amount of the civil penalty to be imposed.
 - C) In the case of negligent or inadvertent violations, the Board may:
 - i) impose a fine not to exceed 50% of the total amount of the delinquently reported contributions; or

- ii) waive the fine.
- D) When considering the amount of the civil penalty to be imposed under subsection (d)(5)(C), the Board shall consider the following factors:
 - i) Whether the political committee made an attempt to disclose the contribution and any attempts to correct the violation;
 - ii) Whether the violation was attributed to a clerical or computer error;
 - iii) The amount of the contribution;
 - iv) Whether the violation arose from a discrepancy between the date the contribution was reported transferred by a political committee and the date the contribution was received by a political committee;
 - v) The number of days the contribution was reported late; and
 - vi) Past violations of Sections 9-3 and 9-10 of the Election Code by the political committee. Past violations of any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the committee currently being assessed a civil penalty shall be considered relevant factors when considering the amount of the civil penalty to be imposed.
- 6) If the delinquently filed report is a Statement of Organization (form D-1), the Board shall assess a civil penalty of \$50 for each business day that the report remains unfiled after its due date. The penalties shall not exceed \$5,000.
- 7) If an independent expenditure committee makes a contribution in violation of Section 5/9-8.6(d), the Board shall assess a fine equal to the amount of any contributions received in excess of the contribution limits for that particular contributor, during the two years preceding the date of the first contribution made in violation of the Act during a given quarterly reporting period. Such committees that wish to appeal the assessment may due so pursuant to the provisions of this Section.
- e) In addition to the civil penalties provided for in Section 9-10(b) and (c) of the Election Code, a committee or organization required to report under the Election Code may, for violations of provisions of Article 9 of the Election Code other than delinquent filing, be assessed a civil penalty under the provisions of Section 9-23 of the Election Code and this subsection (e). The Board will calculate civil penalties in accord with subsection (d). A committee that violates both Section 9-10 of the Election Code and an order of the Board may be liable for separate penalties for each violation. In cases of alleged violation of an order of the Board brought under the provisions of Section 9-23 of the Election Code, the Board will mail to each committee or organization alleged to be in violation of a Board order notice of a proposed civil penalty calculated in accord with the terms of this Part, which proposed penalty shall become effective without further proceedings unless the committee or organization receiving the notice contests the proposed civil penalty. A political committee assessed a civil penalty under Section 9-10(b) or (c) for being delinquent in filing a required report or that has received notice of a proposed civil penalty for violation of a Board order under Section 9-23 may:
 - 1) submit, within 30 calendar days after the mailing of the assessment notice, a request for waiver of appearance and appeal affidavit, in the form provided by the Board, stating the reasons for requested waiver of appearance and the reasons for the late filing or violation of the Board order, as the case may be, to show why a civil penalty should not be assessed. This appeal affidavit shall either be in writing, made under oath and upon penalty of perjury sworn to before a notary public or any person authorized to administer oaths, or be made pursuant to Section 1-109 of the Code of Civil Procedure [735 ILCS 5/1-109]; or

- 2) submit, within 30 calendar days after the mailing of the assessment notice, a request for hearing and appeal affidavit, in the form provided by the Board, stating the reasons for the late filing or violation of the Board order, as the case may be, to show why a civil penalty should not be assessed. This appeal affidavit shall either be in writing, made under oath and upon penalty of perjury sworn to before a notary public or any person authorized to administer oaths, or be made pursuant to Section 1-109 of the Illinois Code of Civil Procedure; or
 - 3) pay, within 30 days after the mailing of the assessment notice, the civil penalty assessed. If an appeal affidavit is filed, with or without waiver of appearance, the civil penalty shall not be due until the appeal is determined by the Board.
- f) Post-Appeal Hearing Defense or Evidence
- 1) Any defense and any accompanying evidence upon which the appeal is based that is presented to the Board following an appeal hearing, either by personal appearance before or a written appeal submitted to a Hearing Officer, shall be limited to the defense and evidence that was presented at the appeal hearing. The defense and evidence shall include, but not be limited to, interpretation of statute and rules, consideration of written or oral testimony tendered at the appeal hearing and consideration of documentary evidence tendered at the hearing.
 - 2) Any defense and accompanying evidence that was not known, and could not reasonably be expected to have been known, by the respondent at the time of the appeal hearing may be presented to the Board. The Board may, upon motion or on its own motion, remand the defense and evidence back to the original Hearing Officer, or may submit it to a new Hearing Officer for consideration. If an issue exists as to the applicability of this exception, the Board shall rule upon the issue immediately after presentation of the disputed defense and evidence. The respondent in the case shall be given an opportunity to demonstrate to the Board that the disputed defense and evidence was not known at the time of the appeal hearing and the respondent should not have been expected to have been aware of the defense and evidence at the time of the appeal hearing.
 - 3) Nothing in this Part shall be construed to prevent the respondent from being represented by counsel at the presentation before the Board when the counsel did not represent the respondent at the appeal hearing. Counsel shall be licensed to practice law in the State of Illinois as required by Section 125.60 of this Part.
- g) If a political committee or organization required to report under the provisions of Article 9 of the Election Code that is subject to a civil penalty fails, within the time required, to make payment in full of the assessed civil penalty, then the Board shall proceed with efforts at collection pursuant to the Illinois State Collection Act of 1986 [30 ILCS 210]. The Board shall not hear an appeal of a civil penalty imposed for delinquent filing or the violation of a Board order if neither a request for waiver of appearance and appeal affidavit nor a request for hearing and appeal affidavit is filed within the time required.
- h) Notwithstanding any provision of this Section to the contrary, the Board shall stay the enforcement of any civil penalty in cases of first time violation of a filing deadline and shall stay the enforcement of a civil penalty for the violation of a Board order when the committee or organization has voluntarily entered into a stipulation admitting the violation and agreeing to the civil penalty. The stay shall continue only so long as no subsequent violations of Article 9 of the Election Code or of Board orders occur. Violation of Article 9 of the Election Code or a Board order will cause the civil penalty otherwise stayed to become immediately due and may expose the committee or organization to further liability in accord with this Section.
- i) For the purpose of this Section, second and subsequent violations are deemed to occur with reference to the time the first offense event occurs, not when a hearing, if any is required, concerning the first offense event is held. The Board may consider two or more allegations of violations at the same hearing, treating the first as an initial violation and the remaining as

subsequent violations, imposing appropriate civil penalties for each.

j) Notwithstanding any other provision of this Section:

- 1) if an active political committee or organization is assessed no more than one civil penalty under Section 9-10 during a two year period, it shall, after two years have lapsed following the assessment, be considered as never having violated Section 9-10. For a single violation, the two year period begins to run with the mailing of the assessment letter. If an active political committee or organization is assessed more than one civil penalty and has paid all assessed civil penalties, it shall be considered for assessment purposes as not having violated that Section if it is assessed no other civil penalty during a two year period following receipt of payment by the Board;
- 2) if a committee or organization is assessed a single penalty under Section 9-10 and subsequently files a final report or has filed a final report prior to the assessment, during the two year period beginning with the date of the assessment letter, or the final Board order if the assessment is appealed and the appeal is denied, any successor committee or organization shall be considered, for assessment purposes, as not having violated Section 9-10 if it is assessed no other penalty;
- 3) if a committee or organization is assessed more than one penalty under Section 9-10 and subsequently files a final report or has filed a final report prior to the assessment, and the political committee or organization has not paid the civil penalties, any successor committee or organization that subsequently pays all civil penalties due shall be considered as never having violated Section 9-10 if, for two years from the date of receipt of payment by the Board, the successor committee or organization is assessed no other civil penalty.
- 4) if a committee or organization is assessed more than one penalty under Section 9-10 and subsequently files a final report or has filed a final report prior to the assessment, and the political committee or organization has not paid the civil penalties, the two year period shall begin with the date of the final board order, unless the final report is filed subsequent to the date of the final board order, in which case the two year period shall begin with the date the final report is received by the Board. If no successor committee is formed during that period, the committee shall be considered for assessment purposes as not having violated Section 9-10.

k) Upon notice by the Hearing Officer or upon request by any party, the Hearing Officer may direct parties or their attorneys to appear at a specified time and place for a conference, either during or prior to any hearing, for purposes including, but not limited to:

- 1) the formulation and simplification of issues;
- 2) the necessity or desirability of amending the assessment notice for the purpose of clarification or correction;
- 3) the possibility of stipulations concerning material facts;
- 4) the limitations of the number of witnesses;
- 5) other matters as may aid in the simplification of evidence and the disposition of the proceeding.

(Source: Amended at 35 Ill. Reg. 2351, effective February 4, 2011)

Section 125.430 Enforcement Actions in the Circuit Court

- a) Whenever the Board, pursuant to Sections 9-21 and 9-23 of the Election Code, has issued an order directing a person determined by the Board to be in violation of Article 9 or any rule or regulation

adopted under that statute to cease or correct a violation or otherwise comply with Article 9, and the Board imposes a civil penalty for failure or refusal to comply with its order within the specified time, the Board ~~shall~~ may enforce the civil penalty by filing with the Circuit Court a petition for an order to enforce collection of the penalty.

- b) The Board may also petition the Circuit Court to issue an order compelling compliance with an order issued by the Board, or to restrain or prohibit a person who is engaging or has engaged in acts or practices that constitute a violation of any provisions of Article 9 from engaging in those acts or practices.

(Source: Amended at 35 Ill. Reg. 2351, effective February 4, 2011)

Section 125.440 Reconsideration

Any member of the Board, or any party affected by a final order of the Board, may file a written motion to reconsider. The motion shall set forth in specific detail the grounds alleged for reconsideration and must be filed with the Board not later than 7 days after the effective date of the Board's order.

- a) A Motion to Reconsider a Final Board Order imposing a civil penalty for a delinquently filed report or reports, where the Respondent failed to timely file an appeal of such penalty, must state the reason or reasons why the Respondent failed to submit such an appeal in a timely manner. Motions that simply state the basis for the appeal itself, had it been so submitted, will be denied by the Board as failing to state adequate grounds for reconsideration of the Final Board Order.

- a)b) Oral argument shall be permitted on the motion only at the Board's discretion.

- b)c) The Board may consider, discuss and take action upon the motion through a conference telephone call in lieu of an in-person meeting. Notice shall be given to the media in advance of the conference call, the call shall be broadcast over a speaker phone or other similar device at both the permanent and branch offices of the Board, and the broadcast shall be open to the media and public. The entire conference call shall also be recorded by a certified court reporter.

(Source: Amended at 35 Ill. Reg. 2351, effective February 4, 2011)

Memorandum

From the desk of...Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.il.gov

To: Executive Director Rupert Borgsmiller
Chairman William McGuffage
Vice Chairman Jesse Smart
Members of the Board
Subject: Military Voter Update
Date: September 5, 2012

Please be advised that for the last two months, I and other members of the State Board of Elections have been attending the County Clerk Zone meetings and their statewide conferences to once again re-enforce the importance of Military and Overseas voting. We have been very well received.

As we are still under a Federal Consent decree, we will once again be providing three reports to the Department of Justice prior to the November General Election, the 55 Day Report, the 45 Day Report and the 30 Day Report (copies of each are attached).

The 55 Day Report is due into the Department of Justice on September 19th; the 45 Day Report is due into the Department of Justice on September 24th and the 30 Day Report is due into the Department of Justice on October 10th.

All three reports emphasize the importance of transmitting the UOCAVA ballots prior to the deadline of September 22, 2012 and in the manner that the voter requested (mail, fax, e-mail, the State Board MOVE site).

In addition, we are closely monitoring all groups and websites that claim to track military ballot numbers.

Thank you.

State Board of Elections
2329 South MacArthur
Springfield, IL 62704

55 Days Before the Election
QUESTIONNAIRE TO LOCAL ELECTION AUTHORITIES

We are required by the consent decree involving the U.S. Department of Justice to provide this information. Please respond by no later than Monday, September 17, 2012.

1. Are you in possession of a sufficient number of printed absentee ballots to transmit those ballots to military and overseas voters in anticipation of the 45-day deadline (September 22, 2012) for the November 6, 2012, election?

_____ Yes

_____ No

2. Will you have the ability to send the ballots by the voter's preferred method of transmission (mail, fax, e-mail, or State Board of Elections MOVE site)?

_____ Yes

_____ No

3. Do you foresee any circumstance that would prevent you from sending all requested ballots to military and overseas voters by September 22, 2012, and by the voter's preferred method of transmission?

_____ Yes

_____ No

4. If you answered "No" to question 1 or 2, or "Yes" to question 3, please explain below.

Signature

Date

Printed Name

Election Jurisdiction

45 Day Report

TO ALL LOCAL ELECTION AUTHORITIES

As required by the consent decree with the U.S. Department of Justice, please respond by no later than Sunday, September 23, 2012:

Number of UOCAVA ballots requested on or before September 22, 2012: _____

Number of UOCAVA ballots sent out on or before September 22, 2012: _____

Date on which UOCAVA ballots began being transmitted: _____

Voters' requested method of delivery:

Number sent by:

Mail: _____

Mail: _____

Fax: _____

Fax: _____

E-mail: _____

E-mail: _____

State Board MOVE site: _____

State Board MOVE site: _____

Form of Ballot sent (circle one): Official Ballot

Other - please explain:

I certify that all military and overseas voters who properly requested absentee ballots by September 22, 2012, have been provided their absentee ballot by their requested method of transmission and attest the above numbers to be true and accurate.

If not, explanation for why not:

Election Authority
(printed)

Election Authority
(signature)

Election Authority Jurisdiction

Date

(Thank you for your cooperation in providing this information).

30 Day Report

I certify that all absentee ballots validly requested by UOCAVA voters after September 22, 2012, and on or before October 7, 2012, have been transmitted in official ballot form by the preferred method of transmission. Please respond by no later than Monday, October 8, 2012.

Yes _____

No _____

If no, please explain:

Signature

Date

Printed Name

Election Jurisdiction

INTEROFFICE MEMORANDUM

To: Rupert T. Borgsmiller, Executive Director

From: Dianne Felts, Director of Voting Systems and Standards (VOSS)

Subject: General Election Pre-tests

Date: August 29, 2012

The election jurisdictions selected for pre-tests for the General Election are:

Boone (Accu-Vote/TSX)

Effingham (M-100/Auto-MARK)

Jasper (Accu-Vote/TSX)

JoDaviess (M-100/Auto-MARK)

Macoupin (Accu-Vote/TSX)

Stephenson (M-100/Auto-MARK)

Judges Schools GE 2012

Date	Jurisdiction	No. of Schools	Attendance	Zone
Wednesday, August 15, 2012	McLean	3	67	Three
Thursday, August 16, 2012	McLean	3	39	Three
Thursday, September 06, 2012	Woodford	2	0	Three
Wednesday, September 12, 2012	Christian	2	0	Three
Thursday, September 13, 2012	Christian	2	0	Three
Monday, September 17, 2012	Perry	2	0	One
Tuesday, September 18, 2012	Clay	3	0	One
Wednesday, September 19, 2012	Edwards	2	0	One
Thursday, September 20, 2012	Coles	3	0	Three
Thursday, September 20, 2012	Saline	3	0	One
Friday, September 21, 2012	Perry	2	0	One
Monday, September 24, 2012	Pulaski	2	0	One
Tuesday, September 25, 2012	Boone	3	0	Four
Tuesday, September 25, 2012	Massac	2	0	One
Wednesday, September 26, 2012	Calhoun	2	0	Two
Wednesday, September 26, 2012	Gallatin	2	0	One
Thursday, September 27, 2012	Alexander	1	0	One
Monday, October 01, 2012	Clinton	2	0	One
Monday, October 01, 2012	Wayne	1	0	One
Tuesday, October 02, 2012	Bureau	2	0	Four
Tuesday, October 02, 2012	Jackson	2	0	One
Wednesday, October 03, 2012	Jackson	2	0	One
Wednesday, October 03, 2012	JoDaviess	2	0	Four
Thursday, October 04, 2012	Hardin	1	0	One
Thursday, October 04, 2012	Pope	1	0	One
Tuesday, October 09, 2012	Jefferson	3	0	One

Date	Jurisdiction	No. of Schools	Attendance Zone
Tuesday, October 09, 2012	Wabash	2	0 One
Tuesday, October 09, 2012	Whiteside	2	0 Four
Wednesday, October 10, 2012	Jefferson	3	0 One
Wednesday, October 10, 2012	Mercer	2	0 Four
Wednesday, October 10, 2012	Richland	3	0 One
Thursday, October 11, 2012	Lawrence	2	0 One
Thursday, October 11, 2012	Menard	2	0 One
Thursday, October 11, 2012	Washington	2	0 One
Monday, October 15, 2012	Macoupin	2	0 Two
Monday, October 15, 2012	Randolph	2	0 One
Tuesday, October 16, 2012	Greene	2	0 Two
Tuesday, October 16, 2012	Monroe	4	0 One
Tuesday, October 16, 2012	Morgan	3	0 Two
Wednesday, October 17, 2012	Galesburg	3	0 Three
Wednesday, October 17, 2012	Monroe	3	0 One
Wednesday, October 17, 2012	Morgan	1	0 Two
Wednesday, October 17, 2012	Scott	1	0 Two
Thursday, October 18, 2012	Fayette	2	0 One
Thursday, October 18, 2012	Marshall	2	0 Three
Thursday, October 18, 2012	Shelby	3	0 Three
Monday, October 22, 2012	Cass	2	0 Two
Monday, October 22, 2012	Cumberland	2	0 Three
Monday, October 22, 2012	Marion	3	0 One
Tuesday, October 23, 2012	Effingham	3	0 One
Tuesday, October 23, 2012	Hamilton	2	0 One
Tuesday, October 23, 2012	Henderson	2	0 Two
Wednesday, October 24, 2012	Effingham	3	0 One
Wednesday, October 24, 2012	Franklin	2	0 One
Wednesday, October 24, 2012	Pike	2	0 Two

Date	Jurisdiction	No. of Schools	Attendance Zone	
Thursday, October 25, 2012	Franklin	1	0	One
Thursday, October 25, 2012	Moultrie	2	0	Three
Thursday, October 25, 2012	Union	2	0	One
Saturday, October 27, 2012	E St Louis	1	0	One
Tuesday, October 30, 2012	Putnam	2	0	Four
Tuesday, October 30, 2012	Williamson	3	0	One

INTEROFFICE MEMORANDUM

To: Rupert T. Borgsmiller, Executive Director

From: Dianne Felts, Director of Voting Systems and Standards (VOSS)

Subject: Dominion's ImageCast In-Precinct and ImageCast Central Count Voting System (ICP 4.6.4, ICC 4.6.3, HAAT 2.6.39, EMS 4.6.07, and Edge II Plus 1.2.77)

Date: September 6, 2012

Dominion requests the approval of a new voting system: the ImageCast in-precinct digital scanner along with the ImageCast Central Count scanner. This system would be used in conjunction with updated versions of the HAAT and Edge II Plus which are part of systems currently being used by Cook County and Chicago Board of Election Commissioners. Dominion is asking for an integrated system of using parts of what these two jurisdictions are currently using along with these two new pieces of equipment.

The first order of approval was to determine what the voter's ballot specifically the ballot's target areas for a candidate's vote would look like. The first ballot shown appeared to VOSS staff and others in the agency was unacceptable. The voter's target area where one places the mark to give a vote to a specific candidate was a very light red oval. We rejected this ballot. The vendor then introduced other options to us with pro and con reasons for selecting the different colors for the voter target area. The best ballot to us was one with a dark red oval as its target area. We selected this ballot after showing it to two individuals in the agency that have a form of color-blindness and both could see the ovals. I will have samples at the board meeting of the three choices.

Our staff with the assistance of other agency employees and ten temporary employees ran a mock General, General Primary, and Consolidated Election on the **in-precinct** Image-Cast voting equipment. Staff and temporary employees marked the mock ballots for the different elections. While running the ballots, staff discovered that the instructions to the voter read awkwardly and imprecise as to the order of the messages presented to the voter. The vendor changed the instructions to our recommendations. We also noticed that a voter can press the CAST button; it moves the instructions along but may not cast the ballot at that time depending on how the voter marked his/her ballot (under-votes/over-votes etc) . As with other current systems, our concern is that a voter may not thoroughly read the display and leave the polling place before actually casting the ballot. This feature was inherent to the equipment. As always, first time voters with this system along with judges of election will have to be thoroughly instructed on how this equipment operates. Rose, the agency's Spanish interpreter for forms also reviewed the Spanish on the machines and suggested some changes.

In addition we had a strange occurrence while marking the ballots. We had a few instances of missing ovals next to the candidates. The Printer caused this error of the missing ovals. This happened to only a few styles but it was an odd situation. When printing ballots with two colors, it requires the printer to go through a two step process for both colors.

Staff and temporary employees ran 158,383 ballot sheets through the ImageCast. We used three different types of mock elections, a General, a General Primary, and a Consolidated. This took about seven weeks with marking and running the ballots through the machines. This machine is particularly slow in processing/tabulating a ballot. We estimated an average of 59 seconds+ per ballot/voter. Any potential buyer will need to be aware of this downfall of the equipment concerning the possibility of long lines of voters waiting to vote.

However, the positive for this voting equipment is that we put as previously mentioned over 158,383 ballots through ten machines without a tabulation error. The only writing instrument used was a Sharpie felt tip pen as recommended by the vendor. The Sharpie will be the only writing instrument permitted for this piece of equipment. An aside on this equipment is that no jurisdiction may use the current ballot box that we tested on until the Company fixes the security problem with ballots inserted in the back of the ballot box without going through the scanner. This was found on the website YouTube and has been visible to online public since August 15th, 2008. The vendor representative acknowledged they were aware of this YouTube demonstration.

The vendor representative ran 124,371 predetermined marked ballots through the ImageCast Central Count Scanner at our Springfield office. Once again we used all three types of our mock elections. Basically, we used the same ballots for this test as the in-precinct one. At first, the vendor had trouble adjusting the settings for the red target oval on the scanner as to what color the scanner would see as a vote. Remember, staff chose a different looking ballot other than the original ballot presented to us from the vendor. This was adjusted. For the Primary, the 22 inch ballot was a problem until the vendor figured out the appropriate settings.

While running ballots on this Central Count piece of equipment, we had a mishap. We found that one of the precinct reports was inaccurate. The vendor investigated and discovered that a screw fell off from the top inside part of the machine and caused over-votes on the back left hand column of one ballot before the screw again dislodged to another part of the machine not causing miscounts. The reason the vendor could be sure that this screw caused the over-vote was that this scanner can keep a digital image of every ballot and count. The ballot image showed a line through the entire back side of the ballot column right where the target area was located. What are the odds of this happening? However, we heard that something similar had happened at an approval in another state. We believe since no one would have known if the count was right or wrong on election night since this equipment tabulates mail absentees while the voter is not in attendance; these machines must be set to show an over-vote so that the operator can examine the ballot and the digital images. Otherwise, the election authority would not catch the problem or possibly only find out at a recount or contest. This is the only scanner tested by the Voting System Testing Laboratory for this system. It is a Canon "off the shelf" scanner. We had no other problems with ballots marked with a Sharpie.

After the initial ballot runs, we ran an additional 15,000 ballots marked with assorted pens since the mail absentee voters may not always use the Sharpie as the in-precinct voter will be required to do. We found a miscue with a ballot marked with a blue ink pen. After this miscue, the vendor turned off the auto-brightness setting but this did not change the result. Each time the miscue only happened once out of 50 runs. After this the vendor and VOSS director decided to change the settings of the density degree for lightness of a mark. This addressed the issue. We ran an additional 2,000 more ballots marked in blue ink after the initial miscues with no errors. All black pens that we used to mark ballots did not create any problems. All counts were accurate after this change.

As far as the reports for this system, we discovered several idiosyncrasies. On a General Primary, an office with "No Candidate" is not listed at all on the precinct reports. Precinct reports cannot have blank ballots listed on them but this is listed on the canvass. We had a report with Citizens Party on the precinct report when that party was not in the precinct; however, the vendor eventually figured out how to remove it from the report. Originally on the Consolidated Precinct report, every office listed in the election appeared on every precinct report. The precinct reports were unbelievably long with the superfluous offices listed that did not belong in each precinct. The vendor finally eliminated this excess.

VOSS staff also tested ten Edge II Plus (DRE) machines since this equipment needed a modification for the inclusion in this new system. The vendor needed to replace one of the machines before we even started to test but after that all machines worked well and all counts were accurate. We also ran ballots through the Insight Plus equipment in order to test the HAATs changes. The HAAT had a modification to accommodate the new equipment. The HAAT has two basic functions: one is to add the in-precinct machines' totals together and the other is to transmit the results to downtown. The ten HAATs added and transmitted 4,320 ballots successfully. Only one HAAT had problems but it eventually transmitted after the third try. There were no errors on the count totals.

As one can tell from reading this report, VOSS had a few setbacks regarding this approval but once the vendor fixed the ballots and the equipments' settings, the counts were accurate. We ran over 280,000 ballots combined on the two new image cast pieces of equipment with no error counts. VOSS Director recommends the Board to grant interim approval for this new system that includes modifications to equipment already being used in the state of Illinois.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Rupert T. Borgsmiller, Executive Director

TO: Members of the SBE Advisory Committee

SUBJECT: Meeting

DATE: September 6, 2012

Attached you will find an agenda for the meeting of the SBE Advisory Committee on Monday, September 17, 2012 scheduled to begin at **9:00 a.m.** in our Springfield office. We will have a video connection with our Chicago office if anyone would like to attend in our Chicago office located on the 14th Floor of the Thompson Center.

The regular monthly Board meeting will begin at 10:30 a.m.

In addition to the agenda items listed feel free to bring anything else to the table, and hopefully we will have time to discuss any and all items of concern to you during the course of the meeting.

We look forward to seeing you on the 17th.

Attachment

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S. MacArthur Blvd
Springfield, Illinois 62704-4503
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS

William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

ADVISORY COMMITTEE AGENDA

Monday, September 17, 2012

**2329 S. MacArthur Blvd.
Springfield, Illinois
9:00 a.m.**

1. November General Election
 - a. Electronic Canvassing Project - update.
2. Military and Overseas Voters.
3. Legislation.
4. MOVE.
5. Statewide database update.
6. Other business
7. Next meeting Tuesday, January 15, 2013.

The Advisory Committee will also be connected to the State Board of Elections Chicago office via videoconference.

The State Board of Elections Regular monthly meeting will begin at 10:30 a.m.

Memorandum

From the desk of...Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.il.gov

To: Executive Director Rupert Borgsmiller
Chairman William McGuffage
Vice Chairman Jesse Smart
Members of the Board
Subject: Veto Session Supplemental
Date: September 5, 2012

At this time, I would like to recommend that we request a supplemental appropriation during the Fall Veto Session for additional monies for Election Day Judges and the Statewide Voter Registration System.

Specially, request an additional appropriation of \$3,852,900 for the Election Judges and \$1,461,300 for the distribution to the Election Authorities for the IVRS system and \$161,200 for the County Clerk Stipend.

Our current FY13 Budget only contains \$1,347,100 for Election Day judges. This amount won't even cover the judges for the November election, let alone the judges needed for the February and April consolidated elections. In addition, we requested \$2,580,400 for statewide voter registration system support to local jurisdictions, yet we were only allocated \$1,119,100. This was a decrease of 56.6%. Finally, the County Clerk Stipend was shorted by \$161,200 as the original SBE request was \$806,000. The SBE received \$644,800 and needs the additional \$161,200 to fully fund.

Thank you for your consideration of this request.

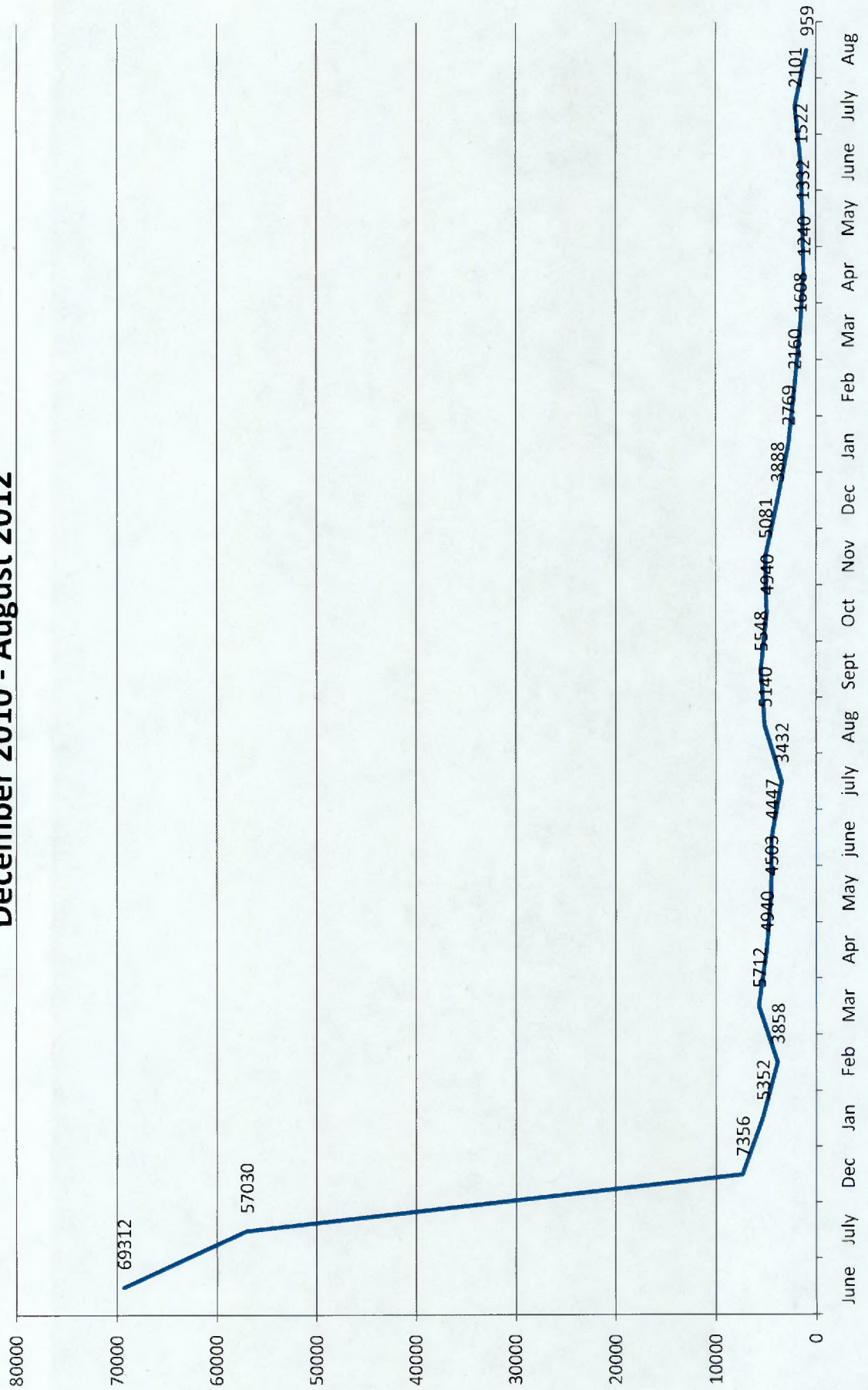
State Board of Elections
2329 South MacArthur
Springfield, IL 62704

Jurisdiction	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Oldest Match Date
Adams County	7	8	5	8	7	8	6	4	16	20	19	22	5	1	6	6	5	6	9	1	14	8/3/2009
Alexander County	3	3	4	7	5	5	6	5	5	5	3	2	1	1	2	2	4	5	3	3	2	2/6/2009
Bond County	6	1	1	2	8	9	8	12	1	4	2	3	4	8	9	8	7	11	13	15	15	8/3/2009
Boone County	5	9	4	5	3	8	6	5	10	11	12	10	11	13	3	5	8	5	6	7	7	2/6/2009
Brown County	0	0	0	0	0	0	1	2	4	3	0	0	0	0	2	2	1	1	1	3	0	n/a
Bureau County	2	6	0	0	5	0	0	4	2	3	0	1	5	2	2	1	4	2	1	3	1	8/7/2012
Calhoun County	1	1	1	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	n/a
Carroll County	1	0	0	5	1	1	1	0	1	2	0	0	0	0	1	2	3	4	3	4	4	2/29/2012
Cass County	5	8	0	3	1	1	0	0	2	0	0	1	0	4	2	2	3	3	2	8	5	2/29/2012
Champaign County	129	12	10	88	110	16	17	10	195	132	68	74	64	72	78	83	16	26	28	54	26	5/26/2009
Christian County	13	3	3	3	2	2	3	2	1	1	1	3	2	4	5	2	3	4	3	3	2	2/29/2012
Clark County	8	5	5	6	6	5	5	4	4	5	3	3	4	3	4	4	3	1	1	1	1	9/3/2010
Clay County	62	52	52	58	65	62	67	57	46	45	28	27	26	27	39	33	36	39	39	39	37	2/6/2009
Clinton County	20	15	16	17	16	21	11	3	6	14	7	8	1	3	10	6	9	15	14	13	8	1/23/2012
Coles County	16	14	14	14	5	4	4	2	6	6	7	5	5	2	3	0	2	2	3	6	3	4/9/2012
Cook County	2,357	1,770	1,393	1,988	1,773	1,679	1,717	1,270	1,943	2,085	1,874	1,879	1,391	793	535	329	168	106	191	247	40	2/6/2009
Crawford County	22	19	18	11	11	11	13	7	21	21	18	20	19	18	7	8	4	5	5	8	4	2/6/2009
Cumberland County	1	0	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	2	1	7/27/2012
DeKalb County	29	20	19	23	4	4	4	4	12	14	8	12	9	7	24	4	5	2	10	12	10	5/11/2011
DeWitt County	4	3	2	2	2	2	2	6	3	2	5	3	4	6	2	9	2	3	7	4	12	2/6/2009
Douglas County	2	404	0	1	1	0	0	0	0	1	0	0	3	1	1	1	2	0	0	1	0	n/a
DuPage County	368	0	34	51	25	47	29	27	60	27	42	79	35	26	40	46	15	10	15	44	6	2/6/2009
Edgar County	0	0	0	0	0	0	0	1	0	0	0	1	2	2	2	2	3	3	5	8	1	3/28/2012
Edwards County	2	2	2	2	4	4	4	5	4	3	2	3	3	2	1	1	1	2	2	2	2	2/6/2009
Effingham County	1	1	1	0	0	0	1	0	2	1	0	1	1	1	4	3	1	3	2	4	0	n/a
Fayette County	11	11	10	11	11	9	14	12	17	12	3	2	1	2	3	6	6	3	3	2	1	1/23/2012
Ford County	22	1	1	1	2	1	1	2	0	0	0	0	0	1	2	0	0	2	5	12	4	6/22/2012
Franklin County	70	60	59	62	60	58	60	61	60	59	43	12	10	0	3	5	4	5	7	14	14	2/29/2012
Fulton County	9	7	4	7	7	4	4	5	6	6	9	6	8	6	16	17	19	5	4	10	9	2/6/2009
Gallatin County	2	1	1	1	1	1	1	1	1	1	2	0	0	0	1	1	2	0	0	0	0	n/a
Greene County	4	3	4	1	1	4	3	7	1	3	2	2	0	0	0	0	2	2	2	2	1	4/9/2012
Grundy County	42	25	27	52	0	2	3	9	10	4	0	1	1	10	17	8	2	2	3	7	5	4/27/2012
Hamilton County	0	0	0	0	0	0	0	2	0	1	0	1	1	1	0	0	1	1	1	2	2	4/27/2012
Hancock County	3	1	1	1	2	2	1	0	2	2	2	5	3	1	0	0	0	0	0	0	0	n/a
Hardin County	1	0	0	0	1	2	1	0	0	0	0	0	0	1	0	1	2	0	3	0	0	n/a
Henderson County	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	2	1	7/27/2012
Henry County	20	17	17	19	17	13	5	6	5	7	5	3	2	0	8	7	8	9	10	15	14	6/22/2012
Iroquois County	15	5	5	1	2	1	2	1	5	8	3	1	1	3	1	1	2	1	2	5	2	2/6/2009
Jackson County	28	15	14	20	22	17	20	15	14	14	12	8	6	5	12	5	5	10	11	5	3	8/27/2009
Jasper County	3	4	0	0	0	1	2	2	4	4	5	5	6	5	1	1	1	2	1	2	0	n/a

Jurisdiction	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Oldest Match Date
Jefferson County	0	0	0	1	0	0	1	0	0	0	0	0	1	1	0	1	4	5	2	4	3	n/a
Jersey County	9	13	12	15	15	17	17	17	19	20	20	20	22	22	22	22	23	27	26	28	27	2/6/2009
JoDavess County	0	0	0	0	0	0	0	0	0	2	1	1	0	0	0	0	0	0	0	0	0	n/a
Johnson County	2	1	1	1	0	0	1	0	0	0	0	0	0	0	1	1	1	0	0	0	0	n/a
Kane County	92	32	19	35	17	21	16	5	27	35	34	42	28	33	24	26	17	8	10	52	10	3/25/2011
Kankakee County	93	9	5	21	8	12	18	7	90	79	64	21	7	16	10	8	12	17	16	14	12	2/6/2009
Kendall County	12	6	4	5	0	4	2	5	6	13	7	10	6	15	13	2	1	9	8	21	4	5/11/2012
Knox County	5	7	7	0	1	1	5	9	9	11	14	15	15	18	0	1	2	5	5	8	7	3/28/2012
Lake County	214	193	18	51	23	54	32	16	36	46	41	62	76	80	27	34	19	70	98	165	11	2/6/2009
LaSalle County	21	14	6	22	11	16	9	4	14	15	15	13	12	17	8	4	5	16	20	25	22	4/9/2012
Lawrence County	2	1	0	1	0	1	1	1	0	0	0	0	0	1	1	1	1	1	1	1	1	5/18/2012
Lee County	3	0	0	1	0	0	0	0	0	1	0	1	1	0	1	0	0	1	2	1	0	n/a
Livingston County	12	1	1	3	5	4	1	1	3	2	4	2	1	2	2	3	0	4	1	8	5	5/18/2012
Logan County	5	4	5	12	5	5	4	4	4	3	2	6	6	7	1	1	2	4	2	8	3	3/18/2011
Macon County	25	8	4	8	3	3	1	2	45	19	15	18	26	33	51	12	6	23	12	15	12	10/1/2010
Macoupin County	12	5	6	8	3	1	0	1	5	7	5	5	3	1	2	2	2	0	0	4	1	8/7/2012
Madison County	35	18	17	34	16	27	20	13	18	30	25	27	15	16	15	19	17	21	23	31	26	2/6/2009
Marion County	27	18	18	29	24	29	22	20	33	23	13	14	8	9	20	15	16	16	14	15	12	2/6/2009
Marshall County	0	3	2	0	1	2	6	0	2	3	0	5	2	2	0	1	3	2	0	0	0	n/a
Mason County	1	0	3	1	0	0	0	0	0	0	0	0	0	2	2	1	2	0	1	1	1	7/27/2012
Massac County	4	3	3	5	3	3	3	3	3	4	3	3	2	4	4	4	1	1	1	2	2	2/6/2009
McDonough County	9	6	2	5	2	2	2	3	5	4	4	4	4	2	0	0	1	1	0	3	1	6/29/2012
McHenry County	125	50	4	7	34	69	74	4	10	22	18	59	74	30	7	22	35	57	73	30	16	10/8/2010
McLean County	44	7	4	20	21	9	13	5	32	18	10	10	9	10	32	31	32	48	51	72	38	2/6/2009
Menard County	3	3	2	2	1	1	1	1	1	1	3	3	4	0	4	3	4	2	4	3	3	2/6/2009
Mercer County	148	150	148	149	131	113	12	12	10	12	10	13	11	5	9	9	10	5	4	7	7	2/6/2009
Monroe County	6	2	2	2	2	6	7	0	2	0	2	0	0	4	4	3	3	4	6	8	5	2/29/2012
Montgomery County	13	4	3	9	10	13	7	10	1	0	0	0	0	2	1	1	1	1	1	1	1	3/5/2010
Morgan County	11	4	3	6	3	2	0	9	12	6	5	6	2	0	0	0	1	0	0	3	3	7/27/2012
Moultrie County	0	0	0	0	0	0	1	0	0	0	0	0	1	1	2	2	0	0	0	1	0	n/a
Ogle County	7	10	0	1	0	1	1	3	3	5	2	3	2	4	1	1	1	1	0	0	0	n/a
Peoria County	19	1	2	2	2	4	0	3	5	4	9	12	8	5	6	3	9	9	3	7	8	2/6/2009
Perry County	4	0	0	1	0	0	0	0	0	1	0	0	0	0	0	0	4	0	0	1	0	n/a
Piatt County	4	2	2	1	2	2	2	1	0	1	0	0	0	1	1	1	2	2	3	5	4	2/29/2012
Pike County	12	10	10	9	11	12	13	3	0	5	7	10	2	0	1	1	3	3	3	5	5	2/29/2012
Pope County	2	0	0	1	2	1	1	2	1	1	0	0	0	2	1	0	0	0	1	0	0	n/a
Pulaski County	4	0	0	2	2	1	1	2	2	4	3	4	5	2	3	3	3	3	2	3	3	2/6/2009
Putnam County	2	0	0	2	0	0	0	0	0	0	1	0	0	1	1	0	0	1	0	1	0	n/a
Randolph County	3	0	0	3	0	1	3	0	0	2	2	3	3	6	8	7	10	7	6	9	9	1/23/2012
Richland County	13	7	6	5	6	5	6	6	7	7	6	5	5	5	5	6	4	3	2	2	2	2/6/2009

Jurisdiction	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Oldest Match Date
Rock Island County	178	180	188	124	182	177	75	70	63	66	62	59	33	32	47	44	50	52	54	53	52	2/6/2009
Saline County	2	4	4	2	1	7	8	8	2	5	3	3	1	0	0	2	6	0	2	0	0	n/a
Sangamon County	63	15	20	42	16	15	21	14	16	17	21	26	26	23	19	18	12	12	16	14	8	2/6/2009
Schuyler County	9	9	0	4	1	2	0	0	0	1	1	1	0	2	3	0	0	1	1	2	2	5/25/2012
Scott County	5	2	2	3	3	2	3	8	3	2	6	8	2	0	0	0	0	3	3	4	3	5/18/2012
Shelby County	2	0	0	0	1	1	1	0	3	1	0	1	0	1	0	3	1	2	3	1	5	2/29/2012
Stark County	0	0	0	0	0	1	2	2	2	0	0	0	0	0	0	0	0	0	0	0	0	n/a
St. Clair County	77	26	28	43	29	46	38	16	16	13	16	13	6	17	22	23	26	36	35	47	44	9/3/2010
Stephenson County	2	2	2	12	2	3	2	4	7	11	7	7	7	15	16	16	20	24	25	28	28	10/22/2010
Tazewell County	81	19	24	16	29	39	29	21	27	21	24	41	52	38	100	58	35	13	15	28	23	2/6/2009
Union County	33	17	17	28	29	24	22	20	25	23	23	22	15	8	11	13	12	13	13	14	11	2/6/2009
Vermilion County	3	3	3	4	3	4	3	2	3	7	9	8	10	13	5	6	8	5	13	4	2	8/27/2009
Wabash County	2	1	0	0	0	1	1	3	6	7	2	0	1	0	0	0	1	3	3	5	4	4/20/2012
Warren County	7	2	0	2	1	1	2	1	5	3	3	5	5	6	2	2	3	2	3	6	6	2/6/2009
Washington County	7	5	4	4	4	6	6	6	6	12	4	5	4	3	7	6	7	10	3	4	4	1/18/2011
Wayne County	31	28	28	31	33	34	37	34	16	15	1	0	0	3	8	8	10	12	11	12	12	1/23/2012
White County	3	2	2	1	3	3	3	4	5	4	2	1	1	0	2	2	3	5	5	4	4	2/29/2012
Whiteside County	11	7	6	9	5	6	2	2	2	3	3	1	1	1	2	2	3	3	4	3	2	9/3/2010
Will County	578	437	412	596	586	193	138	50	233	245	58	83	38	99	69	39	36	65	47	90	29	2/6/2009
Williamson County	65	43	42	45	43	45	46	45	48	49	32	15	15	2	5	6	5	13	15	21	18	2/6/2009
Winnebago County	39	25	8	10	7	10	5	4	6	5	13	20	5	18	6	6	14	7	10	9	8	2/6/2009
Woodford County	4	0	0	0	0	0	0	0	2	2	2	6	4	2	7	7	17	15	9	12	4	12/29/2011
City of Aurora	6	12	14	3	14	2	3	4	20	23	28	40	33	43	5	5	5	2	19	22	0	n/a
City of Bloomington	15	5	6	6	4	5	8	4	4	7	6	8	11	5	15	16	15	21	22	30	10	2/6/2009
City of Chicago	1,755	1,382	985	1,714	1,346	1,383	1,626	1,349	1,703	2,020	2,017	2,028	1,557	960	541	383	267	285	348	502	125	2/6/2009
City of Danville	7	3	2	6	3	8	3	6	4	5	7	9	4	3	2	6	10	3	5	3	3	2/29/2012
City of East St. Louis	12	5	5	10	8	6	11	4	3	2	3	6	5	7	2	2	2	8	2	1	0	n/a
City of Galesburg	6	10	4	3	3	3	3	5	6	7	9	6	8	7	3	2	2	2	2	2	3	9/3/2010
City of Peoria	39	2	2	23	35	20	9	14	19	22	30	34	35	40	101	61	27	25	8	22	19	2/6/2009
City of Rockford	17	8	4	19	5	10	10	10	12	15	20	24	12	34	17	17	25	9	13	16	11	2/6/2009
	7,356	5,352	3,858	5,712	4,940	4,503	4,447	3,432	5,140	5,548	4,940	5,081	3,888	2,769	2,160	1,608	1,240	1,332	1,522	2,101	959	

Statewide Duplicate Voter Registrations December 2010 - August 2012



<u>County</u>	Total Voters Registered as of 9/5/2012	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 8/8/2012	% Reg. vs. 18 and Over as of 9/5/2012
ALEXANDER	5,089	8,238	61.77%	6,353	79.33%	80.10%
CLARK	12,520	16,335	76.65%	12,617	99.32%	99.23%
CLINTON	23,525	37,762	62.30%	29,180	80.34%	80.62%
HARDIN	3,187	4,320	73.77%	3,444	92.57%	92.54%
JASPER	6,719	9,698	69.28%	7,494	89.54%	89.66%
MASSAC	10,577	15,429	68.55%	11,911	88.49%	88.80%
MENARD	8,635	12,705	67.97%	9,704	88.63%	88.98%
MERCER	11,833	16,434	72.00%	12,714	92.80%	93.07%
OGLE	34,455	53,497	64.41%	40,253	85.28%	85.60%
PULASKI	5,492	6,161	89.14%	4,756	115.56%	115.48%
RICHLAND	11,552	16,233	71.16%	12,625	91.33%	91.50%
ROCK ISLAND	83,699	147,546	56.73%	114,359	72.90%	73.19%
STARK	4,079	5,994	68.05%	4,583	88.70%	89.00%
UNION	11,778	17,808	66.14%	13,980	83.97%	84.25%
City of East St. Louis	19,466	27,006	72.08%	19,098	102.42%	101.93%

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:

Rupert T. Borgsmiller, Executive Director

TO: Chairman McGuffage
Vice Chairman Smart
Members of the Board

SUBJECT: December Board Meeting

DATE: September 10, 2012

A Special Board Meeting is currently scheduled for Sunday, December 2 to certify the results of the judicial candidates in order for them to take office on December 3. We also anticipate the entire canvass from the General Election will also be ready for certification as well on December 2. Therefore, I propose we change the regular meeting date from December 7 to December 18 in Chicago.

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Aug 31, 2012	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,280,100.00	\$3,768,816.34	\$0.00	\$511,283.66	88.05%
STATE PAID RETIREMENT	\$171,300.00	\$147,795.13	\$0.00	\$23,504.87	86.28%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$327,600.00	\$279,325.55	\$0.00	\$48,274.45	85.26%
CONTRACTUAL SERVICE	\$1,301,700.00	\$837,799.69	\$15,211.24	\$448,689.07	64.36%
TRAVEL	\$130,000.00	\$67,654.35	\$0.00	\$62,345.65	52.04%
PRINTING	\$50,800.00	\$23,985.37	\$0.00	\$26,814.63	47.22%
COMMODITIES	\$39,500.00	\$37,639.68	\$0.00	\$1,860.32	95.29%
EQUIPMENT	\$158,100.00	\$93,284.62	\$159.60	\$64,655.78	59.00%
TELECOMMUNICATIONS	\$143,800.00	\$120,396.07	\$0.00	\$23,403.93	83.72%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$3,434.24	\$0.00	\$1,465.76	70.09%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$462,655.56	\$2,171.51	\$85,172.93	84.12%
ELECTION CODE BOOKS	\$17,200.00	\$17,110.00	\$0.00	\$90.00	99.48%
IVRS LUMP SUM - OPERATIONS	\$328,500.00	\$307,443.42	\$0.00	\$21,056.58	93.59%
INTEREST PAYMENTS	\$10,200.00	\$9,922.13	\$0.00	\$277.87	0.00%
SUB-TOTAL (OPERATIONS)	\$7,513,700.00	\$6,177,262.15	\$17,542.35	\$1,318,895.50	82.21%
CO CLERK & RECORDER STIPENDS	\$806,000.00	\$799,500.00	\$0.00	\$6,500.00	99.19%
ELECTION JUDGE REIMBURSEMENT	\$1,347,100.00	\$973,000.00	\$0.00	\$374,100.00	72.23%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$1,251,900.00	\$1,242,743.82	\$0.00	\$9,156.18	99.27%
REDISTRICTING	\$90,000.00	\$90,000.00	\$0.00	\$0.00	100.00%
ADDITIONAL STATE MATCH	\$2,700.00	\$2,690.00	\$0.00	\$10.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$332,400.00	\$332,338.90	\$0.00	\$61.10	99.98%
IDIS SYSTEM REPLACEMENT	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUPP. APPROP. - IVRS	\$1,000,000.00	\$1,000,000.00	\$0.00	\$0.00	100.00%
SUPP. APPROP. - ELECTION DAY JUDGE	\$1,000,000.00	\$999,990.00	\$0.00	\$10.00	100.00%
SUB-TOTAL (GRANTS)	\$5,830,100.00	\$3,440,272.72	\$0.00	\$2,389,827.28	59.01%
TOTAL APPROPRIATION	\$13,343,800.00	\$9,617,534.87	\$17,542.35	\$3,708,722.78	72.07%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: Aug 31, 2012	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$2,400.00	\$797.94	\$1,602.06	33.25%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00	\$1,000.00	\$0.00	100.00%
1239 Rental, NEC	\$100.00	\$98.34	\$1.66	98.34%
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$17,100.00	\$17,003.25	\$96.75	99.43%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00	\$0.00	\$100.00	0.00%
1277 Association Dues	\$100.00	\$0.00	\$100.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$400.00	\$321.75	\$78.25	80.44%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$500.00	\$441.05	\$58.95	88.21%
TRAVEL	\$19,100.00	\$19,069.41	\$30.59	99.84%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00	\$0.00	\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$100.00	\$78.29	\$21.71	78.29%

BOARD GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$22,700.00	\$19,662.33		\$3,037.67
TRAVEL	\$19,100.00	\$19,069.41		\$30.59
EQUIPMENT	\$500.00	\$0.00		\$500.00
INTEREST PAYMENTS	\$100.00	\$78.29		\$21.71
TOTAL	\$42,400.00	\$38,810.03	\$0.00	\$3,589.97

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: Aug 31, 2012	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE	
PERSONAL SERVICE	\$749,900.00	\$656,545.70	\$93,354.30	87.55%	
1129 State Paid Retirement	\$30,000.00	\$25,361.27	\$4,638.73	84.54%	
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1170 Social Security	\$57,400.00	\$48,764.23	\$8,635.77	84.96%	
CONTRACTUAL SERVICE					
1201 Petty Cash	\$500.00	\$71.82	\$428.18	14.36%	
1205 Freight Express & Drayage	\$700.00	\$63.32	\$636.68	9.05%	
1221 Repair/Maint. Furn./Office Equipment	\$10,100.00	\$8,981.63	\$1,118.37	88.93%	
1223 Repair/Maint. Real Property	\$5,400.00	\$5,362.59	\$37.41	99.31%	
1229 Repair & Maintenance NEC	\$100.00	\$45.95	\$54.05	45.95%	
1230 In-House Repair & Maintenance					
1231 Rental, Office Equipment	\$31,200.00	\$2,960.38	\$28,239.62	9.49%	
1232 Rental, Motor Vehicles	\$4,600.00	\$1,000.00	\$3,600.00	21.74%	
1233 Rental, Real Property	\$298,500.00	\$298,453.39	\$46.61	99.98%	
1234 Rental, Machinery & Mechanical Eqmt					
1239 Rental, NEC	\$1,000.00	\$410.00	\$590.00	41.00%	
1240 Statistical & Tabulation Services	\$12,800.00	\$12,168.65	\$631.35	95.07%	
1245 Professional & Artistic					
1248 Building & Grounds Maintenance	\$9,500.00	\$4,654.95	\$4,845.05	49.00%	
1251 Gas	\$10,400.00	\$1,144.49	\$9,255.51	11.00%	
1252 Electricity	\$64,400.00	\$30,736.29	\$33,663.71	47.73%	
1253 Water	\$1,400.00	\$694.52	\$705.48	49.61%	
1255 Utilities, NEC	\$900.00	\$475.72	\$424.28	52.86%	
1261 Postage	\$75,000.00	\$74,462.32	\$537.68	99.28%	
1266 Court Reporting					
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$530.00	\$270.00	66.25%	
1275 Subscription & Information Services	\$2,600.00	\$2,448.89	\$151.11	94.19%	
1276 Reg.Fees & Conf. Expenses (Employee)	\$500.00	\$0.00	\$500.00	0.00%	
1277 Association Dues	\$2,000.00	\$1,990.00	\$10.00	99.50%	
1279 Tuition - Employee					
1280 Copying, Photographic & Printer Services	\$100.00	\$10.72	\$89.28	10.72%	
1281 Interviewee Expense - To Vendors					
1285 Taxes, Licenses & Fees	\$100.00	\$0.00	\$100.00	0.00%	
1289 Contractual Services, NEC	\$49,500.00	\$49,409.94	\$90.06	99.82%	
TRAVEL					
1291 In-State	\$17,700.00	\$5,113.33	\$12,586.67	28.89%	
1292 Out-of-State	\$1,300.00	\$1,213.58	\$86.42	93.35%	
PRINTING	\$9,200.00	\$3,464.03	\$5,735.97	37.65%	
COMMODITIES					
1304 Office/Library Supplies	\$13,300.00	\$13,287.71	\$12.29	99.91%	
1306 Food for Human Consumption	\$100.00	\$38.55	\$61.45	38.55%	
1391 Household & Cleaning Supplies	\$1,600.00	\$1,334.88	\$265.12	83.43%	
1394 Office/Library Equip., Not exc. \$100	\$1,500.00	\$1,465.84	\$34.16	97.72%	
1398 Equipment, NEC	\$400.00	\$143.90	\$256.10	35.98%	
1399 Commodities, NEC	\$600.00	\$335.10	\$264.90	55.85%	
EQUIPMENT					
1510 Office Furniture & Equipment	\$3,600.00	\$3,531.82	\$68.18	98.11%	
1599 Equipment NEC	\$0.00	\$0.00	\$0.00	0.00%	
TELECOMMUNICATIONS					
1710 Repair/Maintenance Telecom					
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$50,511.79	\$488.21	99.04%	
1722 Rental, Data Comm. Serv. & Equip.	\$80,800.00	\$63,112.08	\$17,687.92	78.11%	
1728 Videoconferencing	\$6,800.00	\$6,772.20	\$27.80	99.59%	
1729 Rental, Other Comm. Serv. & Equip.	\$3,700.00	\$0.00	\$3,700.00	0.00%	
1730 Parts & Supplies for Telephone	\$1,500.00	\$0.00	\$1,500.00	0.00%	
1750 Telephone, Data, Radio & Other Equipment					
OPERATION OF AUTO EQUIPMENT					
1893 Repair & Maint., Auto. Equipment	\$1,800.00	\$1,289.22	\$510.78	71.62%	
1894 Parts & Fittings, Auto Equipment	\$300.00	\$274.86	\$25.14	91.62%	
1896 Gasoline, Oil & Antifreeze	\$2,800.00	\$1,870.16	\$929.84	66.79%	
1899 Auto. Expenses, NEC					
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%	
ADMINISTRATION GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF EXPENDITURE	
PERSONAL SERVICE	\$749,900.00	\$656,545.70	\$93,354.30	87.55%	
STATE PAID RETIREMENT	\$30,000.00	\$25,361.27	\$4,638.73	84.54%	
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%	
SOCIAL SECURITY	\$57,400.00	\$48,764.23	\$8,635.77	84.96%	
CONTRACTUAL SERVICE	\$582,100.00	\$496,075.57	\$86,024.43	85.22%	
TRAVEL	\$19,000.00	\$6,326.91	\$12,673.09	33.30%	
PRINTING	\$9,200.00	\$3,464.03	\$5,735.97	37.65%	
COMMODITIES	\$17,500.00	\$16,605.98	\$894.02	94.89%	
EQUIPMENT	\$3,600.00	\$3,531.82	\$68.18	98.11%	
TELECOMMUNICATIONS	\$143,800.00	\$120,396.07	\$23,403.93	83.72%	
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$3,434.24	\$1,465.76	70.09%	
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%	
TOTAL	\$1,617,400.00	\$1,380,505.82	\$0.00	\$236,894.18	85.35%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: Aug 31, 2012	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,724,600.00	\$1,467,712.81	\$256,887.19	85.10%
1129 State Paid Retirement	\$69,000.00	\$58,002.55	\$10,997.45	84.06%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$132,000.00	\$108,788.07	\$23,211.93	82.42%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$3,600.00	\$68.29	\$3,531.71	1.90%
1221 Repair/Maint. Furn./Office Equipment	\$200.00	\$200.00	\$0.00	100.00%
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00	\$0.00	\$100.00	0.00%
1239 Rental, NEC	\$4,100.00	\$550.00	\$3,550.00	13.41%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$300.00	\$290.00	\$10.00	96.67%
1273 Advertising	\$700.00	\$648.00	\$52.00	92.57%
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$0.00	\$4,000.00	0.00%
1275 Subscription & Information Services	\$1,900.00	\$0.00	\$1,900.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,300.00	\$0.00	\$1,300.00	0.00%
1277 Association Dues	\$2,300.00	\$944.00	\$1,356.00	41.04%
1279 Employee Tuition & Fees	\$2,900.00	\$170.00	\$2,730.00	5.86%
1280 Copying, Photographic & Printing Services	\$1,000.00	\$0.00	\$1,000.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees	\$300.00	\$290.44	\$9.56	96.81%
1289 Contractual Services, NEC	\$23,700.00	\$1,722.82	\$21,977.18	7.27%
TRAVEL	\$58,500.00	\$22,267.08	\$36,232.92	38.06%
PRINTING	\$18,900.00	\$7,580.40	\$11,319.60	40.11%
EQUIPMENT				
1510 Office Furniture & Equipment	\$5,000.00	\$1,482.49	\$3,517.51	29.65%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$6,500.00	\$6,493.41	\$6.59	0.00%
HAVA - Maintenance of Effort	\$550,000.00	\$462,655.56	\$87,344.44	84.12%
ELECTION CODE BOOKS	\$17,200.00	\$17,110.00	\$90.00	99.48%
Redistricting	\$90,000.00	\$90,000.00	\$0.00	100.00%
Election Judge Reimbursements	\$1,347,100.00	\$973,000.00	\$374,100.00	72.23%
Stipends	\$806,000.00	\$799,500.00	\$6,500.00	99.19%
Early Voting Election Judges	\$0.00	\$0.00	\$0.00	0.00%
State HAVA Match	\$2,700.00	\$2,690.00	\$10.00	99.63%
Voting System Integrity Center	\$0.00	\$0.00	\$0.00	0.00%
Electronic Canvassing Implementation	\$332,400.00	\$332,338.90	\$61.10	99.98%
Electronic Canvassing Interest Payments	\$1,400.00	\$1,334.81	\$65.19	95.34%
IDIS Replacement System	\$0.00	\$0.00	\$0.00	0.00%
Supp. Approp. - Election Day Judge	\$1,000,000.00	\$999,990.00	\$10.00	100.00%

<u>ELECTIONS GRAND TOTAL</u>	<u>FY12 APPROPRIATION</u>	<u>YEAR TO DATE EXPENDITURE</u>	<u>OBLIGATED MONEY</u>	<u>BALANCE</u>	<u>% OF EXPENDITURE</u>
PERSONAL SERVICE	\$1,724,600.00	\$1,467,712.81		\$256,887.19	85.10%
STATE PAID RETIREMENT	\$69,000.00	\$58,002.55		\$10,997.45	84.06%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$132,000.00	\$108,788.07		\$23,211.93	82.42%
CONTRACTUAL SERVICE	\$46,400.00	\$4,883.55		\$41,516.45	10.52%
TRAVEL	\$58,500.00	\$22,267.08		\$36,232.92	38.06%
PRINTING	\$18,900.00	\$7,580.40		\$11,319.60	40.11%
EQUIPMENT	\$5,000.00	\$1,482.49		\$3,517.51	29.65%
HAVA - Maintenance of Effort	\$550,000.00	\$462,655.56	\$2,171.51	\$85,172.93	84.12%
ELECTION CODE BOOKS	\$17,200.00	\$17,110.00		\$90.00	99.48%
Redistricting	\$90,000.00	\$90,000.00		\$0.00	100.00%
Election Judge Reimbursements	\$1,347,100.00	\$973,000.00		\$374,100.00	72.23%
Stipends	\$806,000.00	\$799,500.00		\$6,500.00	99.19%
Early Voting Election Judges	\$0.00	\$0.00		\$0.00	0.00%
State HAVA Match	\$2,700.00	\$2,690.00		\$10.00	0.00%
Voting System Integrity Center	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Implementation	\$332,400.00	\$332,338.90		\$61.10	99.98%
Electronic Canvassing Interest Payments	\$1,400.00	\$1,334.81		\$65.19	95.34%
IDIS Replacement System	\$0.00	\$0.00		\$0.00	0.00%
Supp. Approp. - Election Day Judge	\$1,000,000.00	\$999,990.00		\$10.00	100.00%
INTEREST PAYMENTS	\$6,500.00	\$6,493.41		\$6.59	99.90%
TOTAL	\$6,207,700.00	\$5,355,829.63	\$2,171.51	\$849,698.86	86.28%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: Aug 31, 2012	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$289,700.00	\$277,661.98	\$12,038.02	95.84%
1129 State Paid Retirement	\$11,600.00	\$11,114.93	\$485.07	95.82%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$22,200.00	\$20,600.26	\$1,599.74	92.79%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee	\$0.00	\$0.00	\$0.00	0.00%
1205 Freight Express & Drayage	\$500.00	\$0.00	\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$90,200.00	\$80,375.57	\$9,824.43	89.11%
1245 Professional & Artistic Services, NEC	\$5,200.00	\$5,115.00	\$85.00	98.37%
1266 Court Reporting & Filing Services	\$18,900.00	\$18,821.44	\$78.56	99.58%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscription & Information Services	\$3,000.00	\$721.64	\$2,278.36	24.05%
1276 Reg. Fees & Conf. Expenses (Employee)	\$2,000.00	\$289.00	\$1,711.00	0.00%
1277 Association Dues	\$1,500.00	\$1,175.00	\$325.00	78.33%
1279 Employee Tuition & Fees	\$500.00	\$428.00	\$72.00	85.60%
1280 Copying, Photographic & Printing Services	\$700.00	\$0.00	\$700.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$5,500.00	\$5,451.00	\$49.00	99.11%
TRAVEL	\$11,200.00	\$11,132.97	\$67.03	99.40%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00	\$0.00	\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$300.00	\$214.70	\$85.30	0.00%

GENERAL COUNSEL GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$289,700.00	\$277,661.98		\$12,038.02	95.84%
STATE PAID RETIREMENT	\$11,600.00	\$11,114.93		\$485.07	95.82%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$22,200.00	\$20,600.26		\$1,599.74	92.79%
CONTRACTUAL SERVICE	\$129,000.00	\$112,376.65	9,894.43	\$6,728.92	87.11%
TRAVEL	\$11,200.00	\$11,132.97		\$67.03	99.40%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$300.00	\$214.70		\$85.30	0.00%
TOTAL	\$464,500.00	\$433,101.49	\$9,894.43	\$21,504.08	93.24%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: Aug 31, 2012	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$797,300.00	\$682,070.43	\$115,229.57	85.55%
1129 State Paid Retirement	\$31,900.00	\$27,177.89	\$4,722.11	85.20%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$61,000.00	\$50,053.17	\$10,946.83	82.05%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement	\$0.00	\$0.00	\$0.00	0.00%
1205 Freight Express & Drayage	\$100.00	\$5.83	\$94.17	5.83%
1221 Repair & Maint, Furn & Office Equipment	\$400.00	\$365.00	\$35.00	91.25%
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC	\$2,900.00	\$0.00	\$2,900.00	0.00%
1245 Professional & Artistic Services, NEC	\$6,000.00	\$0.00	\$6,000.00	0.00%
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,100.00	\$1,060.00	\$40.00	96.36%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$400.00	\$0.00	\$400.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$200.00	\$165.00	\$35.00	82.50%
TRAVEL				
1291 In-State	\$8,000.00	\$1,213.74	\$6,786.26	15.17%
1292 Out-of-State	\$3,300.00	\$2,814.90	\$485.10	85.30%
PRINTING	\$22,000.00	\$12,918.44	\$9,081.56	58.72%
EQUIPMENT				
1510 Office Furniture & Equipment	\$9,200.00	\$2,848.75	\$6,351.25	30.96%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$100.00	\$72.14	\$27.86	0.00%

CAMPAIGN DISCLOSURE GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$797,300.00	\$682,070.43		\$115,229.57	85.55%
STATE PAID RETIREMENT	\$31,900.00	\$27,177.89		\$4,722.11	85.20%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$61,000.00	\$50,053.17		\$10,946.83	82.05%
CONTRACTUAL SERVICE	\$11,100.00	\$1,595.83		\$9,504.17	14.38%
TRAVEL	\$11,300.00	\$4,028.64		\$7,271.36	35.65%
PRINTING	\$22,000.00	\$12,918.44		\$9,081.56	58.72%
EQUIPMENT	\$9,200.00	\$2,848.75		\$6,351.25	30.96%
INTEREST PAYMENTS	\$100.00	\$72.14		\$27.86	0.00%
TOTAL	\$943,900.00	\$780,765.29	\$0.00	\$163,134.71	82.72%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: Aug 31, 2012	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$718,600.00	\$684,825.42	\$33,774.58	95.30%
1129 State Paid Retirement	\$28,800.00	\$26,138.49	\$2,661.51	90.76%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$55,000.00	\$51,119.82	\$3,880.18	92.95%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage	\$100.00	\$14.25	\$85.75	14.25%
1221 Repair/Maint. Furn./Office Equipment	\$12,800.00	\$163.88	\$12,636.12	1.28%
1223 Repair/Maint. Real Property	\$5,800.00	\$5,742.83	\$57.17	99.01%
1225 Repair/Maint. EDP Equipment	\$56,200.00	\$18,326.20	\$37,873.80	32.61%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC	\$600.00	\$564.60	\$35.40	94.10%
1240 Statistical & Tabulation	\$16,000.00	\$15,983.19	\$16.81	99.89%
1242 Auditing & Management Services	\$0.00	\$0.00	\$0.00	0.00%
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$193,700.00	\$0.00	\$193,700.00	0.00%
1271 Surety Bond & Ins. Prem.	\$700.00	\$499.00	\$201.00	71.29%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00	\$4,485.00	\$1,515.00	74.75%
1275 Subscription & Information Services	\$2,800.00	\$0.00	\$2,800.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$5,000.00	\$2,085.00	\$2,915.00	41.70%
1284 Computer Software	\$118,100.00	\$62,778.31	\$55,321.69	53.16%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee	\$200.00	\$194.02	\$5.98	97.01%
1289 Contractual Services, NEC	\$92,400.00	\$92,369.48	\$30.52	99.97%
TRAVEL				
1291 In-State	\$5,500.00	\$4,829.34	\$670.66	87.81%
1292 Out-of-State	\$5,400.00	\$0.00	\$5,400.00	0.00%
PRINTING	\$700.00	\$22.50	\$677.50	3.21%
COMMODITIES				
1304 Office/Library Supplies	\$20,400.00	\$19,634.17	\$765.83	96.25%
1332 Industrial & Shop Materials				
1394 Office & Library Equipment Under \$100	\$600.00	\$568.36	\$31.64	94.73%
1395 Small Tools < \$100	\$100.00	\$82.35	\$17.65	82.35%
1398 Equipment, NEC	\$700.00	\$635.01	\$64.99	90.72%
1399 Commodities, NEC	\$200.00	\$113.81	\$86.19	56.91%
EQUIPMENT				
1510 Office Furniture & Equipment	\$3,700.00	\$3,694.23	\$5.77	0.00%
1515 EDP Equipment	\$135,600.00	\$81,727.33	\$53,872.67	60.27%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$1,800.00	\$1,728.78	\$71.22	96.04%

INFORMATION TECHNOLOGY GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$718,600.00	\$684,825.42		\$33,774.58	95.30%
STATE PAID RETIREMENT	\$28,800.00	\$26,138.49		\$2,661.51	90.76%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$55,000.00	\$51,119.82		\$3,880.18	92.95%
CONTRACTUAL SERVICE	\$510,400.00	\$203,205.76	\$5,316.81	\$301,877.43	39.81%
TRAVEL	\$10,900.00	\$4,829.34		\$6,070.66	44.31%
PRINTING	\$700.00	\$22.50		\$677.50	3.21%
COMMODITIES	\$22,000.00	\$21,033.70		\$966.30	95.61%
EQUIPMENT	\$139,300.00	\$85,421.56	\$159.60	\$53,718.84	61.32%
INTEREST PAYMENTS	\$1,800.00	\$1,728.78		\$71.22	0.00%
TOTAL	\$1,487,500.00	\$1,078,325.37	\$5,476.41	\$403,698.22	72.49%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

IVRS LUMP SUM	YEAR TO DATE
<u>MONTH ENDING: Aug 31, 2012</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$259,620.50
1129 State Paid Retirement	\$10,387.05
1161 Retirement	
1170 Social Security	\$18,790.07
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	\$7,474.86
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$9,091.20
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	\$109.86
PRINTING	\$459.00
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	\$1,510.88
EQUIPMENT	
1510 Office Furniture & Equipment	
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	\$1,242,743.82
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
SUPP. APPROP. - IVRS	\$ 1,000,000.00
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$328,500.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$307,443.42
REMAINING LUMP SUM APPROPRIATION	\$21,056.58
LUMP SUM - GRANTS APPROP FOR YEAR	\$1,251,900.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$1,242,743.82
REMAINING LUMP SUM APPROPRIATION	\$9,156.18
LUMP SUM TOTAL APPROPRIATION	\$1,580,400.00
TOTAL LUMP SUM EXPENDITURES	\$1,550,187.24
REMAINING LUMP SUM APPROPRIATION	\$30,212.76
SUPP. APPROP - IVRS	\$1,000,000.00
TOTAL SUPP. APPROP. - IVRS	\$1,000,000.00
REMAINING SUPP. APPROP. - IVRS	\$0.00

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Aug. 31, 2012	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,196,600.00	\$620,468.75	\$0.00	\$3,576,131.25	14.79%
STATE PAID RETIREMENT	\$168,100.00	\$24,373.21	\$0.00	\$143,726.79	14.50%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$321,300.00	\$46,019.84	\$0.00	\$275,280.16	14.32%
CONTRACTUAL SERVICE	\$1,101,500.00	\$188,060.30	\$349,320.00	\$564,119.70	17.07%
TRAVEL	\$120,200.00	\$8,416.58	\$0.00	\$111,783.42	7.00%
PRINTING	\$41,000.00	\$0.00	\$0.00	\$41,000.00	0.00%
COMMODITIES	\$39,600.00	\$1,375.37	\$0.00	\$38,224.63	3.47%
EQUIPMENT	\$125,700.00	\$121.71	\$0.00	\$125,578.29	0.10%
TELECOMMUNICATIONS	\$145,500.00	\$0.00	\$0.00	\$145,500.00	0.00%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$168.11	\$0.00	\$4,731.89	3.43%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$0.00	\$15,000.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$461,300.00	\$53,980.87	\$0.00	\$407,319.13	11.70%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$6,740,700.00	\$942,984.74	\$349,320.00	\$5,448,395.26	13.99%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$25,216.96	\$0.00	\$524,783.04	4.58%
CO CLERK & RECORDER STIPENDS	\$644,800.00	\$0.00	\$0.00	\$644,800.00	0.00%
ELECTION JUDGE REIMBURSEMENT	\$1,347,100.00	\$0.00	\$0.00	\$1,347,100.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$1,119,100.00	\$0.00	\$0.00	\$1,119,100.00	0.00%
REDISTRICTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$300,000.00	\$759.59	\$0.00	\$299,240.41	0.25%
IDIS SYSTEM REPLACEMENT	\$100,000.00	\$0.00	\$0.00	\$100,000.00	0.00%
SUPP. APPROP. - IVRS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUPP. APPROP. - ELECTION DAY JUDGE	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)	\$4,061,000.00	\$759.59	\$0.00	\$4,060,240.41	0.02%
TOTAL APPROPRIATION	\$10,801,700.00	\$943,744.33	\$349,320.00	\$9,508,635.67	8.74%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: Aug. 31, 2012	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$3,000.00	\$102.59	\$2,897.41	3.42%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00		\$1,000.00	0.00%
1239 Rental, NEC	\$100.00	\$49.17	\$50.83	49.17%
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$17,000.00	\$2,139.90	\$14,860.10	12.59%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00		\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$900.00		\$900.00	0.00%
TRAVEL	\$17,000.00	\$2,278.44	\$14,721.56	13.40%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00		\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

BOARD GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$23,600.00	\$2,291.66		\$21,308.34
TRAVEL	\$17,000.00	\$2,278.44		\$14,721.56
EQUIPMENT	\$700.00	\$0.00		\$700.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
TOTAL	\$41,300.00	\$4,570.10	\$0.00	\$36,729.90

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: Aug. 31, 2012	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$742,400.00	\$91,567.57	\$650,832.43	12.33%
1129 State Paid Retirement	\$29,700.00	\$3,670.06	\$26,029.94	12.36%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$56,800.00	\$6,765.23	\$50,034.77	11.91%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$500.00		\$500.00	0.00%
1205 Freight Express & Drayage	\$700.00		\$700.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$13,000.00	\$636.69	\$12,363.31	4.90%
1223 Repair/Maint. Real Property	\$2,000.00		\$2,000.00	0.00%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$35,800.00	\$226.20	\$35,573.80	0.63%
1232 Rental, Motor Vehicles	\$4,600.00	\$1,800.00	\$2,800.00	39.13%
1233 Rental, Real Property	\$392,000.00	\$97,605.00	\$294,395.00	24.90%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00		\$1,000.00	0.00%
1240 Statistical & Tabulation Services	\$12,800.00		\$12,800.00	0.00%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$1,000.00		\$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	\$75,000.00		\$75,000.00	0.00%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00		\$800.00	0.00%
1275 Subscription & Information Services	\$2,500.00	\$520.00	\$1,980.00	20.80%
1276 Reg.Fees & Conf. Expenses (Employee)	\$400.00		\$400.00	0.00%
1277 Association Dues	\$2,200.00	\$91.50	\$2,108.50	4.16%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00		\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00	\$3,307.52	\$8,192.48	28.76%
TRAVEL				
1291 In-State	\$13,000.00		\$13,000.00	0.00%
1292 Out-of-State	\$1,000.00		\$1,000.00	0.00%
PRINTING	\$9,800.00		\$9,800.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$14,800.00	\$860.50	\$13,939.50	5.81%
1306 Food for Human Consumption				
1391 Household & Cleaning Supplies	\$100.00	\$22.46	\$77.54	22.46%
1394 Office/Library Equip., Not exc. \$100				
1395 Small Tools Not Exceeding \$100	\$100.00	\$9.99	\$90.01	9.99%
1398 Equipment, NEC	\$500.00		\$500.00	0.00%
1399 Commodities, NEC	\$600.00		\$600.00	0.00%
EQUIPMENT				
1510 Office Furniture & Equipment	\$5,000.00	\$121.71	\$4,878.29	2.43%
1599 Equipment NEC	\$1,000.00		\$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$51,000.00		\$51,000.00	0.00%
1722 Rental, Data Comm. Serv. & Equip.	\$82,800.00		\$82,800.00	0.00%
1728 Videoconferencing	\$7,200.00		\$7,200.00	0.00%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00		\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00		\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$1,800.00	\$168.11	\$1,631.89	9.34%
1894 Parts & Fittings, Auto Equipment	\$200.00		\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,900.00		\$2,900.00	0.00%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
ADMINISTRATION GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF EXPENDITURE
PERSONAL SERVICE	\$742,400.00	\$91,567.57	\$650,832.43	12.33%
STATE PAID RETIREMENT	\$29,700.00	\$3,670.06	\$26,029.94	12.36%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$56,800.00	\$6,765.23	\$50,034.77	11.91%
CONTRACTUAL SERVICE	\$555,900.00	\$104,186.91	\$294,390.00	18.74%
TRAVEL	\$14,000.00	\$0.00	\$14,000.00	0.00%
PRINTING	\$9,800.00	\$0.00	\$9,800.00	0.00%
COMMODITIES	\$16,100.00	\$892.95	\$15,207.05	5.55%
EQUIPMENT	\$6,000.00	\$121.71	\$5,878.29	2.03%
TELECOMMUNICATIONS	\$145,500.00	\$0.00	\$145,500.00	0.00%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$168.11	\$4,731.89	3.43%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%
TOTAL	\$1,581,100.00	\$207,372.54	\$294,390.00	13.12%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: Aug. 31, 2012	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,658,900.00	\$236,663.00	\$1,422,237.00	14.27%
1129 State Paid Retirement	\$66,400.00	\$9,478.56	\$56,921.44	14.27%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$127,000.00	\$17,567.83	\$109,432.17	13.83%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$2,800.00		\$2,800.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00		\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip	\$500.00		\$500.00	0.00%
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00	0.00%
1239 Rental, NEC	\$200.00	\$100.00	\$100.00	50.00%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	\$24,900.00		\$24,900.00	0.00%
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00		\$4,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00		\$1,000.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$3,600.00	\$199.00	\$3,401.00	5.53%
1277 Association Dues	\$2,200.00	\$55.00	\$2,145.00	2.50%
1279 Employee Tuition & Fees	\$800.00		\$800.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,500.00		\$1,500.00	0.00%
1284 Software	\$100.00	\$59.95	\$40.05	59.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	\$400.00		\$400.00	0.00%
TRAVEL	\$55,000.00	\$3,887.78	\$51,112.22	7.07%
PRINTING	\$10,300.00		\$10,300.00	0.00%
EQUIPMENT				
1510 Office Furniture & Equipment	\$3,300.00		\$3,300.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
HAVA - Maintenance of Effort	\$550,000.00	\$25,216.96	\$524,783.04	4.58%
ELECTION CODE BOOKS	\$15,000.00		\$15,000.00	0.00%
Redistricting				
Election Judge Reimbursements	\$1,347,100.00		\$1,347,100.00	0.00%
Stipends	\$644,800.00		\$644,800.00	0.00%
Early Voting Election Judges				
State HAVA Match				
Voting System Integrity Center				
Electronic Canvassing Implementation	\$300,000.00	\$759.59	\$299,240.41	0.25%
Electronic Canvassing Interest Payments				
IDIS Replacement System	\$100,000.00		\$100,000.00	0.00%
Supp. Approp. - Election Day Judge	\$0.00		\$0.00	0.00%

ELECTIONS GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,658,900.00	\$236,663.00		\$1,422,237.00	14.27%
STATE PAID RETIREMENT	\$66,400.00	\$9,478.56		\$56,921.44	14.27%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$127,000.00	\$17,567.83		\$109,432.17	13.83%
CONTRACTUAL SERVICE	\$42,300.00	\$413.95		\$41,886.05	0.98%
TRAVEL	\$55,000.00	\$3,887.78		\$51,112.22	7.07%
PRINTING	\$10,300.00	\$0.00		\$10,300.00	0.00%
EQUIPMENT	\$3,300.00	\$0.00		\$3,300.00	0.00%
HAVA - Maintenance of Effort	\$550,000.00	\$25,216.96		\$524,783.04	4.58%
ELECTION CODE BOOKS	\$15,000.00	\$0.00		\$15,000.00	0.00%
Redistricting	\$0.00	\$0.00		\$0.00	0.00%
Election Judge Reimbursements	\$1,347,100.00	\$0.00		\$1,347,100.00	0.00%
Stipends	\$644,800.00	\$0.00		\$644,800.00	0.00%
Early Voting Election Judges	\$0.00	\$0.00		\$0.00	0.00%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Implementation	\$300,000.00	\$759.59		\$299,240.41	0.25%
Electronic Canvassing Interest Payments	\$0.00	\$0.00		\$0.00	0.00%
IDIS Replacement System	\$100,000.00	\$0.00		\$100,000.00	0.00%
Supp. Approp. - Election Day Judge	\$0.00	\$0.00		\$0.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$4,920,100.00	\$293,987.67	\$0.00	\$4,626,112.33	5.98%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: Aug. 31, 2012	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$302,600.00	\$50,560.00	\$252,040.00	16.71%
1129 State Paid Retirement	\$12,100.00	\$2,024.96	\$10,075.04	16.74%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$23,200.00	\$3,791.53	\$19,408.47	16.34%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage	\$600.00		\$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$100,000.00	\$5,070.00	\$94,930.00	5.07%
1245 Professional & Artistic Services, NEC	\$20,000.00		\$20,000.00	0.00%
1266 Court Reporting & Filing Services	\$16,000.00	\$1,521.50	\$14,478.50	9.51%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$2,000.00		\$2,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00		\$1,000.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00		\$1,000.00	0.00%
1277 Association Dues	\$1,200.00		\$1,200.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$7,500.00		\$7,500.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$6,000.00	\$454.25	\$5,545.75	7.57%
TRAVEL	\$12,000.00	\$1,579.22	\$10,420.78	13.16%
EQUIPMENT				
1510 Office Furniture & Equipment	\$2,000.00		\$2,000.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

GENERAL COUNSEL GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$302,600.00	\$50,560.00		\$252,040.00	16.71%
STATE PAID RETIREMENT	\$12,100.00	\$2,024.96		\$10,075.04	16.74%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$23,200.00	\$3,791.53		\$19,408.47	16.34%
CONTRACTUAL SERVICE	\$155,300.00	\$7,045.75	54,930.00	\$93,324.25	4.54%
TRAVEL	\$12,000.00	\$1,579.22		\$10,420.78	13.16%
EQUIPMENT	\$2,000.00	\$0.00		\$2,000.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$507,200.00	\$65,001.46	\$54,930.00	\$387,268.54	12.82%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE
MONTH ENDING: Aug. 31, 2012

	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$787,600.00	\$114,385.68	\$673,214.32	14.52%
1129 State Paid Retirement	\$31,600.00	\$4,577.15	\$27,022.85	14.48%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$60,300.00	\$8,396.23	\$51,903.77	13.92%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	\$100.00		\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,200.00		\$1,200.00	0.00%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00		\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
TRAVEL				
1291 In-State	\$7,800.00	\$6.00	\$7,794.00	0.08%
1292 Out-of-State	\$3,300.00		\$3,300.00	0.00%
1295 Mileage	\$200.00	\$172.05	\$27.95	86.03%
PRINTING	\$20,200.00		\$20,200.00	0.00%
EQUIPMENT				
1510 Office Furniture & Equipment	\$800.00		\$800.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
CAMPAIGN DISCLOSURE GRAND TOTAL					
PERSONAL SERVICE	\$787,600.00	\$114,385.68		\$673,214.32	14.52%
STATE PAID RETIREMENT	\$31,600.00	\$4,577.15		\$27,022.85	14.48%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$60,300.00	\$8,396.23		\$51,903.77	13.92%
CONTRACTUAL SERVICE	\$1,900.00	\$0.00		\$1,900.00	0.00%
TRAVEL	\$11,300.00	\$178.05		\$11,121.95	1.58%
PRINTING	\$20,200.00	\$0.00		\$20,200.00	0.00%
EQUIPMENT	\$800.00	\$0.00		\$800.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$913,700.00	\$127,537.11	\$0.00	\$786,162.89	13.96%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: Aug. 31, 2012	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$705,100.00	\$127,292.50	\$577,807.50	18.05%
1129 State Paid Retirement	\$28,300.00	\$4,622.48	\$23,677.52	16.33%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$54,000.00	\$9,499.02	\$44,500.98	17.59%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment	\$15,000.00		\$15,000.00	0.00%
1223 Repair/Maint. Real Property				
1225 Repair/Maint. EDP Equipment	\$66,700.00	\$11,503.34	\$55,196.66	17.25%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC	\$300.00	\$253.80	\$46.20	84.60%
1242 Auditing & Management Services				
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$83,800.00		\$83,800.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$584.00	\$416.00	58.40%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%
1275 Subscription & Information Services	\$2,800.00		\$2,800.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$8,000.00		\$8,000.00	0.00%
1284 Computer Software	\$119,400.00	\$61,780.89	\$57,619.11	51.74%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$19,500.00		\$19,500.00	0.00%
TRAVEL				
1291 In-State	\$5,500.00	\$493.09	\$5,006.91	8.97%
1292 Out-of-State	\$5,400.00		\$5,400.00	0.00%
PRINTING	\$700.00		\$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$23,400.00	\$433.73	\$22,966.27	1.85%
1332 Industrial & Shop Materials				
1394 Office & Library Equipment Under \$100	\$100.00	\$48.69	\$51.31	48.69%
1395 Small Tools < \$100				
1398 Equipment, NEC				
1399 Commodities, NEC				
EQUIPMENT				
1510 Office Furniture & Equipment				
1515 EDP Equipment	\$112,900.00		\$112,900.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

INFORMATION TECHNOLOGY GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$705,100.00	\$127,292.50		\$577,807.50	18.05%
STATE PAID RETIREMENT	\$28,300.00	\$4,622.48		\$23,677.52	16.33%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$54,000.00	\$9,499.02		\$44,500.98	17.59%
CONTRACTUAL SERVICE	\$322,500.00	\$74,122.03		\$248,377.97	22.98%
TRAVEL	\$10,900.00	\$493.09		\$10,406.91	4.52%
PRINTING	\$700.00	\$0.00		\$700.00	0.00%
COMMODITIES	\$23,500.00	\$482.42		\$23,017.58	2.05%
EQUIPMENT	\$112,900.00	\$0.00		\$112,900.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$1,257,900.00	\$216,511.54	\$0.00	\$1,041,388.46	17.21%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT

General Revenue Fund

IVRS LUMP SUM	YEAR TO DATE
<u>MONTH ENDING: Aug. 31, 2012</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$47,396.00
1129 State Paid Retirement	\$1,895.88
1161 Retirement	
1170 Social Security	\$3,439.71
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	\$1,249.28
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	
PRINTING	
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
SUPP. APPROP. - IVRS	
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$461,300.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$53,980.87
REMAINING LUMP SUM APPROPRIATION	\$407,319.13
LUMP SUM - GRANTS APPROP FOR YEAR	\$1,119,100.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$0.00
REMAINING LUMP SUM APPROPRIATION	\$1,119,100.00
LUMP SUM TOTAL APPROPRIATION	\$1,580,400.00
TOTAL LUMP SUM EXPENDITURES	\$53,980.87
REMAINING LUMP SUM APPROPRIATION	\$1,526,419.13
SUPP. APPROP - IVRS	\$0.00
TOTAL SUPP. APPROP. - IVRS	\$0.00
REMAINING SUPP. APPROP. - IVRS	\$0.00

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> Funds	SECTION 102 <u>Entitlement</u> Payments	SECTION 261 <u>EAID</u> Disbursements	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> Requirements	TOTAL <u>Fund Activity</u>
MONTH ENDING: Aug 31, 2012						
CASH BALANCE AT BEGINNING OF YR	\$2,409,908.25	\$0.00	-\$80,713.82	\$5,700,043.64	\$11,787,751.01	\$19,816,989.08
Program Revenues from Federal Govt			\$133,948.82		\$51,107.00	\$185,055.82
Miscellaneous Revenues	\$69.50					\$69.50
Interest Earned on IOC Balances	\$6,365.29			\$27,993.97	\$46,534.74	\$80,894.00
Interest Penalties Received						\$0.00
EAC-Mandated Transfers of Interest	-\$1,232,597.16			\$1,232,597.16		\$0.00
State Match Receipts					\$2,690.00	\$2,690.00
TOTAL CASH REVENUES	-\$1,226,162.37	\$0.00	\$133,948.82	\$1,260,591.13	\$100,331.74	\$268,709.32
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$75,748.00	\$0.00	\$0.00	\$0.00	\$0.00	\$75,748.00
STATE-PAID RETIREMENT	\$3,030.98	\$0.00	\$0.00	\$0.00	\$0.00	\$3,030.98
RETIREMENT	\$25,906.74	\$0.00	\$0.00	\$0.00	\$0.00	\$25,906.74
SOCIAL SECURITY	\$5,541.72	\$0.00	\$0.00	\$0.00	\$0.00	\$5,541.72
GROUP INSURANCE	\$22,651.44	\$0.00	\$0.00	\$0.00	\$0.00	\$22,651.44
CONTRACTUAL SERVICE	\$39,498.39	\$0.00	\$0.00	\$340,566.83	\$0.00	\$380,065.22
TRAVEL	\$19,755.55	\$0.00	\$0.00	\$0.00	\$0.00	\$19,755.55
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$1,389.66	\$0.00	\$0.00	\$0.00	\$0.00	\$1,389.66
TELECOMMUNICATIONS	\$405.05	\$0.00	\$0.00	\$0.00	\$0.00	\$405.05
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$60,226.64	\$0.00	\$0.00	\$505,363.36	\$0.00	\$565,590.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$254,154.17	\$0.00	\$0.00	\$845,930.19	\$0.00	\$1,100,084.36
AWARDS & GRANTS	\$0.00	\$0.00	\$107,173.40	\$175,932.32	\$4,529,327.95	\$4,812,433.67
TOTAL CASH EXPENDITURES	\$254,154.17	\$0.00	\$107,173.40	\$1,021,862.51	\$4,529,327.95	\$5,912,518.03
CASH BALANCE AT END OF MONTH	\$929,591.71	\$0.00	-\$53,938.40	\$5,938,772.26	\$7,358,754.80	\$14,173,180.37

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS
MONTH ENDING: Aug 31, 2012

	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE	\$75,748.00	\$75,748.00
1129 State Paid Retirement	\$3,030.98	\$3,030.98
1161 Retirement	\$25,906.74	\$25,906.74
1170 Social Security	\$5,541.72	\$5,541.72
1180 Group Insurance	\$22,651.44	\$22,651.44
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage	\$73.51	\$73.51
1223 Repair & Maintenance Real Property	\$1,086.68	\$1,086.68
1225 Repair & Maintenance, EDP Equip.	\$16,219.20	\$16,219.20
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services	\$14,479.00	\$14,479.00
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)	\$3,250.00	\$3,250.00
1276 Registration Fees & Conf. Exp. (Employee)	\$1,393.00	\$1,393.00
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software	\$2,997.00	\$2,997.00
1286 Travel, Reimb. to Non-State Employees		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State & 1293 In-State (to vendor)	\$15,444.78	\$15,444.78
1292 Out-of-State	\$4,310.77	\$4,310.77
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. \$100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment	\$1,389.66	\$1,389.66
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.	\$405.05	\$405.05
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund	\$60,226.64	\$60,226.64
GRANTS		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments (PPA)		
4470 Grants to Local Governments (EAI)		
4470 Grants to Local Governments (AAG)		
4470 Grants to Local Governments		
4479 Payments to Other State Agencies		

SECTION 101 GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$75,748.00	\$75,748.00	
STATE PAID RETIREMENT	\$3,030.98	\$3,030.98	
RETIREMENT	\$25,906.74	\$25,906.74	
SOCIAL SECURITY	\$5,541.72	\$5,541.72	
GROUP INSURANCE	\$22,651.44	\$22,651.44	
CONTRACTUAL SERVICE	\$39,498.39	\$39,498.39	
TRAVEL	\$19,755.55	\$19,755.55	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$1,389.66	\$1,389.66	
TELECOMMUNICATIONS	\$405.05	\$405.05	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$60,226.64	\$60,226.64	
GRANTS	\$0.00	\$0.00	
TOTAL	\$254,154.17	\$254,154.17	\$0.00

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Aug 31, 2012

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
 1225 Repair & Maintenance, EDP Equip.
 1231 Rental, Office Equipment
 1237 Rental, Film & Audio/Visual Aids
 1239 Rental, NEC
 1242 Auditing & Management Services
 1243 Book Binding & Processing Services
 1244 Legal Fees
 1245 Professional & Technical Services, NEC
 1261 Postage
 1266 Court Reporting & Filing Services
 1274 Registration Fees & Conf. Exp. (Vendor)
 1276 Registration Fees & Conf. Exp. (Employee)
 1280 Copying, Photographic & Printing Serv.
 1284 Computer Software
 1289 Contractual Services, NEC

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1394 Office/Library Equip., Not Exceed. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
 4458 Services, NEC
 4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$0.00

\$0.00

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

GRANTS

\$0.00

\$0.00

TOTAL

\$0.00

\$0.00

\$0.00

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: Aug 31, 2012

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)

\$107,173.40

\$107,173.40

SECTION 261 GRAND TOTAL

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
GRANTS	\$107,173.40	\$107,173.40

TOTAL	\$107,173.40	\$107,173.40	\$0.00
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STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: Aug 31, 2012

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security
 1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
 1223 Repair & Maintenance Real Property
 1225 Repair & Maintenance, EDP Equip.
 1231 Rental, Office Equipment
 1232 Rental, Motor Vehicles
 1237 Rental, Film & Audio/Visual Aids
 1239 Rental, NEC
 1242 Auditing & Management Services
 1243 Book Binding & Processing Services
 1244 Legal Fees
 1245 Professional & Technical Services, NEC
 1261 Postage
 1266 Court Reporting & Filing Services
 1273 Advertising
 1274 Registration Fees & Conf. Exp. (Vendor)
 1276 Registration Fees & Conf. Exp. (Employee)
 1280 Copying, Photographic & Printing Serv.
 1284 Computer Software
 1289 Contractual Services, NEC

\$291,672.80

\$291,672.80

\$11,602.80

\$11,602.80

\$37,291.23

\$37,291.23

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1308 Educational & Instructional Materials
 1394 Office/Library Equip., Not Exceed. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1561 Training Equipment
 6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

\$505,363.36

\$505,363.36

GRANTS

4453 Reimbursement to Govt Units (AVE)
 4453 Reimbursement to Govt Units (Phase II)
 4453 Reimbursement to Govt Units (ALA)
 4453 Reimbursement to Govt Units (EDG)
 4470 Grants to Local Governments (VRS)
 4479 Payments to Other State Agencies

\$175,932.32

\$175,932.32

SECTION 251 (OLD REQ) GRAND TOTAL

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE
 STATE PAID RETIREMENT
 RETIREMENT
 SOCIAL SECURITY
 GROUP INSURANCE
 CONTRACTUAL SERVICE
 TRAVEL
 PRINTING
 COMMODITIES
 EQUIPMENT
 TELECOMMUNICATIONS
 OPERATION OF AUTO EQUIPMENT
 INDIRECT COST REFUNDS
 GRANTS

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

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\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

TOTAL

\$1,021,862.51

\$1,021,862.51

\$1,111,955.65

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: Aug 31, 2012

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

\$4,529,327.95

\$4,529,327.95

SECTION 251 (NEW REQ) GRAND TOTAL

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$4,529,327.95	\$4,529,327.95

TOTAL	\$4,529,327.95	\$4,529,327.95	\$0.00
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STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> Funds	SECTION 102 <u>Entitlement</u> Payments	SECTION 261 <u>EAID</u> Disbursements	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> Requirements	TOTAL Fund Activity
MONTH ENDING: Aug. 31, 2012						
CASH BALANCE AT BEGINNING OF YR	\$929,591.71	\$0.00	-\$53,938.40	\$5,938,772.26	\$7,358,754.80	\$14,173,180.37
Program Revenues from Federal Govt						\$0.00
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$318.34			\$2,209.49	\$2,675.17	\$5,203.00
Interest Penalties Received					\$34.65	\$34.65
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$318.34	\$0.00	\$0.00	\$2,209.49	\$2,709.82	\$5,237.65
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$13,068.00	\$0.00	\$0.00	\$0.00	\$0.00	\$13,068.00
STATE-PAID RETIREMENT	\$522.92	\$0.00	\$0.00	\$0.00	\$0.00	\$522.92
RETIREMENT	\$4,965.88	\$0.00	\$0.00	\$0.00	\$0.00	\$4,965.88
SOCIAL SECURITY	\$952.60	\$0.00	\$0.00	\$0.00	\$0.00	\$952.60
GROUP INSURANCE	\$4,753.64	\$0.00	\$0.00	\$0.00	\$0.00	\$4,753.64
CONTRACTUAL SERVICE	\$0.00	\$0.00	\$0.00	\$47,497.24	\$0.00	\$47,497.24
TRAVEL	\$5,272.33	\$0.00	\$0.00	\$0.00	\$0.00	\$5,272.33
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$29,535.37	\$0.00	\$0.00	\$47,497.24	\$0.00	\$77,032.61
AWARDS & GRANTS	\$0.00	\$0.00	\$33,763.68	\$0.00	\$306,218.96	\$339,982.64
TOTAL CASH EXPENDITURES	\$29,535.37	\$0.00	\$33,763.68	\$47,497.24	\$306,218.96	\$417,015.25
CASH BALANCE AT END OF MONTH	\$900,374.68	\$0.00	-\$87,702.08	\$5,893,484.51	\$7,055,245.66	\$13,761,402.77

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS MONTH ENDING: Aug. 31, 2012	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	
PERSONAL SERVICE	\$13,068.00	\$13,068.00	
1129 State Paid Retirement	\$522.92	\$522.92	
1161 Retirement	\$4,965.88	\$4,965.88	
1170 Social Security	\$952.60	\$952.60	
1180 Group Insurance	\$4,753.64	\$4,753.64	
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage	\$0.00		
1223 Repair & Maintenance Real Property	\$0.00		
1225 Repair & Maintenance, EDP Equip.	\$0.00		
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$0.00		
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC			
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)	\$0.00		
1276 Registration Fees & Conf. Exp. (Employee)	\$0.00		
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$0.00		
1286 Travel, Reimb. to Non-State Employees			
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State & 1293 In-State (to vendor)	\$913.09	\$913.09	
1292 Out-of-State	\$4,019.58	\$4,019.58	
1293 Mileage	\$339.66	\$339.66	
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment	\$0.00		
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.	\$0.00		
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund	\$0.00		
GRANTS			
4453 Reimbursement to Governmental Units			
4458 Services, NEC			
4470 Grants to Local Governments (PPA)			
4470 Grants to Local Governments (EAI)			
4470 Grants to Local Governments (AAG)			
4470 Grants to Local Governments			
4479 Payments to Other State Agencies			
SECTION 101 GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$13,068.00	\$13,068.00	
STATE PAID RETIREMENT	\$522.92	\$522.92	
RETIREMENT	\$4,965.88	\$4,965.88	
SOCIAL SECURITY	\$952.60	\$952.60	
GROUP INSURANCE	\$4,753.64	\$4,753.64	
CONTRACTUAL SERVICE	\$0.00	\$0.00	\$13,375.00
TRAVEL	\$5,272.33	\$5,272.33	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$29,535.37	\$29,535.37	\$13,375.00

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Aug. 31, 2012

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
 1225 Repair & Maintenance, EDP Equip.
 1231 Rental, Office Equipment
 1237 Rental, Film & Audio/Visual Aids
 1239 Rental, NEC
 1242 Auditing & Management Services
 1243 Book Binding & Processing Services
 1244 Legal Fees
 1245 Professional & Technical Services, NEC
 1261 Postage
 1266 Court Reporting & Filing Services
 1274 Registration Fees & Conf. Exp. (Vendor)
 1276 Registration Fees & Conf. Exp. (Employee)
 1280 Copying, Photographic & Printing Serv.
 1284 Computer Software
 1289 Contractual Services, NEC

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1394 Office/Library Equip., Not Exceed. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
 4458 Services, NEC
 4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE
STATE PAID RETIREMENT
RETIREMENT
SOCIAL SECURITY
CONTRACTUAL SERVICE
TRAVEL
PRINTING
COMMODITIES
EQUIPMENT
TELECOMMUNICATIONS
OPERATION OF AUTO EQUIPMENT
GRANTS

\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00

\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00

\$0.00

TOTAL

\$0.00

\$0.00

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: Aug. 31, 2012

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)

\$33,763.68

\$33,763.68

SECTION 261 GRAND TOTAL

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
GRANTS	\$33,763.68	\$33,763.68

TOTAL	\$33,763.68	\$33,763.68	\$0.00
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STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: Aug. 31, 2012

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security
 1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
 1223 Repair & Maintenance Real Property
 1225 Repair & Maintenance, EDP Equip.
 1231 Rental, Office Equipment
 1232 Rental, Motor Vehicles
 1237 Rental, Film & Audio/Visual Aids
 1239 Rental, NEC
 1242 Auditing & Management Services
 1243 Book Binding & Processing Services
 1244 Legal Fees
 1245 Professional & Technical Services, NEC
 1261 Postage
 1266 Court Reporting & Filing Services
 1273 Advertising
 1274 Registration Fees & Conf. Exp. (Vendor)
 1276 Registration Fees & Conf. Exp. (Employee)
 1280 Copying, Photographic & Printing Serv.
 1284 Computer Software
 1289 Contractual Services, NEC

\$16,100.00

\$16,100.00

\$4,345.46

\$4,345.46

\$27,051.78

\$27,051.78

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1308 Educational & Instructional Materials
 1394 Office/Library Equip., Not Exceed. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1561 Training Equipment
 6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

\$0.00

GRANTS

4453 Reimbursement to Govt Units (AVE)
 4453 Reimbursement to Govt Units (Phase II)
 4453 Reimbursement to Govt Units (ALA)
 4453 Reimbursement to Govt Units (EDG)
 4470 Grants to Local Governments (VRS)
 4479 Payments to Other State Agencies

\$0.00

SECTION 251 (OLD REQ) GRAND TOTAL

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE
 STATE PAID RETIREMENT
 RETIREMENT
 SOCIAL SECURITY
 GROUP INSURANCE
 CONTRACTUAL SERVICE
 TRAVEL
 PRINTING
 COMMODITIES
 EQUIPMENT
 TELECOMMUNICATIONS
 OPERATION OF AUTO EQUIPMENT
 INDIRECT COST REFUNDS
 GRANTS

\$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$47,497.24
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00

\$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$47,497.24
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00

\$665,135.00

TOTAL

\$47,497.24

\$47,497.24

\$665,135.00

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: Aug. 31, 2012

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
SECTION 251 (NEW REQ) GRAND TOTAL			
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$306,218.96	\$306,218.96	
TOTAL	\$306,218.96	\$306,218.96	\$0.00

Start Date	End Date	Activity	Division
8 /31/2012		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2012 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
9 /4 /2012		Complete updating the GUIDE FOR POLLWATCHERS. (Project began:7/2/2012) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /4 /2012		Last day to transmit objections and call for electoral board hearings. 10 ILCS 5/10-8	EL INFO
9 /4 /2012		Send campaign financing notice of obligation to judges seeking retention. 10 ILCS 5/9-16.	CAMP DISC
9 /6 /2012		Notify election authorities to send referenda information. 10 ILCS 5/1A-8 (1, 2, 12)	VOSS
9 /7 /2012		Send notice (form) to election authorities in order to obtain early voting system information to be used for the General Election.	VOSS
9 /7 /2012		Send notice (form) to election authorities in order to obtain voting system information to be used for the General Election. (Rules and Regulations)	VOSS
9 /12/2012		Send notification to election authorities re: Voting Equipment Test. 10 ILCS 24B-9, 24C-9	VOSS
9 /17/2012		Election authorities must submit to SBE a copy of the specimen ballot that is to be tested for General Election. 10 ILCS 5/24B-9	VOSS
9 /17/2012		Last day for US Senator, US Representative, Constitutional officers candidates, and candidates for Supreme and Appellate Court judgeships to submit personal statements and photographs for the internet voters' guide to the State Board of Elections. [10 ILCS 5/12A-10(g)]	LEG
9 /17/2012		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
9 /17/2012		Last day for representative and legislative committees to meet and organize. 10 ILCS 5/8-5	EL INFO
9 /17/2012		Complete brochure update. Project started 7/2/2012 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD

Start Date	End Date	Activity	Division
9 /21/2012		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board the serial numbers for the early voting systems it will use for the General Election.	VOSS
9 /21/2012		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board of the type of voting system it will use for the General Election. (Rules and Regulations)	VOSS
9 /22/2012		Last day for the election authority to have in his office a sufficient number of ballots printed and available for mailing to persons in the United States Service or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. (10 ILCS 5/7-16, 16-5.01)	LEG
9 /22/2012		Last day for the State Board of Elections to publish the Internet Voters' Guide on the Board's website. [10 ILCS 5/12A-5]	LEG
10/1 /2012		First day that any political committee shall file its 2012 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/2 /2012		Remind the Driver and Motor Vehicle Services of the registration cut off of 27 days before an election.	LEG
10/2 /2012		Notify election authorities of their need to give public test notification and re-tabulation notification for General Election.	VOSS
10/5 /2012		Complete draft of Board's field and office plan for Election Day.	EL INFO
10/5 /2012		Begin testing of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VOSS
10/5 /2012		Notify election authorities of requirement to submit computerized voter registration information for General Election 2012 submission in a format prescribed by the SBE	VRS

Start Date	End Date	Activity	Division
10/5 /2012		Begin to develop SBE LEGISLATIVE PROGRAM for the upcoming legislative session, beginning January 2013. Recommendations will be solicited from division staff. 10 ILCS 5/1A-8 (8)	LEG
10/7 /2012		First day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC
10/7 /2012		First day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the general election, must be reported within two business days following its receipt. 10 ILCS 5/9-10©	CAMP DISC
10/9 /2012		Last day for voter registration or transfer registration prior to November 6th General Election. 10 ILCS 4-6, 4-6.2, 4-16, 5/5-5, 5-16.2, 5-23, 6-29, 6-50.2	EL INFO
10/10/2012		Notify election authorities to forward a copy of their computer operator's log or analogous information following the proclamation of election results. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VOSS
10/10/2012		First day for grace period registration, change of address and voting begins. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
10/15/2012		First day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
10/15/2012		First day for early voting for counties who have permanent polling places. 10 ILCS 5/19A-15	EL INFO
10/15/2012		Last day that a political committee shall file its 2012 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/16/2012		Complete profile of pre-election referenda. 10 ILCS 5/1A-8 (1, 2, 12)	VOSS

Start Date	End Date	Activity	Division
10/16/2012		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
10/16/2012		Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in election. 10 ILCS 24B-15, 24C-15	VOSS
10/19/2012		First day for election authority to submit computerized voter registration file for the General Election 2012 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
10/26/2012		Notify state sentral committee chairmen of the time and place of SBE's random selection procedure for the election jurisdiction's 5% re-tabulation of early voting equipment. 10 ILCS 5/24A-15, 5/24B-15, 5/24C-15	VOSS
10/26/2012		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdictions' 5% re-tabulation of precincts. 10 ILCS 5/24B-15 and 5/24C-15	VOSS
10/26/2012		Date those jurisdictions utilizing in-precinct counting equipment must submit list of those precincts that will be open for the election. 10 ILCS 5/24B-15, 24C-15	VOSS
10/29/2012		Last day for election authority to submit computerized voter registration file for the General Election 2012 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
10/29/2012		Notify Department of Public Health for a list of facilities licensed or certified under the Nursing Home Care Reform Act or the MR/DD Community Care Act. This is to obtain a current list for the February 26th, 2013 Consolidated Primary Election, and for the April 9th, 2013 Consolidated Election. 10 ILCS 5/19-12.2	ET&RD
10/29/2012		Last day for election authorities to submit request for extension to file computerized voter registration information for the General Election 2012 submission. Rules and Regulations	VRS
10/30/2012		Send verification letter to election authorities to confirm the precinct data they originally submitted for their 5% retabulation. 10 ILCS 5/24B-15, 24C-15	VOSS

Start Date	End Date	Activity	Division
10/30/2012		Last day for election authority to notify public of time, date, and place of public test for General Election. 10 ILCS 5/24B-9	VOSS
10/30/2012		Last day of grace period registration, change of address and voting. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
11/1 /2012		Last day to conduct SBE tests of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VOSS
11/1 /2012		Last day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
11/1 /2012		Begin preparation of packet materials for 2012 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed November 30, 2012. 10 ILCS 5/9-15.	CAMP DISC
11/1 /2012		Date upon which the State Board of Election shall notify political committees that have failed to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
11/5 /2012		Last day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the general election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(c).	CAMP DISC
11/5 /2012		Last day for election authorities to send a sealed copy of each tested program for the upcoming election to the State Board of Elections. The SBE secures the programs until the next General Election.	VOSS
11/5 /2012		Last day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC
11/6 /2012		GENERAL ELECTION	EL INFO
11/7 /2012		Destroy the sealed program or programs from the previous election of the same type. 10 ILCS 5/24B-9	VOSS

5. Follow up.
6. Comments from the general public.
7. Next Board meeting at 10:30 a.m. on Tuesday, October 16, 2012 in Chicago.
8. Executive session.